Potential benefits and risks of clinical xenotransplantation

David KC Cooper¹
David Ayares²
¹Thomas E Starzl Transplantation Institute, University of Pittsburgh Medical Center, Pittsburgh, PA, USA; ²Revivicor, Blacksburg, VA, USA

Abstract: The transplantation of organs and cells from pigs into humans could overcome the critical and continuing problem of the lack of availability of deceased human organs and cells for clinical transplantation. Developments in the genetic engineering of pigs have enabled considerable progress to be made in the experimental laboratory in overcoming the immune barriers to successful xenotransplantation. With regard to pig organ xenotransplantation, antibody- and cell-mediated rejection have largely been overcome, and the current major barrier is the development of coagulation dysregulation. This is believed to be due to a combination of immune activation of the vascular endothelial cells of the graft and molecular incompatibilities between the pig and primate coagulation–anticoagulation systems. Pigs with new genetic modifications specifically directed to this problem are now becoming available. With regard to less complex tissues, such as islets (for the treatment of diabetes), neuronal cells (for the treatment of Parkinson’s disease), and corneas, the remaining barriers are less problematic, and graft survival in nonhuman primate models extends for >1 year in all three cases. In planning the initial clinical trials, consideration will be concentrated on the risk–benefit ratio, based to a large extent on the results of preclinical studies in nonhuman primates. If the benefit to the patient is anticipated to be high, eg, insulin-independent control of glycemia, and the potential risks low, eg, minimal risk of transfer of a porcine infectious agent, then a clinical trial would be justified.

Keywords: infection, pigs, genetically-engineered, xenotransplantation, islets, xenotransplantation, organs

Introduction

In the developed world, there is a critical shortage of organs and cells from deceased human donors for the purposes of transplantation. For example, in the US, there are more than 110,000 patients awaiting an organ or cell transplant of one type or another, and yet each year only about 30,000 organs become available from approximately 8000 deceased donors. Despite immense efforts over the past 50 years, we are no closer to resolving the problem of donor organ availability than we were at the beginning of this period. The steadily improving results of allotransplantation have led to an increasing number of patients being put on the waiting list for these procedures.

This problem could be resolved if we were able to use a readily available animal as a source of organs and cells for transplantation into humans.¹ Indeed, xenotransplantation has several advantages over allotransplantation (Table 1). The pig has been identified as a potential source of organs and cells for this purpose (Table 2).² Considerable progress has been made in overcoming the immunological barriers to successful pig organ and cell transplantation in primates, though some barriers remain.³
Table 1 Major advantages of xenotransplantation over allotransplantation

<table>
<thead>
<tr>
<th>Advantage</th>
<th>Pig</th>
<th>Baboon</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unlimited supply of organs, tissues, and cells</td>
<td>Unlimited</td>
<td>Limited</td>
</tr>
<tr>
<td>Unlimited supply will allow transplantation</td>
<td></td>
<td></td>
</tr>
<tr>
<td>procedures in ‘borderline’ candidates</td>
<td></td>
<td></td>
</tr>
<tr>
<td>who might otherwise be declined</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Organs available electively</td>
<td>Good</td>
<td>Poor</td>
</tr>
<tr>
<td>Avoids the detrimental effects of brain death</td>
<td></td>
<td></td>
</tr>
<tr>
<td>on donor organs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Provides exogenous infection-free sources of</td>
<td></td>
<td></td>
</tr>
<tr>
<td>organs, tissues, and cells</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Obviates the ‘cultural’ barriers</td>
<td></td>
<td></td>
</tr>
<tr>
<td>to deceased human donation present in</td>
<td></td>
<td></td>
</tr>
<tr>
<td>some countries, eg Japan</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

