# The pKO2 Linear Plasmid Prophage of Klebsiella oxytoca

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Temperate bacteriophages with plasmid prophages are uncommon in nature, and of these only phages N15 and PY54 are known to have a linear plasmid prophage with closed hairpin telomeres. We report here the complete nucleotide sequence of the 51,601-bp *Klebsiella oxytoca* linear plasmid pKO2, and we demonstrate experimentally that it is also a prophage. We call this bacteriophage  $\phi$ KO2. An analysis of the 64 predicted  $\phi$ KO2 genes indicate that it is a fairly close relative of phage N15; they share a mosaic relationship that is typical of different members of double-stranded DNA tailed-phage groups. Although the head, tail shaft, and lysis genes are not recognizably homologous between these phages, other genes such as the plasmid partitioning, replicase, prophage repressor, and protelomerase genes (and their putative targets) are so similar that we predict that they must have nearly identical DNA binding specificities. The  $\phi$ KO2 virion is unusual in that its phage  $\lambda$ -like tails have an exceptionally long (3,433 amino acids) central tip tail fiber protein. The  $\phi$ KO2 genome also carries putative homologues of bacterial *dinI* and *umuD* genes, both of which are involved in the host SOS response. We show that these divergently transcribed genes are regulated by LexA protein binding to a single target site that overlaps both promoters.

Temperate bacteriophages usually physically integrate their prophage DNAs into the host bacterium's chromosome when they establish lysogeny. However, a few prophages, such as those of phages P1, N15, LE1,  $\phi$ 20, and  $\phi$ BB-1, are not integrated but replicate in the lysogen as low-copy-number plasmids (32, 38, 52, 53, 84). Of these, the Escherichia coli doublestranded DNA (dsDNA) tailed-phage N15 has an unusual linear prophage plasmid that has covalently closed hairpin telomeres (67, 68). Although N15 has been studied in some detail (83, 85, 87), few other phages with similar linear DNA prophages have been described. While this paper was being prepared, another linear plasmid prophage, PY54, was reported for Yersinia enterocolitica (48). Furthermore, the linear, hairpin-ended plasmids Lp28-2 and Lp54 in the spirochete Borrelia burgdorferi B31-MI carry sequence similarities to dsDNA phage virion assembly genes (19, 33); however, they have not yet been shown to be bone fide prophages. Linear plasmids are very rare in the  $\gamma$ -Proteobacteria, but it has been reported that Klebsiella oxytoca strain CCUG 15788 (a nickelresistant bacterium isolated from the mineral oil-water coolant-lubricant emulsion of a metal working machine in Göteborg, Sweden, in 1989 [69]) harbors a linear plasmid, pKO2, of about 50 kbp (95). Since this is close to the size of the N15 linear plasmid prophage, we were prompted to investigate the possibility that this plasmid could be a prophage. We report here the complete nucleotide sequence of pKO2, a linear plas-

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mid prophage that encodes the synthesis of a tailed-phage particle, and the analysis of some of its properties.

### MATERIALS AND METHODS

Bacterial strains and plasmid construction. The bacterial strains used for this study are described in Table 1. All bacterial cultures were grown in Luria-Bertani broth at 37°C. The preparation of K. oxytoca CCUG 15788 DNA in agarose blocks, contour-clamped homogeneous electric field pulsed-field electrophoresis gels, and native and alkaline agarose electrophoresis gels and for Southern blot analysis was performed as previously described (17, 18, 93), and DNA cloning in vitro was performed as previously described (14). Plasmid pBLSK (Stratagene, La Jolla, Calif.) and pET15b (Invitrogen, Carlsbad, Calif.) manipulations were performed by using E. coli NF1829 and XL-1-Blue (Stratagene), respectively, and pET15b expression constructs were induced by IPTG (isopropyl-B-D-thiogalactopyranoside) in E. coli BL21 (DE3). Plasmid F'128 derivatives were constructed by the strategy described by Bunny et al. (11). The P22-lacZ reporter constructs were made as follows. A 365-bp sequence of  $\phi$ KO2 DNA (from bp 25894 through 26258) which included the putative \$\$KO2 P\_{22}\$ promoter and the first 19 codons of the 5' end of gene 22 was PCR amplified by using the primers 5'-GTTGTAAAACGACGGCCAGTGAATCCGTAATCATGGTCATCTTA GCACCGGCTAATCCCT and 5'-TCAGGCATCGGCGCACGGCTGTATTC GTGATCTCCGGCTCCCATCCTGTAGCGTTTAACCC, whose 40-nucleotide 3' tails (underlined) are the same as sequences downstream of the mphC gene and at the 5' end of the lacZ gene of the plasmid carrying F'128 mphC31::Tn10-dTet lacA4643::Tn10d-Cam, respectively (see below and Fig. 2B and C of reference 11). Electroporation of strain TT24565 (Table 1) with this amplified DNA fragment, followed by selection for the loss of tetracycline resistance (7), resulted in a modified F'128 plasmid in which homologous recombination had occurred between the parent plasmid and the PCR fragment's non-\phiKO2 tails, such that the amplified DNA replaced the plasmid's mph operon and fused the first 19 codons of gene 22 in-frame with the 5' terminus of the lacZ gene. The inserted DNA was amplified with outside PCR primers, and the DNA product was sequenced directly to confirm the plasmid's structure and to ensure that no PCR-generated sequence changes had occurred. The resulting male strain (UB-1535) was then mated on solid medium for 6 to 8 h with strains TT23771, TT23933, TT24089, and TT24090, and in each case the recipient carrying the modified F' plasmid was selected by growth in glucose minimal medium in the presence of 30 µg of chloramphenicol/ml. An analogous P23

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Strain	Genotype <sup>a</sup>	
K. oxytoca CCUG15788	Wild type	95
00013788	wild type	95
E. coli K-12		
NF1829	araD139 $\Delta$ (ara ABOIC-leu)7679 galUK $\Delta$ (lac)X74 rec $A^+$ rspL thi/F' lacIq1 lacZ::Tn5 lacY <sup>+</sup>	91
XL1-Blue	recA1 endA1 gyrA96 thi-1 hsdR17 supE44 relA1/F' proAB lac <sup>Iq</sup> lacZ::Tn10 (Tet <sup>r</sup> )	Stratagen
BL21(DE3)	$ompT hsdS_B (r_B m_B) gal dcm \lambda (DE3)$	96
S. enterica serovar Typhimurium LT2		
TT24565	metA22 metE551 trpD2 ilv-452 leu pro (leaky) hsdLT6 hsdSA29 hsdB strA120/ pKD46/F'128 pro <sup>+</sup> mphC31::Tn10-dTet lac Y 4643::Tn10d-Cam	This study
TT23771	$lexA^+$ sulA46::Spc $\Delta$ (Fels-2, Gifsy-1, Gifsy-2) proB1657::Tn10	This stud
TT23933	<i>lexA41</i> ::Cam(sw) <i>sulA</i> 46::Spc Δ(Fels-2, Gifsy-1, Gifsy-2) <i>proB1657</i> ::Tn10	This stud
TT24089	lexA33::[Rif lexA3(Ind <sup>-</sup> )](sw) sulA <sup>+</sup> $\Delta$ (Fels-2, Gifsy-1, Gifsy-2) proB1657::Tn10	This stud
TT24090	<i>lexA</i> 33::[Rif 1 <i>exA</i> 3(Ind <sup>-</sup> )](sw) <i>sulA</i> 46::Spc Δ(Fels-2, Gifsy-1, Gifsy-2) <i>proB1657</i> ::Tn10	This stud
UB-1535	metA22 metE551 trpD2 ilv-452 leu pro (leaky) hsdLT6 hsdSA29 hsdB StrA120/ pKD46/F'128 pro <sup>+</sup> (KO2-P <sub>27</sub> ::lacZ <sup>+</sup> ) lacY4643:: Tn10d-Cam	This stud
UB-1547	$lexA33::[Rif lexA3(Ind-)](sw) sulA+ \Delta(Fels-2, Gifsy-1, Gifsy-2) proB1657::Tn10/F'128 pro+ (KO2-P22::lacZ+) lacY4643::Tn10d-Cam$	This stud
UB-1548	$lexA^+$ sulA46::Spc $\Delta$ (Fels-2, Gifsy-1, Gifsy-2) proB1657::Tn10/F'128 pro <sup>+</sup> (KO2-P <sub>22</sub> :: $lacZ^+$ ) $lacY4643$ ::Tn10d-Cam	This stud
UB-1549	<i>lexA33</i> ::[Rit <i>lexA3</i> (Ind <sup>-</sup> )](sw) <i>sulA46</i> ::Spc Δ(Fels-2, Gifsy-1, Gifsy-2) <i>proB1657</i> ::Tn10/F'128 <i>pro</i> <sup>+</sup> (KO2-P <sub>27</sub> :: <i>lacZ</i> <sup>+</sup> ) <i>lacY4643</i> ::Tn10d-Cam	This stud
UB-1550	<i>lexA41</i> ::Cam(sw) <i>sulA46</i> ::Spc Δ(Fels-2, Gifsy-1, Gifsy-2) <i>proB1657</i> ::Tn10/F'128 <i>pro</i> <sup>+</sup> (KO2-P <sub>22</sub> :: <i>lacZ</i> <sup>+</sup> ) <i>lacY4643</i> ::Tn10d-Cam	This stud
UB-1555	$lexA^+$ sulA46::Spc $\Delta$ (Fels-2, Gifsy-1, Gifsy-2) proB1657::Tn10/F'128 pro <sup>+</sup> (KO2-P <sub>23</sub> :: $lacZ^+$ ) $lacY4643$ ::Tn10d-Cam	This stud
UB-1556	<i>lexA41</i> ::Cam(sw) <i>sulA46</i> ::Spc Δ(Fels-2, Gifsy-1, Gifsy-2) <i>proB1657</i> ::Tn10/F'128 <i>pro</i> <sup>+</sup> (KO2-P <sub>73</sub> :: <i>lacZ</i> <sup>+</sup> ) <i>lacY4643</i> ::Tn10d-Cam	This stud
UB-1557	<i>lexA33</i> ::[Rif <i>lexA3</i> (Ind <sup>-</sup> )](sw) sulA46::Spc Δ(Fels-2, Gifsy-1, Gifsy-2) proB1657::Tn10/F'128 pro <sup>+</sup> (KO2-P <sub>23</sub> :: <i>lacZ</i> <sup>+</sup> ) <i>lacY4643</i> ::Tn10d-Cam	This stud
UB-1558	<i>lexA33</i> ::[Rif <i>lexA3</i> (Ind <sup>-</sup> )](sw) <i>sulA</i> <sup>+</sup> Δ(Fels-2, Gifsy-1, Gifsy-2) <i>proB1657</i> ::Tn10/F'128 pro <sup>+</sup> (KO2-P <sub>23</sub> :: <i>lacZ</i> <sup>+</sup> ) <i>lacY4643</i> ::Tn10d-Cam	This stud

