

## Relationship between climatic conditions and the relative abundance of modern C<sub>3</sub> and C<sub>4</sub> plants in three regions around the North Pacific

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Using  $-24\text{‰}$  and  $-14\text{‰}$  as the endpoints of stable carbon isotopic composition of total organic carbon ( $\delta^{13}\text{C}_{\text{TOC}}$ ) of surface soil under pure C<sub>3</sub> and C<sub>4</sub> vegetation, and surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from eastern China, Australia and the Great Plains of North America, we estimate the relative abundance of C<sub>3</sub>/C<sub>4</sub> plants (i.e., the ratio of C<sub>3</sub> or C<sub>4</sub> biomass to local primary production) in modern vegetation for each region. The relative abundance of modern C<sub>3</sub>/C<sub>4</sub> vegetation from each region is compared to the corresponding climatic parameters (mean annual temperature and precipitation) to explore the relationship between relative C<sub>4</sub> abundance and climate. The results indicate that temperature controls the growth of C<sub>4</sub> plants. However, even where temperature is high enough for the growth of C<sub>4</sub> plants, they will only dominate the landscape when precipitation declines as temperatures increase. Our results are consistent with those of other investigations of the geographic distribution of modern C<sub>4</sub> plant species. Therefore, our results provide an important reference for interpretation of past C<sub>3</sub>/C<sub>4</sub> relative abundance records in these three regions.

**organic carbon isotopes, modern C<sub>3</sub>/C<sub>4</sub> relative abundance, climatic factors, temperature, precipitation**

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Terrestrial higher plants assimilate atmospheric carbon dioxide into organic matter mainly by one of two photosynthetic pathways, commonly called C<sub>3</sub> or C<sub>4</sub> pathways. All trees, most shrubs and most grasses are C<sub>3</sub> plants, while C<sub>4</sub> plants are sedges, grasses and shrubs [1–4]. Due to their different physiological processes, C<sub>3</sub> and C<sub>4</sub> plants have different growth advantages in different environments and their carbon isotopic composition is significantly different. Therefore, theoretically speaking, the relative abundance of C<sub>3</sub>/C<sub>4</sub> plants in local terrestrial ecosystems during historical and geological periods can be used to reconstruct paleoenvironmental change. Until now, such paleoenvironmental reconstruction has been widely conducted in lacustrine

sediments [5–7], loess/paleosol sequences [8–11], marine sediments [12,13] and other geological archives around the world.

Nowadays, the available knowledge about the relationship between the growth of C<sub>4</sub> plants and climatic conditions comes mainly from the investigation of the geographic distribution of modern C<sub>4</sub> species. For example, the relationship between climate conditions and the geographic distribution of modern C<sub>4</sub> species in mainland China has been systematically investigated by Yin and Li [14]. Similarly, Sage et al. [15] summarized the global geographic distribution of modern C<sub>4</sub> plants. These efforts contributed significantly to our understanding of the relationship between the growth of C<sub>4</sub> plants and climate. However, C<sub>3</sub>/C<sub>4</sub> relative abundance, defined as the ratio of C<sub>3</sub> or C<sub>4</sub> biomass to local primary production, is different from the geographic

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distribution of  $C_4$  species, and also different from the ratio of  $C_4$  species to all plant species in a specific ecosystem. In regions with few  $C_4$  species, such as the region over  $60^\circ\text{N}$  where only 3 to 5  $C_4$  species have been found [15], both the ratio of  $C_4$  species to all plants species (i.e., the  $C_4$  species abundance) and the relative abundance of  $C_4$  biomass in the local ecosystem is close to zero. Similarly, in regions with abundant  $C_4$  plants, such as a savanna ecosystem where the ratio of  $C_4$  species to all plant species is normally higher than 90%, the relative abundance of  $C_4$  biomass may also be very high [15]. In other regions, however, the  $C_4$  species abundance and the relative abundance of  $C_4$  biomass may differ significantly. Sedimentary organic carbon isotopic analyses may provide information about past  $C_3/C_4$  relative abundance, but says nothing about the species abundance of  $C_4$  plants.