History of xenotransplantation

The history of xenotransplantation has been fully reviewed. The history of xenotransplantation has a long history in the clinic. In the eighteenth century, blood transfusions from animals to humans were attempted, and this practice continued intermittently for 200 years, before it was realized that the results did not warrant the risks. The first corneal xenotransplant was carried out in 1838, more than 65 years before the first corneal allotransplant in 1905. Organs from nonhuman primates and nonprimate mammals were transplanted in a small number of patients in the early part of the twentieth century, with poor results 1 (Table 3), although Reemtsma et al reported the survival of one patient with transplanted chimpanzee kidneys who returned to an active life for approximately 9 months until she suddenly died, probably from an acute electrolyte disturbance. In 1994, Groth and his colleagues carried out the transplantation of fetal porcine islets into patients with diabetes, though without clinical benefit.

Immune barriers

Pig organ xenotransplantation

When a pig organ is transplanted into a nonhuman primate (or into a human), it is followed by hyperacute rejection (Figure 1). This is a consequence of binding of natural anti-pig antibodies that are present in all humans to antigens on the surface of the pig vascular endothelial cells, activating complement, and causing rapid graft destruction. The most important target for human anti-pig antibodies is the galactose-α1,3-galactose (Gal) antigen, an oligosaccharide very similar in structure to the B blood group antigen. The immunopathology of hyperacute rejection is very similar to that which occurs when organ allotransplantation is carried out across the ABO blood group barrier.

If this can be prevented, it is usually followed within days or weeks by acute humoral xenograft rejection (sometimes known as acute vascular rejection or delayed xenograft rejection), which is a slower process, but which is again related to antibody binding, complement activation, and the activity of innate immune cells, such as macrophages and neutrophils.

Hyperacute rejection has largely been overcome by the transplantation of organs from pigs that do not express the important Gal antigens (α1,3-galactosyltransferase gene-knockout pigs), particularly if these also express one or more human complement-regulatory proteins, eg, CD46, CD55, CD59 (Table 4). The major target for anti-pig antibodies is therefore not present, although there is binding of

Table 2 Advantages and disadvantages of the pig as a potential source of organs and cells for humans, in contrast with those of the baboon in this role

<table>
<thead>
<tr>
<th>Advantage</th>
<th>Pig</th>
<th>Baboon</th>
</tr>
</thead>
<tbody>
<tr>
<td>Availability</td>
<td>Unlimited</td>
<td>Limited</td>
</tr>
<tr>
<td>Breeding potential</td>
<td>Good</td>
<td>Poor</td>
</tr>
<tr>
<td>Period to reproductive maturity</td>
<td>4–8 months</td>
<td>3–5 years</td>
</tr>
<tr>
<td>Length of pregnancy</td>
<td>114 ± 2 days</td>
<td>173–193 days</td>
</tr>
<tr>
<td>Number of offspring</td>
<td>5–12</td>
<td>1–2</td>
</tr>
<tr>
<td>Growth</td>
<td>Rapid (adult human size within 6 months)</td>
<td>Slow (9 years to reach maximum size)</td>
</tr>
<tr>
<td>Size of adult organs</td>
<td>Adequate</td>
<td>Inadequate*</td>
</tr>
<tr>
<td>Cost of maintenance</td>
<td>Significantly lower</td>
<td>High</td>
</tr>
<tr>
<td>Anatomical similarity to humans</td>
<td>Moderately close</td>
<td>Close</td>
</tr>
<tr>
<td>Physiological similarity to humans</td>
<td>Moderately close</td>
<td>Close</td>
</tr>
<tr>
<td>Relationship of immune system to humans</td>
<td>Distant</td>
<td>Close</td>
</tr>
<tr>
<td>Knowledge of tissue typing</td>
<td>Considerable (in selected herds)</td>
<td>Limited</td>
</tr>
<tr>
<td>Necessity for blood type compatibility with humans</td>
<td>Probably unimportant</td>
<td>Important</td>
</tr>
<tr>
<td>Experience with genetic engineering</td>
<td>Considerable</td>
<td>None</td>
</tr>
<tr>
<td>Risk of transfer of infection (xenozoonosis)</td>
<td>Low</td>
<td>High</td>
</tr>
<tr>
<td>Availability of specific pathogen-free animals</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>Public opinion</td>
<td>More in favor</td>
<td>Mixed</td>
</tr>
</tbody>
</table>