TABLE 1. Bacterial strains used for this study

<sup>*a*</sup> The null *lexA41*::Cam(sw) strain, which has a chloramphenicol resistance gene inserted into the fourth codon of the *lexA* gene and  $\Delta$ (Fels-2, Gifsy-1, Gifsy-2) and *sulL46*::Spc mutations were described by Bunny et al. (11). The noninducible *lexA33*::[Rif *lexA3*(Ind<sup>-</sup>)](sw) allele has the *Salmonella lexA* gene replaced by an *E. coli lexA* gene that contains the *lexA3* mutation that blocks LexA protein autocleavage (64). The plasmid pKD46 expresses the phage  $\lambda$  recombination gene products, which allow efficient recombinational transformation by DNA with only short terminal homologies with the target DNA (23). KO2-P<sub>22</sub>::*lacZ*<sup>+</sup> and KO2-P<sub>23</sub>::*lacZ*<sup>+</sup> indicate the presence of the  $\phi$ KO2 gene 22 and 23 promoters, respectively, driving expression of the F' *lacZ* gene (see text).

reporter construct carrying 191 bp of  $\phi$ KO2 DNA with *lacZ* fused to the first 19 codons of gene 23 was made in a similar manner by using oligonucleotides 5'-<u>GTTGTAAAACGACGGCCAGTGAATCCGTAATCATGGTCAT</u>TTCAT CAAGCGAAAGCTGCTT-3' and 5'-<u>TCAGGCATCGGCGCACGGCTGTAT</u> <u>TCGTGATCTCCGGCTCC</u>TTAGCACCGGCTAATCCCTC-3' as PCR primers.

Virion production and purification and electron microscopy. 6KO2 virions and DNAs were prepared as follows: K. oxytoca CCUG 15788 was grown in Luria-Bertani broth at 37°C to a density of  $2 \times 10^8$  cells/ml, mitomycin C (Sigma, St. Louis, Mo.) was added to a final concentration of 0.1 µg/ml, shaking was continued for 2 to 4 h at 37°C, and the culture was shaken with chloroform to complete the lysis. Cell debris was removed by centrifugation for 20 min at 8,000 rpm in a Beckman JA-10 rotor at 4°C, and phage particles were then pelleted by spinning overnight in the same rotor. The pellet was gently resuspended in TM (10 mM TrisCl [pH 7.4], 1 mM MgCl<sub>2</sub>), and the particles were separated in a CsCl density step gradient as previously described (31) and then dialyzed against TM. About 1 mg of particles was obtained per liter of culture, suggesting a yield of about 50 particles/cell. Electron microscopic analysis showed that both tailed virions and tailless heads were present in this preparation; however, a subsequent sucrose density velocity gradient largely separated these two components (1 ml of about 1-mg/ml particles was layered onto a preformed 10 to 40% sucrose gradient and spun in an SW41 Beckman rotor at 25,000 rpm for 120 min). The visibly opalescent head (faster sedimenting) and virion (slower sedimenting) bands were removed by syringe puncturing of the tubes. Finally, particles were concentrated by pelleting overnight and resuspension in TM as described above. DNAs were isolated from \$\$\phi\$KO2 virions by sodium dodecyl sulfate (SDS) release

and ethanol precipitation. Virions were stained for electron microscopy with 0.5% uranyl formate on a carbon-coated Parlodion film and were examined in a Zeiss EM902 microscope operating at 80 kV.

DNA and protein methods. Nucleotide sequence determination was done by the dideoxy chain termination method, as previously described (76). Bulk sequencing of a random plasmid library of sheared DNA was performed until an average of more than sevenfold coverage was obtained (768 sequencing runs). Thirty-two primers were used to sequence across weak areas, with  $\phi$ KO2 virion DNA as a template, and PhredPhrap (40) was used to assemble the sequence into one circular contig. The DNA sequence analysis software packages used were DNA Strider (27), GeneMark (8), the Staden programs (94), BLAST (3), BPROM (http://www.softberry.com/berry.phtml?topic=gfindb), and DNA Master (J. Lawrence [http://cobamide2.bio.pitt.edu/]). Polyacrylamide gel electrophoresis of proteins in SDS, staining with Coomassie brilliant blue R-250 (BioRad, Richmond, Va.), and determination of N-terminal amino acid sequences by the University of Utah Protein Facility were performed as previously described (34).

Nucleotide sequence accession number. The complete nucleotide sequence for the  $\phi KO2$  virion has been deposited under GenBank no. AY374448.

## RESULTS

The  $\phi$ KO2 virion. Treatment with 0.5 µg of mitomycin C/ml in L broth at 37°C causes *K. oxytoca* CCUG 15788 cultures to lyse after several hours. Tailed-phage virion-like particles

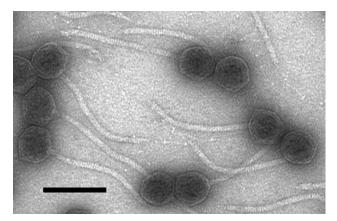


FIG. 1. Electron micrograph of  $\phi$ KO2 virions. Virions were prepared, negatively stained, and examined by electron microscopy as described in Materials and Methods. Bar, 100 nm.

could be purified to near homogeneity from these lysates by differential centrifugation followed by CsCl density step gradient centrifugation (31). The DNA molecules within these particles are monodisperse and about 50 kbp in length, as measured by pulsed-field gel electrophoresis and by summing of the band sizes after cleavage by restriction enzyme HindIII or EcoRI. The isolated virion DNAs hybridized strongly in Southern blot analyses (93) to the pKO2 plasmid DNA displayed in pulsed-field gel electrophoresis gels, showing that the pKO2 plasmid DNA is present in the  $\phi$ KO2 virions (data not shown). When plasmid pKO2 and virion  $\phi$ KO2 DNAs were cleaved by restriction enzymes and the resulting bands were compared by electrophoresis, a number of bands were identical in size; however, each DNA produced several restriction fragments whose sizes were different in the plasmid and virion DNAs. This is explained by the circularly permuted relationship between virion and prophage DNAs, similar to what is seen for other temperate phages. The ends of both DNAs are characterized in more detail below.

Negative-stain electron microscopy of the  $\phi$ KO2 virions showed heads that are hexagonal in outline (and thus almost certainly icosahedral in shape), with flexible, apparently noncontractile tails that are similar in appearance to the tails of phage  $\lambda$  and many other phages (Fig. 1). The heads are 60 nm in diameter, and the tails are about 9.0 nm wide and 180 nm long and contain about 45 stacked disks (for comparison, these values for  $\lambda$  virions are 60, 18, and 150 nm, respectively, and 32 disks). Tail fibers are not obviously visible, but in a few instances, where the tail tips of two or more phages lie in close proximity, some fibrous material can be seen in the vicinity of the tail tips. This is consistent with but does not prove the hypothesis that  $\phi$ KO2 does have tail fibers but that they are too thin to visualize individually. Although these  $\phi$ KO2 virions appear to be morphologically intact, our attempts to propagate them on several other K. oxytoca strains, as well as several Klebsiella pneumoniae, Salmonella enterica, and E. coli strains, were not successful (we have not yet been able to isolate a K. oxytoca CCUG 15788 strain that is devoid of the pKO2 plasmid for such a test). The strain specificity of most phages means that this propagation failure is not a strong indication that the  $\phi$ KO2 particles are not infectious on the proper host.