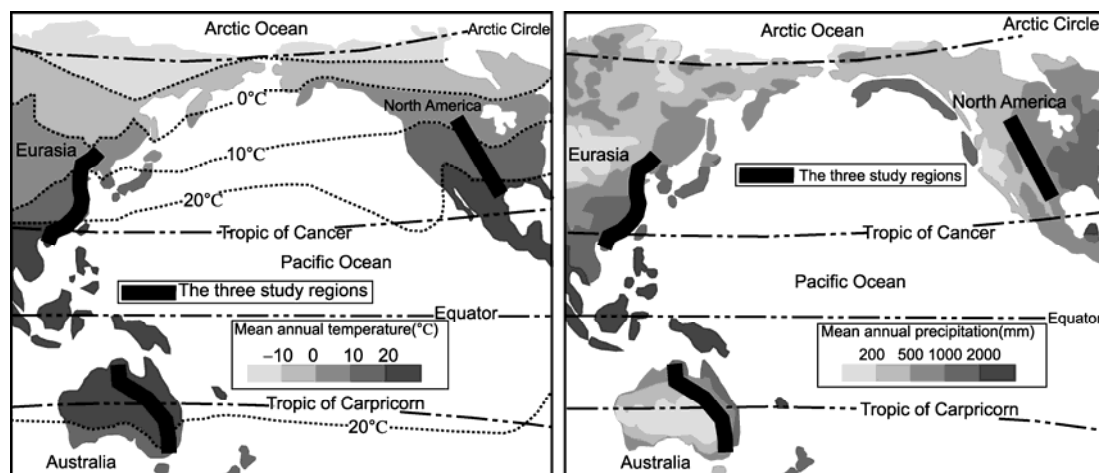
Organic carbon isotopic compositions ( $\delta^{13}\text{C}_{\text{TOC}}$ ) of surface soil in different regions have been evaluated for their relationship to climate. Modern  $\delta^{13}\text{C}_{\text{TOC}}$  data of 18 surface soil samples from the central Chinese Loess Plateau ( $34^\circ\text{N}$  to  $38^\circ\text{N}$ ) range from  $-21.4\text{‰}$  to  $-24.8\text{‰}$ , with an average value of  $-23.3\text{‰}$ , indicating the region is dominated by  $C_3$  plants [16]. Many  $\delta^{13}\text{C}_{\text{TOC}}$  data of surface soil samples in central-east Asia (from  $34^\circ\text{N}$  to  $52^\circ\text{N}$ ) from the northern slope of the Qinling Mountains near Baoji (China) to Hanhayn Huryee near the Mongolia-Russian border, reported by Feng et al. [17], are also very negative, indicating that  $C_3$  plants dominate this region as well. However, between  $42^\circ\text{N}$  and  $46^\circ\text{N}$  in the same region, the  $\delta^{13}\text{C}_{\text{TOC}}$  values are relatively higher, which may suggest that carbon isotopic values of  $C_3$  plants are greater under drier conditions [18]. This is further bolstered by the fact that the sampling area is desert or desert steppe [17]. Surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from these two regions have been used to analyze their relationship with environmental conditions [16,17,19], however,  $C_3/C_4$  relative abundance of modern vegetation and its relationship with climatic condition could not be well established for these two regions, primarily because the two regions are dominated by  $C_3$  plants.

Until now, a systematic summary of the results of the studies on the relationship between modern  $C_3/C_4$  relative abundance and climate across large areas, has not been available. Yet it is widely recognized that such summaries are critical to palaeoenvironmental reconstructions that evaluate change in  $C_3/C_4$  relative abundance over time. In this paper, we estimate modern  $C_3/C_4$  relative abundance in eastern China, Australia and the Great Plains of North America using a unique method to examine previously reported surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from each region [20–23]. The estimated modern  $C_3/C_4$  relative abundance for each region is then compared to corresponding data for mean annual temperature (MAT) and precipitation (MAP) to explore the relationship between modern  $C_3/C_4$  relative abundance and climatic condition in an extremely wide region with variable climatic and vegetational types.