Notes: The size of certain organs, eg, the heart, would be inadequate for transplantation into adult humans; breeds of miniature swine are approximately 50% of the weight of domestic pigs at birth and sexual maturity, and reach a maximum weight of approximately 30% of standard breeds.
Table 3 Summary of clinical xenotransplantation of organs in the twentieth century

<table>
<thead>
<tr>
<th>Donor – primate</th>
<th>n</th>
<th>Survival</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kidney – primate</td>
<td>(30)</td>
<td>1 day–9 months</td>
</tr>
<tr>
<td>– nonprimate</td>
<td>(3)</td>
<td>3–9 days</td>
</tr>
<tr>
<td>Heart – primate</td>
<td>(5)</td>
<td>&lt;1–20 days</td>
</tr>
<tr>
<td>– nonprimate</td>
<td>(4)</td>
<td>&lt;1 day</td>
</tr>
<tr>
<td>Liver – primate</td>
<td>(11)</td>
<td>&lt;1–70 days</td>
</tr>
<tr>
<td>– nonprimate</td>
<td>(1)</td>
<td>&lt;2 days</td>
</tr>
</tbody>
</table>

Table 4 Genetically modified pigs currently available for xenotransplantation research

- **Gal antigen deletion or ‘masking’**
  - α1,3-galactosyltransferase gene-knockout (GT-KO)
  - Human H-transferase gene expression (expression of blood type O antigen)
  - Endo-beta-galactosidase C (reduction of Gal antigen expression)

- **Complement regulation by human complement-regulatory gene expression**
  - CD46 (membrane cofactor protein)
  - CD55 (decay-accelerating factor)
  - CD59 (protectin or membrane inhibitor of reactive lysis)

- **Anticoagulation and anti-inflammatory gene expression or deletion**
  - Human tissue factor pathway inhibitor (TFPI)
  - Human thrombomodulin
  - Human CD39 (ectonucleoside triphosphate diphosphohydrolase-I)
  - von Willebrand factor–deficient (natural mutant)

- **Suppression of cellular immune response by gene expression or downregulation**
  - Porcine CTLA4-Ig (cytotoxic T-lymphocyte antigen 4 or CD152)
  - LEA29Y (inhibition of the B7/CD28 costimulatory pathway of T-cell activation)
  - CIITA-DN (MHC class II transactivator knockdown, resulting in swine leukocyte antigen class II knockdown)
  - Human TRAIL (tumor necrosis factor-alpha-related apoptosis-inducing ligand)
  - HLA-E/human β2-microglobulin (inhibits human natural killer cell cytotoxicity)
  - Human CD47 (for species-specific CD47-SIRP-alpha natural interaction on macrophages)
  - Human FAS ligand (CD95L)
  - Human GnT-III (N-acetylglucosaminyltransferase III)

- **Antiatoptotic gene expression**
  - Human A20 (tumor necrosis factor-alpha-induced protein 3)
  - Human heme oxygenase-1 (HO-1)
  - Human TNFRI-Fc (tumor necrosis factor-alpha receptor I-Fc)

- **Prevention of porcine endogenous retrovirus (PERV) activation PERV siRNA**

In summary, with organs from the current genetically engineered pigs and with potent immunosuppressive therapy, hyperacute rejection can largely be prevented and the onset of acute humoral and acute cellular rejection can be greatly delayed. However, activation of the vascular endothelium of the organ by anti-non-Gal antibodies and possibly innate immune cells results in the initiation of coagulation dysfunction in the graft. This takes the form of the development of a thrombotic microangiopathy in the small vessels of the graft and/or a systemic consumptive coagulopathy that results in spontaneous hemorrhage. Activation of recipient platelets by the presence of the graft endothelium occurs and is an initiating factor. Coagulation dysregulation can be reversed if the graft is excised before hemorrhage develops,
indicating that it is the presence of the xenograft that is the initiating factor.\textsuperscript{32}