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The complete  $\phi$ KO2 nucleotide sequence. A shotgun library of DNA fragments from virions was used to determine the complete sequence of  $\phi$ KO2 DNA by automated dideoxy methods as described in Materials and Methods. The assembly of 384 shotgun plasmid clones, sequenced in the forward and reverse directions from vector-based primers, and 32 sequences primed by oligonucleotides on  $\phi$ KO2 virion DNA gave a complete sequence of the  $\phi$ KO2 chromosome, which is 51,601 bp in length and 51.9% G+C. This G+C value is very close to the 51.2% value for the related phage N15 but is somewhat lower than the 57.1% G+C value of the K. pneumoniae genome (calculated from publicly available Washington University K. pneumoniae genome project web site data [http://genome.wustl.edu/projects/bacterial/kpneumoniae/]). The  $\phi$ KO2 sequence accurately predicts the sizes of the *Hin*dIII, BamHI, and EcoRI fragments, which confirms the correct assembly of the sequence. About 45% of its nucleotide sequence is recognizably similar to and colinearly organized with the phage N15 chromosome; thus,  $\phi$ KO2 is clearly a rather close relative of N15, which indicates that it is a member of the lambdoid phage group. Putative  $\phi$ KO2 genes were identified by using the E. coli codon usage table and the Staden (94) and GeneMark (8) computer programs. Nearly all of the predicted genes have the expected rise in coding potential based on codon usage and a plausible Shine-Dalgarno ribosome binding site. Sixty-four probable protein-encoding genes were located, with 56 having AUG and 8 having GUG translation start codons, occupying 93.4% of the chromosome. These genes, along with their predicted functions and closest homologues, are listed in Table 2, and a physical map of the genome is shown in Fig. 2.

**Prophage and virion DNA circular permutation.** The overall organization of the  $\phi$ KO2 genome is similar to that of phage N15, with divergently transcribed putative early operons and a  $\lambda$ -like late operon arrangement. Its prophage and virion DNA ends are located at similar positions in the genome sequence as those of N15. After the complete sequence of the  $\phi$ KO2 genome was known, by sequencing of the ends of the virion DNA, we established that  $\phi$ KO2 has 10-nucleotide single-stranded 3' extensions, 3'-TGCCGCTTCA...-5' and 5'-...A CGGCGAAGT-3', at the left and right ends of the mature virion DNA, respectively. The cohesive end site lies 63 bp to the left of the start of gene 1 (below), which encodes the small terminase subunit.

In the pKO2 linear prophage plasmid, the cohesive ends of the virion DNA are covalently joined, and the linear prophage plasmid is formed by opening at a different site, called *telRL* (for the site of telomere formation), on the opposite side of the circularized genome. Restriction analysis of the linear plasmid DNA showed that its ends are located at positions similar to those of the phage N15 prophage plasmid ends, as determined by its *telRL* site (data not shown). The  $\phi$ KO2 sequence at this location contains the perfect inverted repeat 5'-CCATTAT ACGC  $\cdot$  GCGTATAATGG-3' (the dot denotes the center of symmetry), which is identical to the central region of the N15 telRL site (25, 67). We also showed (by a procedure identical to that of Casjens and Huang [17]) that the two strands of terminal HindIII and EcoRI restriction fragments of the linear pKO2 plasmid DNA reanneal extremely rapidly (snapback) compared to internal restriction fragments (data not shown).

TABLE 2. E	Bacteriophage	φKO2	gene	analysis
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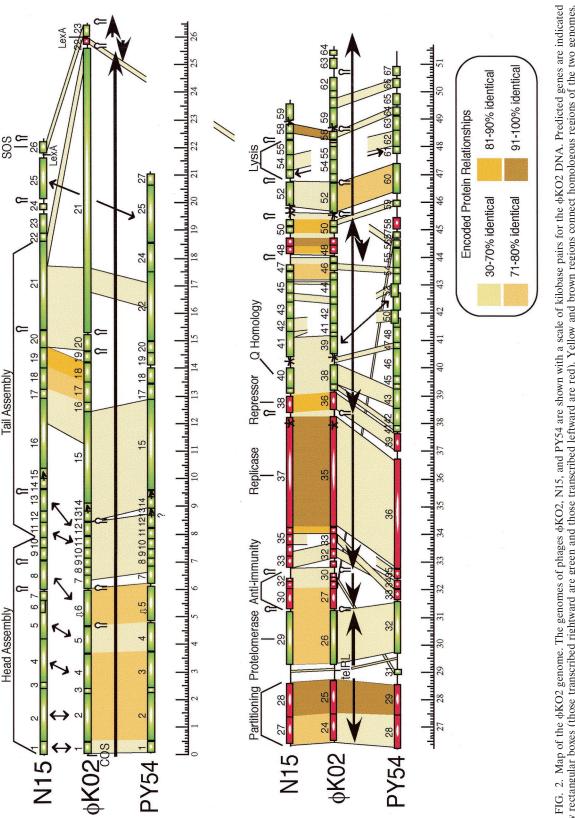
Gene	Predicted protein size (no. of amino- acids)	Predicted function	Phages with best matches <sup>a</sup>	Extent of best match (% identity/length of region [no. of aminoacids])
1	143	Small terminase subunit	PY54, DT1, CP-933C, φST64B	64/143
2	568	Large terminase subunit	PY54, ΦP27, SfV, φST64B, φE125	71/568
3	59	Unknown (head assembly?)	PY54 <sup>t</sup> , e14, ΦP27, φST64B, φE125	42/59
4	422	Portal <sup>b</sup>	PY54, φE125, φST64B, ΦP27	75/422
5	306	Maturation protease/scaffold	PY54, $\phi$ E125, Gifsy-1, $\lambda^c$	64/306
6	428	Major head shell (coat) protein <sup>b</sup>	PY54 <sup>c</sup> , φE125	76/366
7	152	No matches (head assembly?)	PY54	43/86
8	98	Unknown (head assembly?)	PY54, SfV, φST64B	50/98
9	112	No matches (virion assembly?)	PY54	59/112
10	129	No matches (virion assembly?)	PY54	56/129
11	133	Unknown (tail assembly?)	PY54, D3, λSo, φE125, HK97	68/133
12	155	Major tail shaft protein <sup>b</sup>	PY54	70/143
13 1 4e	122	Tail chaperone?	PY54 DV54f	62/122
$14^{e}$	202	Frameshifted tail chaperone?	PY54 HX022 TD001 16	52/202
15	1,118	Tail "tape measure"	PY54, HK022, TP901-1 <sup>c</sup>	56/1,118
16	112	Tail tip structure ( $\lambda$ gpM)	HK97, HK022, $φ$ E125, N15, $λ^c$	67/112
17	251	Tail tip structure ( $\lambda$ gpL)	HK022, N15, HK97, $\lambda^c$	74/251
18	236	Tail tip structure ( $\lambda$ gpK)	HK97, N15, HK022, $\lambda^c$	86/333
19 20	111	Moron gene	Xanthomonas prophage XccP1	33/99
20	197	Tail tip structure ( $\lambda$ gpI)	N15, HK022, $\phi$ E125, $\lambda^c$	69/194
21	3,433	Tail tip fiber ( $\lambda$ gpJ)	HK022, N15, $\lambda$ So, $\lambda^c$	69/941 76/67
22 23	80 127	DinI SOS response regulator UmuD' DNA polymerase subunit	Stm6 <sup>d</sup> , Gifsy-2 Stm6 <sup>d</sup> , N15, P1 <sup>c</sup>	76/67
23 24	323		$N15^{c}$ , PY54, P1 <sup>c</sup>	68/129
		Plasmid partitioning (SopB)		76/320
25 26	387 640	Plasmid partitioning (SopA)	$N15^{c}$ , PY54, P7	92/387 75/640
20 27	260	Protelomerase	N15 <sup>c</sup> , PY54 <sup>c</sup> , VHML N15 <sup>c</sup> , CP-933N, φP27, φ11, A118	74/262
27 28	200 76	Auxiliary antirepressor	N15, CF-955N, $\phi$ F27, $\phi$ 11, A118 N15 <sup>c</sup> , PY54 <sup>c</sup>	74/202 78/76
28 29	70 54	Antirepressor Inhibitor of cell division	$N15^{c}$ , $PY54$ , $P4^{c}$ , $\phi R73$	85/54
$\frac{29}{30^{6}}$	58	Control of genes 27 to 29?	φR73, P4, N15	60/43
30	75	No matches	None	00/43
32	111	Unknown	N15, PY54	69/116
33	182	Unknown	P22, PY54	35/110
33 34	82	Unknown	N15	81/82
35	1,328	Replicase	$N15^{c}$ , PY54	92/1,318
36	202	Prophage repressor	$N15^c$ , PY54 <sup>c</sup>	88/202
37	69	Cro repressor	N15, PY54 <sup><math>c</math></sup>	87/65
38	245	Q-like antiterminator	N15, PY54, Gifsy-1	70/248
39	202	Exonuclease III; Pol III ε	N15, 186	62/197
40	44	No matches	None	02,107
41	110	No matches	None	
42	226	No matches	None	
43	97	Unknown	N15	61/94
44	203	Unknown	CP-933P, N15, ST64T	30/109
45	108	Unknown	N15, e15 plasmid pKM101	77/108
46	79	Unknown	N15, PY54	73/73
47	68	No matches	None	
48	95	Possible Cro-like repressor	N15	85/95
49	104	Unknown	N15, Xylella prophage XfP3	93/104
50	73	Unknown	N15	80/73
51	75	Unknown	N15	71/75
52	353	DNA adenine methylase	PY54, SfV, φP27, VMHL, N15	77/353
53	101	No matches	None	
54	163	No matches	None	
55	154	No matches	None	
56	57	No matches	None	
57	32	No matches	None	
58	99	Unknown	N15, PY54, λSo, Omega, TM4	90/99
59	241	Unknown	φ80	66/74
60	120	Holin?	PY54, φP27, ST64T, SfV, φE125	65/120
61	96	No matches	None	
62	146	Unknown	Salmonella plasmid pHCM2	33/130
63	127	Unknown	S. enterica serovar Typhi prophage Sti1 <sup>d</sup>	68/127
64	66	No matches	None	

<sup>a</sup> Matches are listed in descending order of extent of similarity. The matching genes (names not shown) of the indicated phages typically occupy very similar positions on those genomes. Unless denoted by a footnote, they have not been shown by direct experimentation to have the function indicated, but they have sequence similarities to ones that have been so studied. <sup>b</sup> Presence in  $\phi$ KO2 confirmed by N-terminal amino acid analysis (see text).