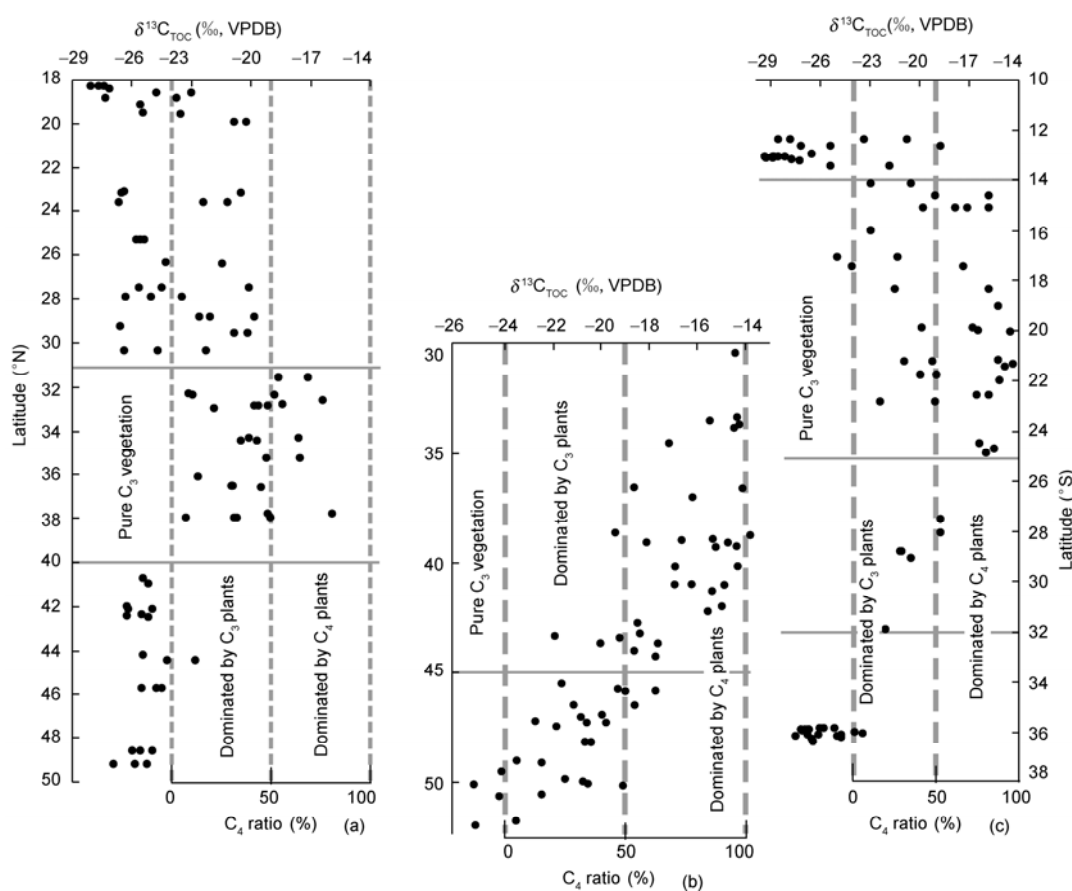
## 1 Materials and methods

Worldwide investigations demonstrate that the carbon isotopic composition of  $C_3$  plants ranges from  $-20\text{‰}$  to  $-34\text{‰}$ , with a mean around  $-27\text{‰}$ , while the carbon isotopic composition of  $C_4$  plants ranges from  $-9\text{‰}$  to  $-19\text{‰}$ , with a mean value of  $-13\text{‰}$  [1–4]. From this two important points emerge: first, the carbon isotopic composition of modern plants is variable under different environments [18,24]; and second, the carbon isotopic composition of plant remains may change during the burial and decomposition process, and this change is variable [16,25]. Because of this, using the mean carbon isotopic composition of modern plants ( $-27\text{‰}$  and  $-13\text{‰}$ ) as the endpoints to estimate  $C_3/C_4$  relative abundance may result in significant errors. Referring to the study of surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from the Great Plains of North America [22] and Australia [23], Gu et al. [8] chose  $-24\text{‰}$  and  $-14\text{‰}$  as the endpoints of  $\delta^{13}\text{C}_{\text{TOC}}$  data of soil under pure  $C_3$  and  $C_4$  vegetation to establish a history of change in  $C_3/C_4$  relative abundance from the last glacial to the Holocene in several loess/paleosol sequences from the Chinese Loess Plateau. A comparison of different estimation methods demonstrates that the method of Gu et al. [8] is relatively suitable for the estimation of  $C_3/C_4$  relative abundance with  $\delta^{13}\text{C}_{\text{TOC}}$  data [26]. In this study, we follow Gu et al. in using  $-19\text{‰}$  as the threshold between  $C_3$  and  $C_4$  dominance. Specifically, surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  values greater than  $-14\text{‰}$  indicate pure  $C_4$  vegetation (the relative abundance of  $C_4$  plants is 100%); surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  values between  $-19\text{‰}$  and  $-14\text{‰}$  represent vegetation dominated by  $C_4$  plants (the relative abundance of  $C_4$  plants is between 50% to 100%); surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  values between  $-19\text{‰}$  and  $-24\text{‰}$  reflect  $C_3/C_4$  mixed vegetation dominated by  $C_3$  plants (the relative abundance of  $C_4$  plants is between 0% to 50%); and surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  values less than  $-24\text{‰}$  indicate pure  $C_3$  vegetation (the relative abundance of  $C_4$  plants is 0%).

The surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data used in this paper come from eastern China [21], the Great Plains in North America [22] and Australia [23], and the approximate distribution of these three regions is shown in Figure 1. Our previous study results demonstrate that the spatial distribution of surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  values (Figure 2(a)) is similar to the spatial distribution of carbon isotopic compositions for long-chain  $n$ -alkanes ( $n\text{-C}_{27}$ ,  $n\text{-C}_{29}$  and  $n\text{-C}_{31}$ ) with significant odd-to-even carbon preference derived from terrestrial higher plants in eastern China. Both of these carbon isotopic data sets are more positive in the area between  $31^\circ\text{N}$  and  $40^\circ\text{N}$ , and very negative in the area that above  $40^\circ\text{N}$  (for  $\delta^{13}\text{C}_{\text{TOC}}$  data, most of them are less than  $-24\text{‰}$ ). In the area below  $31^\circ\text{N}$ , both sets of carbon isotopic data are dispersed and more negative than in the mid-latitude area as a whole (for  $\delta^{13}\text{C}_{\text{TOC}}$  data, most of them are less than  $-19\text{‰}$ ) [21]. Such a spatial change trend is consistent with carbon isotopic data



**Figure 1** The regions where surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data come from and the distribution of the mean annual temperature and precipitation around the North Pacific.



**Figure 2** The pattern of spatial change in surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data and corresponding estimated  $\text{C}_3/\text{C}_4$  relative abundance in eastern China (a), the Great Plains in North America (b) and Australia (c).

of phytoliths in surface soil samples gathered in eastern China [27]. Surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from the Great Plains in North America [22] range from  $30^\circ\text{N}$  to  $52^\circ\text{N}$ , and decrease with increasing latitude (Figure 2(b)). Surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from Australia [23] are more positive between  $14^\circ\text{S}$  and  $25^\circ\text{S}$ , but increasingly negative further north and south of this area. As a whole, surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  values are less than

$-24\text{‰}$  both above  $14^\circ\text{S}$  and below  $32^\circ\text{S}$  (Figure 2(c)).