Coagulation dysfunction is almost certainly a combination of immune activation of the porcine vascular endothelium by anti-pig antibodies, complement, and innate immune cells, and the presence of several molecular incompatibilities between pig and primate that lead to a change in endothelial phenotype from anticoagulant to procoagulant.\textsuperscript{35–40} For example, pig thrombomodulin is not an efficient cofactor for thrombin’s activation of protein C, and pig von Willebrand factor is associated with excessive primate platelet aggregation.

Despite maximum heparin therapy or the administration of other anticoagulant or antithrombotic agents, thrombotic microangiopathy and consumptive coagulopathy have to date not been prevented. However, new genetic modifications of the organ-source pigs, including expression of an antithrombotic gene, such as \textit{thrombomodulin}, \textit{tissue factor pathway inhibitor}, or \textit{CD39}, may resolve this problem (Table 4).\textsuperscript{3} These pigs are just becoming available for testing in nonhuman primate models.

Thrombotic microangiopathy appears to be the dominant pathology after pig heart xenotransplantation (Figure 3),\textsuperscript{28,30,31} whereas consumptive coagulopathy occurs more rapidly after pig kidney xenotransplantation (Figure 4).\textsuperscript{29,34} This difference in outcome may be related to differences in the vascular endothelium of the two organs.\textsuperscript{41} In our own experience, the more rapid development of consumptive coagulopathy results in a reduced period of survival of porcine kidneys in nonhuman primates. Whereas heterotopic heart grafts have functioned for 6–8 months,\textsuperscript{28,42} life-supporting kidney transplants have not functioned for even 3 months.\textsuperscript{26,29} However, survival of life-supporting orthotopic heart grafts has also had a limited survival of less than 2 months,\textsuperscript{43,44} and so the difference in survival may not be great.

Despite adequate hepatic function, \textsuperscript{45,46} pig liver xenotransplantation in a nonhuman primate is followed by a different form of coagulation dysfunction, namely by an immediate loss of platelets.\textsuperscript{47} The platelets probably sequestrate within the graft or maybe are phagocytosed by cells in the graft.\textsuperscript{48,49} The profound thrombocytopenia results in life-threatening spontaneous hemorrhage into the tissues and from the body orifices within days.

The immune responses and coagulation dysregulation that are associated with pig heart or kidney xenotransplantation are very much accelerated after pig lung xenotransplantation.\textsuperscript{50,51} Survival after pig lung transplantation in a nonhuman primate is therefore currently limited to hours or days.

It is hoped that further planned genetic modifications of the organ-source pigs will overcome most of these barriers (Table 4). The genetic modifications that we believe may be necessary to overcome these various immune and pathophysiological barriers have recently been discussed in relation to xenotransplantation of the lung, in which these problems are particularly acute and vigorous.\textsuperscript{52}

There is also a documented systemic inflammatory response to the presence of a pig organ xenograft,\textsuperscript{53} and again this may require genetic modification to the organ-source pig if it is to be prevented or minimized. Expression of thrombomodulin, CD39, heme oxygenase-1, or A20 may prove valuable (Table 4).

\textbf{Pig cell xenotransplantation}

The barriers associated with transplantation of less complex organs, such as the cornea or cells, eg, pancreatic islets or dopamine-producing neuronal cells, appear to be less
problematic. With regard to islets and neuronal cells, the absence of a vascular endothelium in these transplants may be a major factor in their better outcome. However, there are still significant problems to be overcome.