<sup>a</sup> Presence in φKO2 confirmed by N-terminal amino acid analysis (see text).
<sup>c</sup> This phage's gene has been shown directly to have the indicated function.
<sup>d</sup> The names of *S. enterica* serovar Typhimurium and Typhi defective prophages Stm6 and Sti1, respectively, are provisional (13).
<sup>e</sup> Note that gene 14 is likely expressed as a frameshifted protein whose N terminus is identical to that of the gene 13 protein but whose C terminus has extra sequence; gene 30 may not be an authentic gene.
<sup>f</sup> Gene not annotated in original genome sequence publication.

Tail Assembly

Head Assembly





This strongly indicates that the pKO2 plasmid ends are covalently closed hairpins (also see below).

The predicted late operon genes. The left portion of the φKO2 virion chromosome is organized in a manner similar to that of the lambdoid phages, with putative head genes near the left end and tail genes clustered immediately to their right. All of these genes are transcribed in a rightward direction. The predicted head and tail shaft (see below) genes 1 through 15 are all quite similar to parallel genes in Yersinia phage PY54 (48), but in neither phage had they been studied in much further detail. Genes 1, 2, 3, 4, 5, 6, and 8 each have matches to putative head genes of other phages, and genes 11 and 16 through 21 match phage tail genes. These matches are all in the order that is expected from the conserved head and tail gene orders found in most dsDNA phage genomes (13, 16). For example, the head gene homologies are as follows: small terminase subunit gene with  $\phi$ KO2 gene 1, large terminase subunit gene with  $\phi$ KO2 gene 2, portal protein gene with  $\phi$ KO2 gene 4, maturation protease gene with  $\phi$ KO2 gene 5, and coat protein gene with  $\phi$ KO2 gene 6. Excluding PY54, the φKO2 head genes most closely resemble those of *E. coli* phage ΦP27 (86), Shigella flexneri phage SfV (1), and Burkholderia mallei phage  $\phi$ E125 (101) (Table 2). These form a phage head type that is distantly but still convincingly related to phages whose head assembly and DNA packaging have been studied in detail, including E. coli phage HK97 (54). Like  $\phi$ KO2, the cohesive ends of PY54,  $\phi$ E125, and  $\Phi$ P27 are all 10-nucleotide single-stranded 3' extensions; however, the sequences of the 6 KO2 cohesive ends are very different from those of other phages (1, 47, 101). The  $\phi$ KO2 head genes are not recognizably homologous to the  $\lambda$ -like head genes of N15, whose virion DNA has 5'-extended 12-nucleotide cohesive ends.

To begin to confirm the above predictions, we displayed the proteins of whole  $\phi$ KO2 virions and of purified heads by SDS gel electrophoresis (Fig. 3) and excised the virion band gp6 (both tailless heads and tailed virions are produced in large numbers after mitomycin C induction; see Materials and Methods). This must be the major head (capsid) protein, since it is by far the most abundant virion protein in purified heads. Its N-terminal amino acid sequence was determined, and this sequence, NH<sub>2</sub>-Ala-Ile-Ser-Thr-Ala-Ala-Gly, is present only once in all of the predicted \$\phiKO2\$-encoded proteins, at an internal position in the unprocessed gene 6 protein. The Nterminal alanine is predicted to be encoded by codon 126. The coat proteins of many viruses are proteolytically cleaved during assembly, and those present in mature  $\phi$ P27, SfV, and  $\phi$ SLT virions (three database matches that are cleaved) have been shown to have the N-terminal 115, 115, and 112 amino acids, respectively, removed (2, 73, 86). However, the  $\phi$ KO2 gene 6 protein is only rather weakly similar to these coat proteins, some of its sequence relatives are not cleaved (e.g., the phage A118 coat protein [66]), and it has an in-frame AUG methionine codon 125. These points raise the possibility that translation could begin at this AUG followed by removal of that methionine; however, there is no convincing Shine-Dalgarno ribosome binding sequence upstream of this AUG, whereas there is such a sequence (AGAGAGAA) upstream of the predicted gene 6 start codon. The size of the coat protein measured by SDS-polyacrylamide gel electrophoresis is about 33,000 Da, which is very close to the predicted size of the

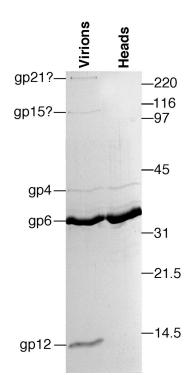


FIG. 3. Separation of  $\phi$ KO2 proteins by SDS-polyacrylamide gel electrophoresis. The protein components of purified virions and heads, separated by sucrose gradient centrifugation, were displayed by SDS-10% polyacrylamide gel electrophoresis and were stained with Coomassie brilliant blue. The positions of standard proteins are indicated on the right, and the identities of  $\phi$ KO2 proteins are indicated on the left (gpX indicates the product of gene X).

cleaved gene 6 protein of 32,731 Da. In addition, the  $\phi$ KO2 gene 5 protein is homologous to other phage maturation proteases. These facts combine to make it extremely probable that the gene 6 protein is translated from the whole predicted open reading frame (see GenBank annotation) and is subsequently cleaved between amino acids 125 and 126, most likely during head assembly by analogy with the well-studied phage systems. We suggest that, due to the high degree of similarity in the maturation protease and coat protein genes, a similar situation holds true for phage PY54 (48).

For a different approach to this question, we asked, prior to determining the N-terminal sequence, whether we could predict the site of the putative cleavage by homology to capsid proteins with characterized cleavage sites. We aligned the gp6 sequence with the numerous homologous sequences in the databases by using the program PSI-BLAST. Of the capsid proteins with known cleavage sites, that of E. coli phage HK97 had the best match to  $\phi$ KO2 in the vicinity of the cleavage site. Despite only 18% overall sequence identity between the two proteins, there was an 18-amino-acid region covering the HK97 cleavage site where the HK97 and  $\phi$ KO2 sequences aligned with six identities, six conservative substitutions, and no gaps, and this positioned the HK97 cleavage site between Met125 and Ala126 of the aligned  $\phi$ KO2 sequence. We believe that this correct prediction of the chemically determined  $\varphi KO2$  N terminus based on homology with the HK97 cleavage site further strengthens the case for cleavage at this site rather than at an internal translational start codon. We noted that the dipeptide defining the cleavage site is not well conserved among phages that do this cleavage: the cleavage site is Lys-Ser in HK97 (28), Arg-Asp in  $\phi$ C31 (92), and Met-Ala in  $\phi$ KO2. In HK97, the cleavage site Lys was changed to Leu and cleavage was still observed, though at a reduced rate, arguing that some of the cleavage specificity must reside in the local conformation of the polypeptide (29).

We also determined the N-terminal sequence of band gp4 (Fig. 2) to be  $NH_2$ -Met-Phe-Ile-Pro-?-Met ("?" indicates an unidentified amino acid), which matches the predicted N terminus of the  $\phi$ KO2 gene 4 protein. The intensity of this band relative to the coat protein band is consistent with the 12 portal protein molecules per 400 coat protein molecules observed in other T=7 phage heads (16). However, the observed size, as measured by SDS gel electrophoresis, of the predicted 47,354-Da gene 4 protein in  $\phi$ KO2 heads is about 40,000 Da; either it migrates anomalously in such gels or it is proteolytically processed, but not at the N terminus.