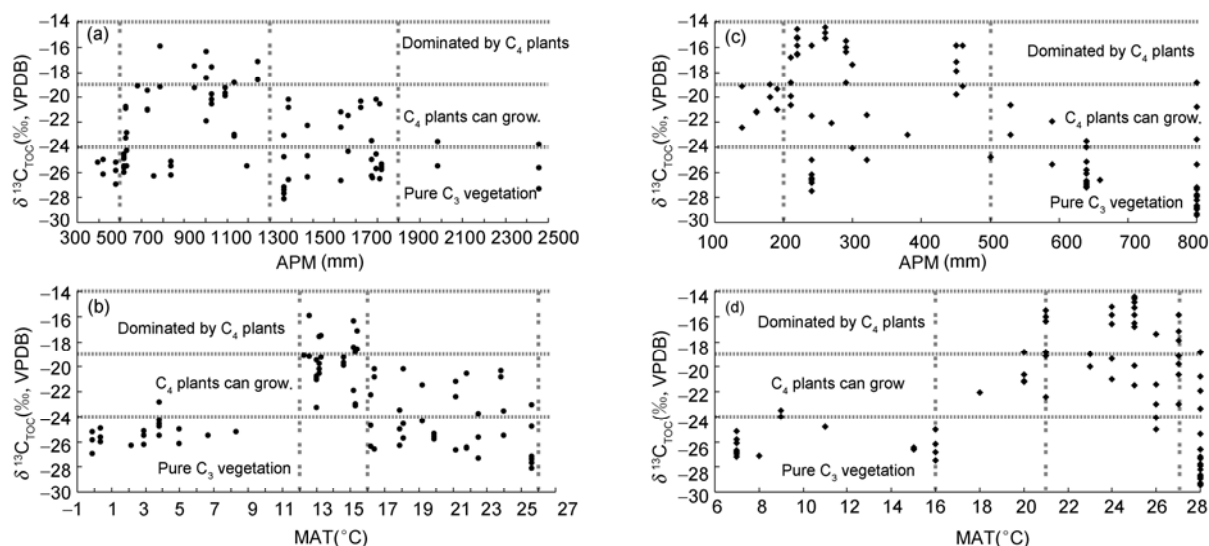
## 2 Results and discussion

Using the above-mentioned method and surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data, spatial differences in modern  $\text{C}_3/\text{C}_4$  relative abundance