With regard to pig islet transplantation, there is an initial loss of islets when they are infused into the portal vein (which is the current approach for islet allotransplantation). An inflammatory response is set up that leads to destruction of a significant percentage of the islets. This event, known as the instant blood-mediated inflammatory reaction (IBMIR)\(^5^4\)–\(^5^9\) (reviewed in van der Windt et al\(^6^0\)), is probably a combination of the effect of antibody binding to the grafts with subsequent activation of complement and coagulation and innate immune cells, and a nonspecific effect related to the (unnatural) presence of islets in the blood, which also leads to activation of complement and coagulation. It may therefore have both immune and nonimmune component mechanisms; recent data suggest immune mechanisms are playing a greater role than hitherto thought.\(^6^1\) If sufficient islets remain functional, however, long-term (>1 year) correction of diabetes can be achieved as long as potent immunosuppressive therapy is continued; healthy-looking pig islets can be identified in the nonhuman primate liver (Figure 5).\(^5^2\)–\(^6^4\) New genetically engineered pigs with multiple gene modifications, some of which are specifically directed to protecting the islets from IBMIR (using an insulin promoter), are now becoming available.\(^3\)

There is some evidence that neonatal pig islets may have some advantages over adult pig islets.\(^5^5\)–\(^6^6\) Furthermore, in an effort to avoid the substantial loss of islets from IBMIR, efforts are being made to identify suitable sites for islet transplantation other than the portal vein (reviewed in van der Windt et al\(^6^7\)).

Encouraging results have also been obtained when islets are transplanted within microcapsules that protect them...
to some extent from the immune response of the host. In these cases, exogenous immunosuppressive therapy is not required. Survival of more than 6 months has been achieved in nonhuman primates, but it is uncertain whether the graft subsequently fails from ischemia or a slow immune response. The minimal risk to the patient (in the absence of the need for immunosuppressive therapy) has enabled clinical trials to be initiated.

There are several million patients worldwide with neurodegenerative diseases who might benefit from the transplantation of specific neuronal cells. Long-term (>1 year) survival of pig neuronal cell xenotransplantation in the treatment of monkeys with an induced Parkinson-like condition has also been achieved by the transplantation of fetal pig dopamine-producing cells that transgenically express CTLA4-Ig, a potent costimulatory blockade molecule.

Although in the Western world the availability of deceased human corneas meets the needs of clinical corneal transplantation, worldwide there is a major deficiency (Table 5). As the cornea is a relatively avascular structure and therefore less susceptible to antibody binding and complement activation, wild-type pig corneal xenotransplantation in nonhuman primates has also been associated with relatively long-term graft survival. Importantly, this has been achieved with only local corticosteroid therapy to the eye. The availability of genetically engineered pigs should increase corneal graft survival further.

The potential of red blood cell xenotransfusion from genetically engineered pigs into patients is also being investigated. Red blood cells from genetically modified pigs have been demonstrated to be preferable to ABO-

### Table 5 Estimated numbers of corneal allotransplants carried out in 2008 and numbers of patients awaiting corneal transplantation in selected countries

<table>
<thead>
<tr>
<th>Country</th>
<th>Estimated number of cases per year</th>
<th>Waiting list</th>
</tr>
</thead>
<tbody>
<tr>
<td>United States</td>
<td>41,652</td>
<td>Minimal</td>
</tr>
<tr>
<td>United Kingdom</td>
<td>2711</td>
<td>500</td>
</tr>
<tr>
<td>South Africa</td>
<td>330</td>
<td>1884</td>
</tr>
<tr>
<td>India</td>
<td>15,000</td>
<td>300,000</td>
</tr>
<tr>
<td>China</td>
<td>101</td>
<td>4,000,000</td>
</tr>
<tr>
<td>Taiwan</td>
<td>263</td>
<td>637</td>
</tr>
<tr>
<td>Korea</td>
<td>480</td>
<td>3630</td>
</tr>
<tr>
<td>Japan</td>
<td>1634</td>
<td>2769</td>
</tr>
<tr>
<td>Australia</td>
<td>1096</td>
<td>Minimal</td>
</tr>
</tbody>
</table>

Notes: From Hara and Cooper; based on eye bank data in individual countries and personal communications; in sub-Saharan Africa, the number of corneal transplants carried out annually has been falling for several years because of the high incidence of human immunodeficiency virus positivity in the potential donor population.