Among  $\phi$ KO2 genes 7 through 14, only two similarities to genes in the current database exist outside of phage PY54: gene 8 matches the similarly located phage SfV gene 6 (the proteins they encode are identical in 25 of 76 amino acids), and gene 11 weakly matches similarly located genes in Pseudomonas phage D3 (59), Burkholderia phage  $\phi$ E125 (101), E. coli phage HK97 (54), and the Shewanella prophage  $\lambda$ So (45). The positions of these genes relative to the conserved order of other lambdoid phage genes suggest that gene 8 likely encodes a head completion protein, while gene 11 may encode the protein on tails that binds heads (15, 16, 54). The only gene 12 database match is one to PY54 gene 12, and the latter is present in PY54 virions (48). The N-terminal sequence analysis of the  $\phi$ KO2 major tail protein band (present in virions but not in heads) (Fig. 3) gave the sequence NH<sub>2</sub>-Ala-Asp-Asn-Gln-Thr. This matches the predicted N terminus of the gene 12 protein perfectly if its N-terminal methionine is removed (which is not uncommon with a penultimate alanine [36]). Its measured molecular weight in SDS-polyacrylamide gel electrophoresis gels of about 14,000 Da is not far from the predicted size of 16,154 Da. The location of gene 12 on the  $\phi$ KO2 map, the large number of molecules in the virion, and their complete absence from heads show that it encodes the building block of the shaft of the tail. The narrowness of the  $\phi$ KO2 tail is likely explained by its smaller shaft subunit (155 amino acids compared to 246 amino acids for  $\lambda$ ). A comparison of the  $\phi$ KO2 and PY54 genes in this region showed that PY54 open reading frame 13 is neatly absent from  $\phi$ KO2 (Fig. 2). We noted that for PY54, (i) gene 13 does not have a convincing Shine-Dalgarno sequence, (ii) genes 12 and 13 overlap, and (iii) virions contain two proteins, of 18 and 30 kDa, whose nonidentical peptide mass spectra are very similar. These facts would all be explained if PY54 gene 13 were expressed only as a -1 programmed ribosomal frameshift from gene 12. Any ribosomes that shifted would thus create a gene 12 protein with the gene 13 protein frame amino acids at its C terminus. Since such frameshifts do not occur during every ribosome passage, two sizes of "gene 12 protein" would be made (and the amino acid sequence encoded by the gene 13 frame would be homologous to the C-terminal portion of the HK97 major tail shaft protein). This seems reasonable since in the short overlap

between these two reading frames there is a C-CCA-AAG sequence (hyphens separate codons in the gene 12 frame) on which ribosomes can have a -1 shift; this sequence was shown to give a very high level of frameshifting at the IS3 orfA-B frameshift site, even though the tRNA nucleotide paired with the A in the CCA codon should, in theory, pair with a C after the shift (63), and there is a GGGGAA Shine-Dalgarno-like sequence upstream of the putative shift site that might stimulate shifting (60).

The overlapping  $\phi$ KO2 reading frames 13 and 14 appear to be analogous, but not recognizably similar in sequence, to the similarly located G and G-T genes, respectively, of phage  $\lambda$ . In the latter phage, ribosomes initiate at the gene G start codon, but about 4% of them undergo a programmed -1 frameshift and continue on in the G-T frame; no ribosomes initiate in the G-T frame (61). Many other tailed phages have a pair of tail genes with a similar frameshifting relationship (e.g., see references 20 and 102), yet there is often no recognizable similarity among them (J. Xu, R. Duda, R. Hendrix, and S. Casjens, unpublished data). In  $\phi$ KO2, gene 13 has a putative Shine-Dalgarno ribosome binding site, 5'-AAGGA, but the downstream frame has no convincing translation start codon. Furthermore, in the short overlap between the gene 13 and downstream open reading frames, there is a 5'-G-GAA-AAA-AAC-UAA (-Glu-Lys-Asn-stop in the 13 frame) sequence that could potentially program such a shift from the 13 frame into the downstream frame when Glu and Lys tRNAs or Lys and Asn tRNAs are bound to the ribosome, and the stop codon could provide the translational pause that is often associated with such events. The Lys-Asn tRNA shift from the AAA-AAC codons is possible, since a -1 shift when the Lys and Asn tRNAs are bound to the ribosome is identical to the shift that is known to occur during the gag-pol frameshift in mouse mammary tumor virus translation (49) and has been shown to occur in 2.1% of ribosomes traversing a 5'-A-AAA-AAC-UUG-UAA sequence in E. coli (100). We noted that phage PY54 gene 14 and an unannotated open reading frame between it and gene 15 are similar in sequence to these two  $\phi$ KO2 genes, including identical putative shift sites, so the above discussion applies to them as well.

The genes that encode the proteins that build the conical tail tip of phages  $\lambda$ , HK97, and N15 (for example) are similar to  $\phi$ KO2 genes 15, 16, 17, 18, 20, and 21; the latter genes encode predicted proteins that are similar to those made by  $\lambda$  genes H, M, L, K, I, and J, respectively. However, there are two noncanonical features in this cluster of genes. The first is gene 19, which (i) does not match tail assembly genes in other lambdoid phages, (ii) does match (33 of 99 identical amino acids) gene XCC2962 of the P2-like prophage XccP1 of Xanthomonas campestris (22), and (iii) is an island of substantially lower G+C content (39.3%) than the bulk of the  $\phi$ KO2 genome. The XCC2962 gene is not within XccP1's morphogenetic gene cluster, but lies at one end of the prophage. Thus,  $\phi KO2$  gene 19 should be classified as a "moron" (46, 54) or recent addition in the  $\phi$ KO2 genome, which likely has no function in tail assembly even though it resides in this cluster.

The second unusual feature of the  $\phi$ KO2 tail gene cluster is gene 21. Its prototypic homologue, the phage  $\lambda$  J protein, confers adsorption specificity to the virion and forms a short fiber that protrudes distally from the tail tip and contacts the

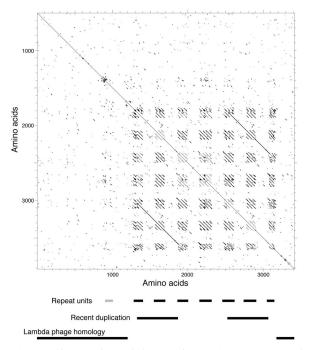


FIG. 4. Self-comparison of the putative  $\phi$ KO2 gene 21-encoded tail fiber. The gene 21 protein sequence was compared to itself by DNA Strider to produce a dot matrix plot in which each dot indicates seven or more identities in a 23-amino-acid scanning window. The repeat units are indicated below the matrix plot; the leftmost repeat is less conserved than the others and is barely recognizable at this stringency. Homology to the phage  $\lambda$  gene J protein is also indicated.

host's LamB outer membrane protein during adsorption (55, 99). The predicted  $\phi$ KO2 gene 21 protein is exceptionally large, with 3,433 amino acids, compared to its lambdoid phage homologues, which vary in length from 815 amino acids in Salmonella phage Gifsy-2 (70) to 1,421 amino acids in Neisseria phage 2120 (GenBank accession no. AJ278707). A more detailed examination revealed that the gene 21 protein can be viewed as an approximately 1,300-amino-acid  $\lambda$  J-like protein with a large insertion relative to its known homologues (Fig. 4). This insertion is made up of eight imprecise direct repeats of a 130- to 180-amino-acid sequence (the most N-terminal repeat, between about amino acids 830 and 980, is quite different from the others), each of which is separated by about 170 amino acids. The amino acids of the repeats are strongly predicted to form coiled-coil structures, while the remainder of the protein is not. Each of these eight repeats is in turn composed of several shorter 44-amino-acid repeats (Fig. 4). A section of this repeat region that includes part of repeats 2 and 4, all of repeat 3, and the intervening sequences is nearly exactly duplicated in repeat units 6, 7, and 8, suggesting a more recent nontandem duplication of this subregion. The gene 21 repeat region's best match in the database is a  $\lambda$  *J*-like gene in *Xanthomonas* phage Xp10 (BLAST E =  $6 \times 10^{-8}$ ) (GenBank no. AY299121). Other putative tail fiber genes which contain single, severalhundred-amino-acid blocks that are related to the 44-aminoacid repeats in gene 21 are found in phage HK97 (54), Lactococcus lactis phages Tuc2009 (GenBank no. AF109874) and Lj965 (26), Neisseria meningitidis phage 2120 (GenBank no.

AJ278707), and a putative prophage in the *Bacillus anthracis* A2012 genome (GenBank no. NC\_003995). Analyses of the tail fiber genes of other phages indicated that the horizontal transfer of sequence blocks within these genes is common (43, 89). SDS-polyacrylamide gel electrophoresis of  $\phi$ KO2 virions showed that a small number of very large protein molecules of about the size predicted for the gene 21 protein are present in virions but not in heads (Fig. 3); the only gene large enough to encode such a large protein is gene 21. It is thus likely that this gene is in fact expressed and that its protein is present as the bacterial receptor adsorption protein of  $\phi$ KO2 tails.