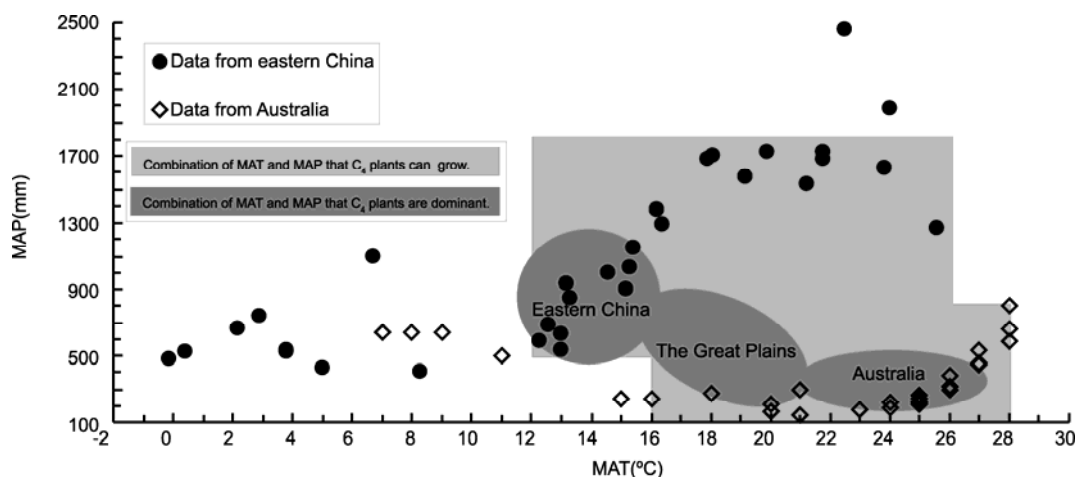
for three different regions has been estimated and shown in Figure 2. Pure  $C_3$  vegetation or  $C_3/C_4$  mixed vegetation dominated by  $C_3$  plants exists in the area of  $18^\circ\text{N}$  to  $31^\circ\text{N}$  in eastern China. The area of  $31^\circ\text{N}$  to  $40^\circ\text{N}$  in eastern China is occupied by  $C_3/C_4$  mixed vegetation dominated by  $C_3$  or  $C_4$  plants; pure  $C_3$  vegetation does not exist in this area. However, the area above  $40^\circ\text{N}$  in eastern China is dominated by pure  $C_3$  vegetation (Figure 2(a)). In the Great Plains of North America, pure  $C_4$  vegetation or  $C_3/C_4$  mixed vegetation dominated by  $C_4$  plants occupy the area of  $30^\circ\text{N}$  to  $45^\circ\text{N}$ , while the area between  $45^\circ\text{N}$  and  $50^\circ\text{N}$  is occupied by  $C_3/C_4$  mixed vegetation dominated by  $C_3$  plants; and pure  $C_3$  vegetation dominates the area above  $50^\circ\text{N}$  (Figure 2(b)). In Australia, it is pure  $C_3$  or  $C_3$ -dominant vegetation in the area of  $10^\circ\text{S}$  to  $14^\circ\text{S}$ . In the area of  $14^\circ\text{S}$  to  $25^\circ\text{S}$ , mostly, the vegetation is mixed  $C_3/C_4$  but dominated by  $C_3$  or  $C_4$  plants, while pure  $C_4$  vegetation still dominates several sampling sites in this area.  $C_3/C_4$  mixed vegetation dominated by  $C_3$  plants occupy the area of  $25^\circ\text{S}$  to  $32^\circ\text{S}$ , and pure  $C_3$  vegetation exists in the area below  $32^\circ\text{S}$  (Figure 2(c)).

Climatic parameters, including MAT and MAP, have been gathered from meteorological stations nearest each surface soil sampling site in eastern China [20]. Similarly, Bird and Pousai [23] provided MAT and MAP data of each surface soil sampling site in Australia. Therefore, it is possible for us to further analyze the relationship between modern  $C_3/C_4$  relative abundance and MAT and MAP in these two regions. This analysis may be affected by several factors related to the seasonality of plant growth: (1) because the study region has large latitudinal span, the growing season of plant varies with location, making it difficult to identify a growth season suitable to the entire study region; (2) spatial trends in mean temperature and precipita-

tion of the growing season should be consistent with MAT and MAP in the whole study region; and (3) only MAT and MAP data were available in Australia [23]. Therefore we only analyze the relationship between modern  $C_3/C_4$  relative abundance and MAT and MAP in eastern China and Australia (Figure 3). In eastern China, pure  $C_3$  vegetation dominates the area where MAP is more than 1800 mm or less than 500 mm. While  $C_4$  plants can grow when MAP is between 500 mm and 1800 mm,  $C_4$  plants only dominate the vegetation where MAP is between 500 mm and 1200 mm (Figure 3(a)). Correspondingly, pure  $C_3$  vegetation dominates areas with MAT lower than  $12^\circ\text{C}$ . While  $C_4$  plants can grow when MAT is between  $12^\circ\text{C}$  and  $26^\circ\text{C}$ , only those places with MAT between  $12^\circ\text{C}$  and  $16^\circ\text{C}$  have vegetation dominated by  $C_4$  plants (Figure 3(b)). In Australia, pure  $C_3$  vegetation dominates the area with MAP less than 200 mm,  $C_4$  plants can grow when MAP is between 200 mm and 800 mm, and only those areas with MAP between 200 mm and 500 mm have vegetation dominated by  $C_4$  plants (Figure 3(c)). Correspondingly, pure  $C_3$  vegetation dominates the area with MAT lower than  $16^\circ\text{C}$ , while  $C_4$  plants can grow when MAT is between  $16^\circ\text{C}$  and  $28^\circ\text{C}$ . Only those areas with MAT between  $21^\circ\text{C}$  and  $28^\circ\text{C}$  have vegetation dominated by  $C_4$  plants (Figure 3(d)). It seems the relationship between modern  $C_3/C_4$  relative abundance and single climatic parameter is significantly different in these two regions, especially, the climatic conditions of the areas dominated by  $C_4$  plants in these two regions. Therefore, we further compare modern  $C_3/C_4$  relative abundance of these two regions for the same values of MAT and MAP, as shown by Figure 4. Considering the temperature of the area dominated by  $C_4$  plants in the Great Plains of North America is higher than the corresponding area in eastern China (Figure 1), and the precipitation is more than that of the corresponding area