Other immunological considerations

In addition to Gal, pigs express another known carbohydrate antigen, namely N-glycolylneuraminic acid (NeuGc), which is also expressed in all nonhuman primates, but not in humans. Humans are therefore the only mammals that develop natural preformed anti-NeuGc antibodies. The effect of this antigen–antibody binding therefore cannot be tested in nonhuman primate models. Although the effect of the presence of NeuGc antigens is not considered to be as powerful as that of Gal antigens, nevertheless they do provide a target for binding of natural antibodies and for subsequent sensitization that may be problematic, at least in some patients. It is therefore possible that, particularly for organ transplants, pigs that do not express NeuGc may be required.

Other important points for which there is some evidence are that sensitization to human leukocyte antigens would not be detrimental to the outcome of clinical pig organ xenotransplantation and sensitization to pig antigens after clinical pig organ xenotransplantation would not preclude subsequent allotransplantation.

Physiological aspects of xenotransplantation

Even if all of the immune barriers and coagulation discrepancies can be overcome, the question remains as to whether the various pig organs will function adequately in a primate environment. There are several differences in anatomy and physiology between pig and primate that may result in less-than-perfect function. However, evidence from experience of pig orthotopic heart transplantation in nonhuman primates, suggests that cardiac function will be adequate. Conclusions with regard to function of transplanted pig kidneys need to be cautious, as proteinuria is almost always present, this may be a result of immune activation or injury, but other pathophysiological causes have not been ruled out. Pig liver function appears to be surprisingly good, but as follow-up has been restricted...
to approximately a week (because of the development of profound thrombocytopenia), definite conclusions cannot be drawn.\textsuperscript{45–47} Pig lung function cannot be adequately assessed, as injury is so rapid. Although there are some differences in glucose metabolism between pigs and primates,\textsuperscript{84} pig islet function in nonhuman primates is clearly adequate if enough islets survive the initial IBMIR.\textsuperscript{84} It should be noted that nonhuman primates have higher insulin requirements than humans and pigs, which makes them a more challenging preclinical model for pig islet xenotransplantation studies.\textsuperscript{84}

**Clinical trials of xenotransplantation**

Although progress has been slow, encouraging progress has been made during the past 20 years since the initial introduction of genetically engineered pigs and the availability of costimulatory blockade immunosuppressive agents. The longest survivals of organs and cells in preclinical models now extend to approximately 8 and greater than 12 months, respectively (Table 6).

**Risk–benefit ratio**

It will be important to confirm these encouraging results in clinical trials, and considerable thought has been given to the basis on which these trials can be justified. In particular, there has been careful consideration of what results would be required to warrant clinical trials of pig heart\textsuperscript{49} and pig islet xenotransplantation.\textsuperscript{89} Furthermore, the ‘ideal’ patients who might be considered for these initial trials are being thoughtfully considered.\textsuperscript{85,87,88}

The ethics of performing clinical trials are largely based on a calculation of the risk–benefit ratio of the procedure, particularly with regard to the potential risk of exposing the patient and possibly members of the community to a porcine infection (see below).\textsuperscript{89–91}