At the opposite end of the virion chromosome, and at the promoter-proximal end of the  $\phi$ KO2 putative late operon, lie genes 52 through 64, which also appear to be part of the late operon. The putative late promoter, by homology with the parallel region of N15 (83), lies between genes 51 and 52. Of these 13 genes, only 1, gene 52, encodes a protein with convincing matches to proteins of known function, the DNA adenine methylases. Genes 58, 59, 62, and 63 all match genes of unknown functions (but usually similar locations) of other phages, prophages, or plasmids of other bacteria, and gene 60 matches genes in phages  $\phi$ P27, SfV,  $\phi$ E125, and HK97 that in turn have weak similarities to holins. The remaining seven genes in this region are novel. It is notable that no lysis gene cluster is recognizable in the  $\phi$ KO2 late operon. Holins form membrane disruptions through which the cell-wall-degrading enzymes escape to the periplasm, but the weakly holin-like gene 60 is similar to genes of the aforementioned phages which are not part of the lysis gene cluster in those cases; in each case, they lie several genes transcriptionally downstream from the putative lysis gene cluster (1, 54, 86, 101). In phage N15, the lysis genes occupy the positions of  $\phi$ KO2's novel genes 53 through 55; perhaps  $\phi$ KO2 has a lysis module of a type that has not been previously observed (gene 53, which lies at the position of genes that encode holins in other lambdoid phages, does have a hydropathy profile that is quite similar to the phage  $\lambda$  and P22 holins).

The  $\phi$ KO2 early genes. The  $\phi$ KO2 early region, which is similar to but mosaically related to those of phages N15 and PY54, consists of 43 predicted genes in two large divergent operons and several smaller transcription units. As in other lambdoid phages, the putative  $\phi$ KO2 prophage repressor gene 36 lies between the divergent early left and early right operons. It and the putative Cro repressor (encoded by gene 37) are 88 and 87% identical to the N15 cB repressor and Cro, respectively. This high level of similarity and the fact that their putative three O<sub>R</sub> and two O<sub>L</sub> operator binding sites all match the N15 5'-TTATAN<sub>6</sub>TATAA early operator consensus (83) suggest that N15 and  $\phi$ KO2 have the same repressor target specificity.

The early left region genes 31 through 35 are similar to those of phage N15 and include one encoding a putative replicase (gene 35) and four of unknown function, including a partial homolog of the phage P22 early left operon gene *eaF* (gene 33). The  $\phi$ KO2 and N15 replicase proteins are 92% identical and their putative replication origin sequences ( $\phi$ KO2 bp 34582 to 34645, inside the replicase gene) have 62 of 64 identical base pairs (82), so it is likely that these replicases have the same origin specificity. The putative early right operon, genes 37 through 51, is also largely homologous to the parallel N15 region. In this region, only  $\phi$ KO2 genes 40, 41, 42, and 47 (all of which have no database matches) do not have N15 or PY54 homologues, and gene 39 is truncated compared to its N15 homologue (Fig. 2). The first three of these genes replace N15 genes 42 and 43, and  $\phi$ KO2 47 is partly similar to sequences between N15 genes 47 and 48, although no gene is predicted there in N15. As in phage N15, only the first gene in this operon is similar to genes of known function, the lambdoid phage gene Q-type transcription antiterminators, although gene 39 is weakly similar to DNA exonuclease III and polymerase  $\epsilon$  subunits.

Genes 22, 23, 24-25, 26, 27 to 30, and 48-49 appear to form six additional short transcription units (Fig. 2). All but 22 have similarly positioned homologues on the phage N15 chromosome that are expressed in the lysogen and during early lytic growth of that phage (83). Genes 48 and 49 are homologues to N15 genes 48 and 49, but their role is unknown. Genes 24 and 25 encode convincing homologues of the SopB- and SopA-type plasmid partitioning proteins, respectively, and so almost certainly function in this process, as their N15 homologues have been shown to do (41, 79). The  $\phi$ KO2 and N15 SopA and SopB proteins are 92 and 76% identical, respectively, and the φKO2 genome contains four copies of the 5'-GTGCGACCA · CGGTCGCAY-3' (the dot marks the center of symmetry) inverted repeat at bp 37905, 40345, 45384, and 48618. N15 has very similar or identical sequences at locations that are homologous to the first three. The  $\phi$ KO2 site at bp 48618 does not have a convincing orthologue in N15; however, N15 carries an identical site in the region downstream of its gene 58 which is apparently not homologous to any  $\phi$ KO2 gene (Fig. 2). It thus appears that the  $\phi$ KO2 and N15 partitioning apparatuses have identical or very similar DNA target site specificities, and the conservation of the location of the nonhomologous sites nearest the right end of the virion chromosome suggests that partitioning sites at these locations may be important in spite of their apparent redundancy. We also note that, although phages very often carry DNA target sites near the genes that encode the proteins that bind to them (16), these two phages both carry their multiple putative partitioning sites nearer the center of the linear prophage plasmid, far from the genes that encode the Sop proteins. Perhaps their central location is important for physically moving the DNA molecules during the partitioning process.

Genes 27, 28, and 29 of  $\phi$ KO2 are similar to the antiimmunity operon that is found in this location in N15; operons with a similar function and regulation are also found in phages P4,  $\phi$ R73, P1, and P7 (5, 9, 21, 37, 88). Their putative proteins are 74, 79, and 81% identical to the N15 antB-, antA-, and icd-encoded proteins, respectively; these N15 proteins have auxiliary antirepressor, antirepressor, and inhibition of cell division functions, respectively (81). The 5' portion of the N15 transcript is processed into a highly folded cA RNA that activates a terminator 5' to N15 genes 30, 31, and 32. The putative φKO2 cA RNA differs in sequence from that of N15 in 27 of 89 positions, but all differences are either in unpaired regions or are compensated for by changes that preserve the predicted secondary structure (see Fig. 5C in reference 81). This conservation of secondary structure interactions supports the importance of these interactions in cA RNA functioning. A fourth potential  $\phi$ KO2 gene in this region is gene 30; it could, in

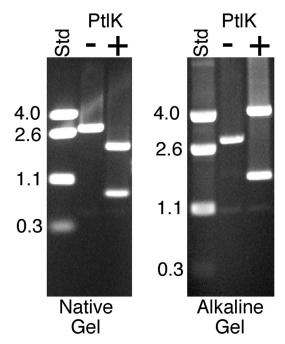


FIG. 5.  $\phi$ KO2 gene 26 protein has protelomerase activity. Plasmid pBLSK DNA containing the cloned 56-bp sequence that includes the  $\phi$ KO2 *telRL* site between its *Hin*dIII and *Bam*HI sites was first linearized with restriction endonuclease *Alu*NI. It was then treated with 1 pmol of purified gene 26 protein (PtlK) at 37°C for 30 min in a solution containing 20 mM Tris-Cl (pH 7.5), 50 mM K-glutamate, 1 mM dithiothreitol, and 0.1 mM EDTA. The resulting DNA was separated in native and alkaline agarose gels and stained with ethidium bromide. Size standards (Std) are given in kilobase pairs to the left of each panel.

theory, encode a small protein from the 5' region of this operon, but experimental analysis has yet to show whether this is true.

Gene 26 encodes a protein that is 75 and 42% identical to the phage N15 and PY54 protelomerases (25, 47), respectively, and near its left boundary lies a sequence that is very similar to the N15 telRL site (see above), the site at which the N15 protelomerase creates the ends of the linear prophage plasmid. In order to prove that this  $\phi$ KO2 gene encodes its protelomerase function, we expressed  $\phi$ KO2 gene 26 from the expression plasmid vector pET15b so that the protein made was histidine tagged at its amino terminus. This protein was purified to near homogeneity by nickel-affinity chromatography with Ni-nitrilotriacetic acid agarose (Qiagen, Valencia, Calif.) (51). Figure 5 (left panel) shows that this enzyme cleaves the inserted  $\phi$ KO2 DNA at the position of the putative *telRL* site; plasmid DNA without the inserted  $\phi$ KO2 *telRL* site was not cleaved (data not shown). An analysis of the cleaved DNA products in alkaline agarose gels (Fig. 5, right panel) showed that covalently closed hairpin ends are formed during this cleavage, since the reaction products migrate as expected when the two strands are covalently joined. Thus,  $\phi$ KO2 gene 26 encodes a functional protelomerase that no doubt functions to create the plasmid's linear telomeres, like the homologous N15 and PY54 proteins do (51, 80).

Genes 22 and 23 are divergently transcribed and have SOSrelated predicted functions; the gene 22 protein is similar to



FIG. 6. Region between  $\phi$ KO2 genes 22 and 23. The sequences of both strands of the region between genes 22 and 23 are shown, with a gray background marking the LexA-binding site, whose universally conserved base pairs are underlined. The -10 and -35 regions of putative promoters P<sub>22</sub> and P<sub>23</sub> are indicated by black and white rectangles, respectively, and arrows indicate the predicted mRNA start sites.