<table>
<thead>
<tr>
<th>Organ/cells</th>
<th>Period of graft or recipient survival</th>
</tr>
</thead>
<tbody>
<tr>
<td>Neuronal cells</td>
<td>&gt;1 year</td>
</tr>
<tr>
<td>Free pancreatic islets</td>
<td>&gt;1 year</td>
</tr>
<tr>
<td>Cornea</td>
<td>&gt;1 year</td>
</tr>
<tr>
<td>Microencapsulated pancreatic islets</td>
<td>&gt;8 months</td>
</tr>
<tr>
<td>Hepatocytes</td>
<td>&gt;8 months</td>
</tr>
<tr>
<td>Heterotopic (non-life-supporting) heart</td>
<td>&lt;8 months</td>
</tr>
<tr>
<td>Life-supporting kidney</td>
<td>&lt;3 months</td>
</tr>
<tr>
<td>Orthotopic (life-supporting) heart</td>
<td>&lt;2 months</td>
</tr>
<tr>
<td>Life-supporting liver</td>
<td>9 days</td>
</tr>
<tr>
<td>Life-supporting lung</td>
<td>5 days</td>
</tr>
</tbody>
</table>

Thought is therefore being given to clinical trials of cell or corneal xenotransplantation, where survival of the graft is anticipated to be likely and the risks minimal, rather than to clinical trials or organ xenotransplantation, where at present the benefits cannot be guaranteed and the risks are certainly greater.

For example, there are several million patients worldwide who could benefit from a corneal transplant,\textsuperscript{1} but human corneas are not available to them. The risks associated with pig corneal xenotransplantation are considered to be low; if a pig corneal graft should fail, the patient would remain with corneal blindness, but it is unlikely there will be any life-threatening complications from this procedure. Again, if pig islet transplants are carried out, particularly if they are encapsulated and therefore no immunosuppressive therapy is required, the risks are likely to be few. Failure of the graft would necessitate the patient returning to daily insulin therapy, but other complications are unlikely to be seen, at least based on our experience in nonhuman primates. It is therefore highly likely that corneal, islet, or neuronal cell transplants will be the first to enter clinical trials. Indeed, under the supervision of the Department of Health in New Zealand, there is a clinical trial being undertaken at present of encapsulated porcine islets in patients with diabetes.\textsuperscript{70}

With regard to organ transplants, however, the current risks are significantly higher. The development of a consumptive coagulopathy could, of course, be life-threatening. Even in the presence of good graft function, a risk of consumptive coagulopathy would contraindicate a clinical trial. The only possible exception to this would be if the organ were transplanted for a limited period of time as a bridge to allotransplantation. For example, a patient with fulminant hepatitis may die within 48–72 hours after admission to hospital. If he/she could be maintained by an orthotopically transplanted pig liver for this period while a human liver was obtained, this might well be life-saving.\textsuperscript{92} A clinical trial may therefore be justified if preclinical work indicates that a pig liver will function consistently without major complication for periods of greater than 1 week. At present (as mentioned above), this is not the case, as transplantation of a pig liver into a baboon is followed by an almost immediate thrombocytopenia, which could prove life-threatening, particularly if allotransplantation were attempted in the relative absence of platelets.\textsuperscript{47}

**The potential risk of transfer of pig microorganisms**

The other major consideration with regard to potential complications from clinical xenotransplantation relates
to the potential risk of the transfer of a porcine virus, microorganism, or parasite with the donor organ into the recipient and, possibly of greater importance, from the recipient to the general population.\textsuperscript{93,94} Risk of such an infectious complication will be minimized by barrier housing of the organ-source pigs and by monitoring for the presence of infectious organisms at intervals before the organs or cells are recovered for transplantation. It would seem that the majority of potentially infectious porcine microorganisms, though perhaps not all, can be eradicated from the donor herd by careful husbandry techniques, such as early weaning and barrier housing.\textsuperscript{93,94} The potential risks of xenotransplantation in this respect are therefore considered to be low.\textsuperscript{95}

However, the presence of porcine endogenous retroviruses (PERV) in the genome of all pig cells has caused concern.\textsuperscript{96} Although human cells include similar endogenous retroviruses that do not appear to cause humans significant health problems, the issue has been raised about the potential transfer of PERV into human hosts, with possible recombination of porcine and human retroviruses to form new viruses. However, to date there has been no evidence of transmission of PERV into humans or nonhuman primates following porcine organ, tissue, or cell xenotransplantation procedures.\textsuperscript{93,96} It is now thought unlikely that these viruses will be problematic. Furthermore, if the actual risk proves to be greater than presently thought, genetic engineering techniques are available to inhibit activation of porcine endogenous retroviruses.\textsuperscript{97,98}

**Regulation of clinical xenotransplantation**

It is unlikely that national and international regulatory authorities, such as the Food and Drug Administration in the USA, will prohibit clinical xenotransplantation on the basis of the potential risk of infection alone, though they will insist on careful monitoring of the organ-source animals and of the human recipients for many years following the transplant procedure.\textsuperscript{91,99,100}

**Table 7** Predicted order of clinical trials of pig organ/cell transplantation

<table>
<thead>
<tr>
<th>1. Cornea (corneal blindness)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Pancreatic islets (type 1 diabetes)</td>
</tr>
<tr>
<td>3. Neuronal (dopamine-producing) cells (Parkinson’s disease)</td>
</tr>
<tr>
<td>4. Livers (initially as a bridge)</td>
</tr>
<tr>
<td>5. Hearts (initially as a bridge)</td>
</tr>
<tr>
<td>6. Kidneys</td>
</tr>
<tr>
<td>7. Lungs</td>
</tr>
<tr>
<td>8. Red blood cells</td>
</tr>
</tbody>
</table>

It should be borne in mind that in comparison with the transplantation of human organs from donors who have been identified only within the previous few hours and in whom monitoring for all infectious agents is not possible during this very short period of time, clinical xenotransplantation is likely to be a safer procedure. If consistent successful function of a pig organ or cell transplant in a nonhuman primate recipient can be obtained, it is likely that clinical trials will be equally successful.

**Conclusion**

Within the next few years, further clinical trials of xenotransplantation will almost certainly be undertaken. The likely sequence of clinical trials is outlined in Table 7. Initially, these may involve transplantation of corneas, islets, and neuronal cells. During this period, as new improved genetically engineered pigs become available, we predict it will become justified to carry out organ xenotransplants as bridges to allotransplantation, eg, involving the liver or heart. Finally, organ xenotransplantation will be carried out as a definitive procedure, anticipating relatively long-term graft survival. Even if the survival of the graft is not as long as that of an allograft, the limitless availability of organ-source pigs will enable retransplantation to be carried out whenever indicated.

It should be remembered that allotransplantation, which really began in the early 1950s, has taken 60 years to reach its present state of success, even though problems remain, particularly with regard to long-term graft survival (chronic rejection) and the complications of long-term immunosuppressive therapy. The goal of inducing a state of immunological tolerance to the graft has been elusive, but may be easier to achieve in xenotransplantation where the donor can be identified and the timing of any pretreatment and the operative procedure planned well in advance.

It is exceedingly unlikely that clinical xenotransplantation will be successful overnight. There will be a distinct learning curve, with steady improvement in results over many years, in part relating to the availability of ‘better’ pigs with more advanced genetic manipulations, before pig cells and organs can routinely replace human cells and organs for these lifesaving procedures. Nevertheless, we predict that eventually allotransplantation will become of historical interest only.

**Acknowledgments**

Work on xenotransplantation in the Thomas E Starzl Transplantation Institute of the University of Pittsburgh is supported in part by NIH grants #U19 AI090959-01,
#U01 A1068642, and #R21 A1074844, and by sponsored research agreements between the University of Pittsburgh and Revivicor, Blacksburg, VA.

**Disclosure**

David Ayares is an employee of Revivicor, Blacksburg, VA, USA.

**References**


86. Cooper DKC, Casu A. The International Xenotransplantation Association consensus statement on conditions for undertaking clinical trials of porcine islet products in type 1 diabetes – Chapter 4: Pre-clinical efficacy and complication data required to justify a clinical trial. Xenotransplantation. 2009;16(4):229–238.