DinI proteins and the gene 23 protein is a UmuD' homolog (see Discussion). Previous studies with phage N15 indicated that its *umuD* homologous gene 26 (it has no *dinI* homolog) is expressed in a lysogen and throughout lytic infection (83), and the same is true of genes surrounding the PY54 gene 56 dinI homolog (48). Interestingly, the sequence 5'-TACTGAATGC ATATACAGTA-3' between  $\phi$ KO2 genes 22 and 23 is an excellent match to the consensus E. coli LexA binding site (5'-taCTGtatatatataCAGta-3', where uppercase nucleotides are universally present and lowercase nucleotides are not absolutely conserved [35, 62]), and there are potential promoter sequences oriented in both directions that overlap this sequence (we found no other LexA consensus sequences that overlap potential promoters in the  $\phi$ KO2 genome) (Fig. 6). We noted that previously unrecognized potential LexA binding sites lie 14 bp 5' of N15 gene 26 (5'-TACTGTAAAAAAAA ACAGTT-3') and 20 bp 5' of PY54 gene 56 (5'-GACTGTA TACATATACAGTA-3'). We therefore cloned a 365-bp region of  $\phi$ KO2 DNA that included the putative gene 22 promoter (called P<sub>22</sub>) and the LexA operator into a low-copynumber F' plasmid (see Materials and Methods and reference 11), in which gene 22 was fused in-frame to a lacZ reporter gene. This plasmid was then placed into lexA wild-type and mutant Salmonella strains (Table 1; see Materials and Methods), and expression of the gene 22-lacZ fusion protein was measured with and without SOS induction by mitomycin C. Table 3 shows that the P<sub>22</sub> promoter drives a substantial level of hybrid gene expression. This lacZ expression was 73-fold higher in the absence of wild-type host LexA protein than in its presence, indicating that active LexA protein greatly lowers transcription from  $P_{22}$ . In addition, if  $P_{22}$  is controlled by LexA, repression should be lifted if the host's SOS response is triggered. As predicted by this model, the presence of mitomycin C caused a 25-fold increase in lacZ expression in the host with wild-type *lexA*. Finally, in a noninducible *lexA* (Ind<sup>-</sup>) mutant, in which LexA is not released from its operator by SOS induction signals (64), the level of lacZ expression was not increased by mitomycin C treatment, as was expected if LexA cleavage allows gene 22 transcription. These experiments clearly demonstrate that the transcription of  $\phi$ KO2 gene 22 from the P<sub>22</sub> promoter is controlled by the host's LexA repressor. Parallel experiments with the putative gene 23 promoter gave similar results, as mitomycin C caused a 34-fold increase in expression in a lexA<sup>+</sup> strain and a lexA null mutation caused a 100-fold increase compared to the  $lexA^+$  strain. These experiments also show that under these conditions, promoter P<sub>23</sub> is not as strong as P<sub>22</sub>, since the reporter expression level in the absence of the LexA repressor is about 9- and 14-fold higher

for  $P_{22}$  under *lexA* or mitomycin C treatment regimens, respectively.

 $\phi$ KO2 transcription. Each of the putative transcripts indicated in Fig. 2 has, at its 5' end, a predicted sigma 70 promoter sequence, and the deduced transcription pattern is very similar to that of N15. As in N15, several regions have multiple closely spaced promoter-like sequences (5' of genes 38, 29, 30, 37, and 39); however, the significance of these apparently conserved arrangements is unknown. In particular, we noted that none of these promoters, with the exception of the LexA-responsive promoters (see above), has been tested experimentally, and therefore their identification is regarded as tentative. In addition, putative rho-independent (stem-loop) transcription terminators are located between early protein coding regions immediately 3' of genes 22, 23, 26, 31, 36, 51, 52, 58, and 62 (Fig. 2). All, except those after gene 62, have homologs in N15. The biological function of these terminators is not known. It has been suggested that they may serve to suppress inappropriate transcription from the repressed prophage but are overridden during lytic growth (54). In accord with this, there may be a correlation between phages with multiple terminators scattered throughout their lytic genes and those that have (or appear to have) transcription antitermination functions that

TABLE 3. Control of the  $\phi$ KO2 gene 22 and 23 promoters by host LexA protein

1		
+1/02	β-Galactosidase activity $(Miller units)^b$	
promoter	Without mitomycin C	With mitomycin C
P <sub>22</sub>	27	672
$P_{22}^{}$	1,962	2,610
$P_{22}^{}$	7	11
$P_{22}^{}$	8	9
P <sub>23</sub>	2	68
P <sub>23</sub>	219	187
P <sub>23</sub>	0.3	0.7
P <sub>23</sub>	0.2	0.7
	$\begin{array}{c} P_{22} \\ P_{22} \\ P_{22} \\ P_{22} \\ P_{23} \\ P_{23} \\ P_{23} \end{array}$	$ \begin{array}{c} \varphi KO2 \\ promoter \end{array} \qquad \begin{array}{c} & (Miller \\ \hline Without \\ mitomycin \\ C \end{array} \\ \hline \\ P_{22} \qquad 27 \\ P_{22} \qquad 1,962 \\ P_{22} \qquad 7 \\ P_{22} \qquad 8 \\ P_{23} \qquad 2 \\ P_{23} \qquad 219 \\ P_{23} \qquad 0.3 \end{array}$

<sup>*a*</sup> S. enterica LT2 lexA null mutants are lethal unless a sulA mutation is also present. All of these strains are cured of prophages Fels-2, Gifsy-1, and Gifsy-2, since these prophages can also interfere with the growth of lexA-negative strains (11). The lexA ind sulA<sup>+</sup> strains are included to show that the sulA mutation does not cause SOS inducibility.

<sup>b</sup> β-Galactosidase activity units show the change in  $A_{420}$  per minute per milliliter of cells per optical density unit at 600 nm. Cultures were grown with shaking at 37°C to an optical density at 600 nm of 0.3, when mitomycin C was added to 1 µg/ml to one-half of the culture, and growth was allowed to proceed for one additional hour. Cells were chloroform permeabilized and assayed for β-galactosidase as described by Miller (72). Activity values are the averages of several independent determinations.

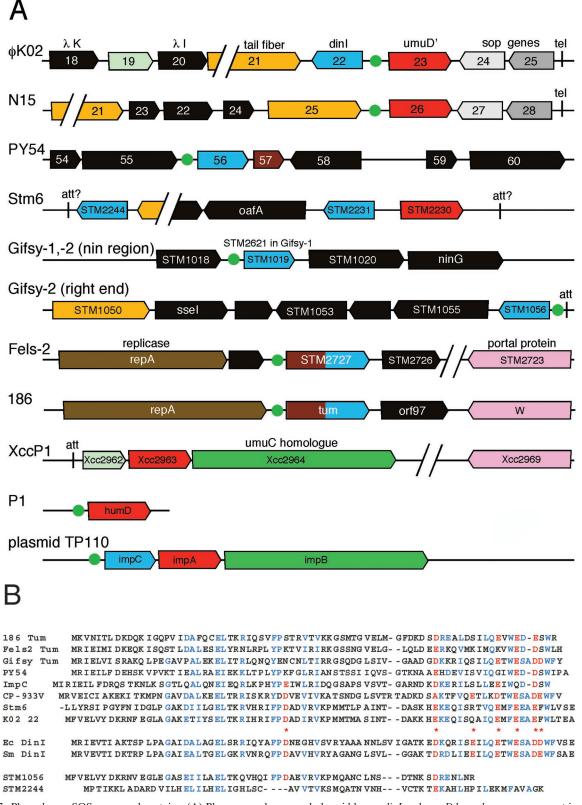


FIG. 7. Phage-borne SOS genes and proteins. (A) Phage-, prophage-, and plasmid-borne *dinI* and *umuD* homologues are present in a number of different genetic contexts. Each line represents a section of the genome of the indicated genetic element (see text for details). The directional boxes represent genes and their transcriptional directions. Boxes of the same color are homologous genes, except for the orange boxes, which are all involved in tail fiber assembly but are not all homologues, and the black boxes, each of which has no homologue in the figure. (B) Comparison of 12 DinI family protein amino acid sequences. Seven phage-encoded DinI homologues are shown in the top group of sequences; the two DinI proteins from *E. coli* and *Serratia marcescens*, which are known by experimentation to down-regulate the SOS response, are shown in the middle;

are active during lytic growth. An alternative, but not mutually exclusive, view is that the terminators, which may not work at 100% efficiency, serve to fine-tune the levels of transcription in different regions of the genome. In addition, putative rhoindependent transcription terminators lie in the short gaps immediately 3' of genes 5, 6, 12, 19, 20, and 21 in the late operon, and it is interesting that the first three of these occupy positions that are exactly analogous to similar terminators in nonhomologous sequences in the phage N15 late operon. Two of these follow the very highly translated genes 6 and 12, and similarly located terminators have been noted in other phage genomes, including phages as diverse as coliphages  $\lambda$  and T7, but the reason for this conservation is unknown (30, 54).

## DISCUSSION

The  $\phi$ KO2 genome. We have shown that the linear plasmid pKO2 present in K. oxytoca CCUC 15788 is a prophage that is related to E. coli phage N15 and Yersinia phage PY54 and that apparently has a lifestyle that is very similar to theirs. The N15, PY54, and  $\phi$ KO2 genomes are mosaically related. Some regions are sufficiently similar that we argue that the N15 and φKO2 plasmid partitioning proteins, replicase, protelomerases (hairpin end generation), and prophage repressors have the same or extremely similar target site specificities in both phages. The similar overall genome organizations of phages N15, PY54,  $\phi$ KO2, and  $\lambda$  and the close relationship of some of their genes to each other or to those of other canonical lambdoid phages suggest that it is legitimate to include the former three within the lambdoid phage group, but in a subgroup that has a different strategy of lysogeny. The late operon of  $\phi$ KO2 is similar to that of N15 except that it has very different head and tail shaft assembly genes, and its  $\lambda$  *J*-like tail fiber gene has an  $\sim$ 7,000 bp insertion relative to known homologues; on the other hand, PY54 and 6KO2 have similar head and tail shaft genes but are rather different elsewhere. The  $\phi$ KO2 tail fiber gene encodes a 3,433-amino-acid protein; only the 3,500-amino-acid phage N4 virion RNA polymerase is larger among the known phage-encoded proteins (56). The regions of the  $\phi$ KO2 genome outside the late operon are largely similar to, but are mosaically related to, those of N15.

Control of phage genes by the host's LexA repressor. We also performed experiments that demonstrate that  $\phi$ KO2 genes 22 and 23, homologues of bacterial *dinI* and *umuD* genes, respectively, are regulated by the host's LexA repressor. In other contexts, both *dinI*- and *umuD*-like proteins affect the bacterium's SOS response to DNA damage. With *E. coli*, the

DinI protein has been shown to modulate the bacterial SOS response by inhibiting activated RecA-mediated LexA and UmuD protein autocleavage (4, 98, 103, 104). The E. coli protein was originally named damage inducible gene I (105), and *dinI* homologues have been called *msgA*, *tum*, and *impC*. The virulence gene *msgA* (macrophage survival gene A) in S. enterica serovar Typhimurium allows increased survival of Salmonella in mouse macrophages (42), the phage 186 tum gene encodes a protein with an antirepressor function and a Cterminal DinI-like domain (10, 90), and *impC* on Salmonella plasmid pTT110 lies adjacent to the umuD homologue impA, but its function is unknown (65). It is possible that the different phenotypes that result from the loss of these DinI homologues result from the same underlying effect on global SOS control of gene expression (57). Characterized UmuD proteins are subunits of an error-prone DNA polymerase that participates in the repair of DNA damage during the SOS response (97). The E. coli UmuD protein is synthesized in an inactive form, and RecA-mediated autocleavage of its peptide backbone activates it to become a subunit of the UmuC/UmuD' error-prone DNA polymerase (39).

Both *dinI*-like and *umuD*-like genes are found in other temperate phage genomes, in which their roles are not known. Nearly all of the published enterobacterial genome sequences contain multiple dinI-like genes, and the members of this gene family are present in both prophage and apparently nonphage-related contexts in these genomes. DinI homologs that are not obviously phage or prophage encoded are, for example, E. coli K-12 dinI (6) and S. enterica serovar Typhimurium LT2 gene STM1162 (70). Many of the homologs are phage encoded; for example, at least six of the eight homologues in S. enterica LT2 and three of five in both S. enterica serovar Typhi CT18 and E. coli EDL933 lie within prophages. Among the closest homologs to 6KO2 gene 22 are S. enterica CT18 gene STY1883, which is not obviously prophage associated (75), and LT2 gene STM2231, which appears to be part of a badly decaved prophage, Stm6 (Stm6 is our name for a previously unnamed defective lambdoid prophage present at genes STM2230 through STM2244 [13, 70]). Homologs of dinI are also found in the genomes of phage 186 (10), the Salmonella LT2 prophages Gifsy-1, Gifsy-2, and Fels-2 (11, 70) as well as prophages Sti1 and Sti5 (genes STY1011 to STY1077 and STY2467 to STY2470, respectively [13], in the genome of S. enterica serovar Typhi CT18 [75]), and prophages CP-933V and CP-933O in E. coli EDL933 (77). Similarly, the following *umuD* homologs have been found in phage genomes: (i) the φKO2 gene 23 described here, (ii) the phage P1 humD gene

and two less similar phage homologues are shown below. Above the *E. coli* protein, asterisks indicate the seven negatively charged surface residues that make up its postulated DNA-mimic surface (78). These seven residues are indicated in red when homologous residues are present, and other highly conserved residues are shown in blue. From the top down, the proteins shown are as follows: (i) the phage 186 Tum protein; (ii) the phage Fels-2 gene *STM2727* protein (we believe that a translation start site 25 codons 3' of that reported by McClelland et al. [70] is the more likely true start for this gene, as STM2727 is identical to the retron 67-encoded Orf6 protein [50]); (iii) the phage Gifsy-2 gene *STM1019* protein (identical to the phage Gifsy-1 gene *STM2621* and prophage Sti1 STY1032 proteins); (iv) plasmid TP110-encoded ImpC protein; (v) phage PY54 gene 56 protein; (vi) *E. coli* prophage CP-933V gene *Z3305* protein (the *Shigella flexneri* 301 prophage gene *SF1879* protein only differs from Z3305 protein at its third amino acid); (vii) *Salmonella* defective prophage Stm6 gene *STM2231* protein (16 predicted N-terminal amino acids are not shown; its poor Shine-Dalgarno and UUG start codon cast some doubt on the functionality of this gene); (viii)  $\phi$ KO2 gene 22 protein; (ix) *E. coli* K-12 *dinI* protein (gene *b1061*; identical proteins are encoded by the non-prophage-associated *E. coli* EDL933 gene *Z1698* and *Shigella flexneri* 301 gene *SF1067*; *S. enterica* LT2 gene *STM105* protein; (and CT18 gene *STY1200* proteins are 85 and 83% identical to the b1061 protein, respectively); (x) *Serratia marcescens dinI* protein; (xi) Gifsy-2 gene *STM1056* protein; and (xi) Stm6 gene *STM2244* protein.

(62, 71), (iii) *S. enterica* serovar Typhimurium gene *STM2230* of defective prophage Stm6 (see above), and (iv) *X. campestris* prophage XccP1 gene *Xcc2963* (22). In most proteobacterial genomes *umuD* homologues are not known to lie in prophage contexts. Curiously, the translation of the phage UmuD homologues (except perhaps that of XccP1) begins at the site where the RecA-mediated activation cleavage of the bacterial protein occurs, suggesting that the phage proteins are made in an active form.

The *dinI* and *umuD* homologues lie in a number of strikingly different genomic contexts in different phages (Fig. 7A). The dinI-like genes are present in two different contexts on known lambdoid phages: (i) within the nin region (distal portion of the early right operon) of phages Gifsy-1 and Gifsy-2 and S. enterica CT18 prophage Sti1 (the PY54 gene is in a structurally similar but nonhomologous region) and (ii) between the attachment or *tel* site and the tail fiber gene in  $\phi$ KO2, Gifsy-2, and the prophages Stm6, Sti1, CP-933V, and CP-933O. It is curious that Gifsy-2, Stm6, and Sti1 each carries two dinI homologs. Homologues of dinI are also found in some P2-like phages (e.g., phage 186), transcriptionally downstream of the replication initiation genes. Finally,  $\phi$ KO2, N15, Stm6, XccP1, and P1 each carries a *umuD* homologue (Stm6 also has the decaying pseudogene remains of a second umuD homologue between genes STM2231 and STM2232). These are present in three different contexts, those of XccP1, P1, and the other three phages. The facts that dinI- and umuD-like genes lie in different locations in related phage genomes as well as in genomes of phages that are only extremely distantly related and that in several cases they lie next to the attachment site combine to suggest that these genes have been independently (and perhaps recently) acquired by different phages.

The three-dimensional structure of the E. coli K-12 dinI protein has been determined, and a negatively charged ridge on its surface that is composed of its C-terminal α-helix is thought to mimic the electrostatic surface character of DNA, enabling it to bind to the single-stranded DNA-binding site of the RecA protein (78, 98). If the phage-encoded *dinI* homologues were to have this SOS-modulating function, it would be expected that the C-terminal helix, and in particular its negative charges, would be conserved. Figure 7B shows that most, including the  $\phi$ KO2 gene 22 protein, but not all, of the DinI proteins are indeed more highly conserved near the C terminus and that they retain nearly all of the negative charges on the putative DNA-mimic surface, suggesting that they may have the same SOS-modulating function as DinI itself. The several DinI relatives that have not conserved this surface (e.g., Gifsy-2's STM1056) might either have some other function or be nonfunctional dinI pseudogenes.

Interplay between the host and prophage in a lysogen is clearly important, but relatively few cases of direct control of prophage gene expression by host regulatory proteins have been elucidated. Among these are the control of the 186 *tum* gene promoter (10),  $\lambda$ 's P<sub>oop</sub> promoter (58, 62), and Fels-2, Gifsy-1, and Gifsy-2 (genes *STM2731*, *STM2621*, and *STM1019*, respectively) by the LexA repressor (11); control of the Mu P<sub>mom</sub> promoter by the OxyR protein (44); control of the prophage-borne diphtheria toxin gene by the DtxR repressor (74); and control of the P<sub>Stx1</sub> Shiga toxin promoter of phage H-19B by the iron-regulated Fur repressor (12, 24). We show here that the  $\phi$ KO2 genes 22 and 23 are similarly regulated. We note that several of the other phage-borne *umuD* and *dinI* homologues have appropriately located LexA-binding sites (Fig. 7A). The expression of the N15 *umuD*-like gene from the uninduced prophage (83) suggests that LexA repression there may be incomplete, and the data in Table 3 suggest that in  $\phi$ KO2, LexA repression may also be incomplete on  $\phi$ KO2. The specific roles for phage-encoded DinI and UmuD' proteins are not yet understood (the P1 UmuD homologue, HumD, can form a functional DNA polymerase complex with *E. coli* UmuC [71]); however, their common presence suggests that prophages may use them to modulate the host SOS response for their own purposes.

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