**Figure 3** (a) The relationship between  $C_4$  relative abundance and MAP in eastern China; (b) the relationship between  $C_4$  relative abundance and MAT in eastern China; (c) the relationship between  $C_4$  relative abundance and MAP in Australia; (d) the relationship between  $C_4$  relative abundance and MAT in Australia.



**Figure 4** The change of the modern  $C_4$  relative abundance in different combination of MAT and MAP in eastern China, the Great Plains of North America and Australia. Distribution of evidence from North America is approximate.

in Australia (Figure 1), the approximate range of MAT and MAP of the area dominated by  $C_4$  plants in the Great Plains of North America is also plotted on Figure 4. We then see that when MAT is lower than  $12^\circ\text{C}$ , the vegetation can be regarded as pure  $C_3$  vegetation no matter the change in precipitation.  $C_4$  plants can grow in a wide range of MAP when MAT is higher than  $12^\circ\text{C}$ . However, with further increasing MAT,  $C_4$  plants can keep their predominance when the MAP is decreasing.

A summary of the geographic distribution of modern  $C_4$  plants around the world by Sage et al. [15] shows that only 3 to 5 modern  $C_4$  species exist in the region above  $60^\circ\text{N}$ , and modern  $C_4$  species are few in the region below  $45^\circ\text{S}$ . Carbon isotopic composition of 158 modern plant species gathered in the Qinghai-Tibet Plateau ( $27^\circ42' - 40^\circ57'\text{N}$ ,  $88^\circ93' - 103^\circ24'\text{E}$  and 2210–5050 m) analyzed by Wang et al. [28] demonstrate that only 8 are  $C_4$  plant species. Based on the analyses of carbon isotopes of leaves of more than 300 modern plant species gathered along an altitudinal gradient, Li et al. [29] find only 52  $C_4$  plant species among more than 3500 plants species in the plateau area of Qinghai Province. A common feature of those high latitude and high altitude regions is low temperature. This is consistent with our analyses of the relationship between modern  $C_3/C_4$  relative abundance and climatic condition. Surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from  $34^\circ\text{N}$  to  $40^\circ\text{N}$  in the central Chinese Loess Plateau [16,19] and central East Asia [17] are more negative than the corresponding data from the same latitudinal band in eastern China. This suggests that these two regions are dominated by  $C_3$  plants and that the  $C_4$  relative abundance in these two regions is lower than in the same latitudinal band in eastern China. This may result from the lower temperatures of these two regions relative to the same latitudinal band in eastern China (Figure 1), and may reflect the influence of temperature on the growth of  $C_4$  plants. At the same time, these two regions are drier than the same latitudinal band in eastern China, which may suggest that below

a temperature threshold, a dry environment does not promote the growth of  $C_4$  plants. Although many rain forests or seasonal rain forests occupy low-latitude regions with high temperature and precipitation, typical  $C_4$  vegetation—that is, savanna vegetation—normally occupies low latitudes marked by high temperature and high relative aridity. This is consistent with our understanding that so long as temperature is high enough for the growth of  $C_4$  plants, they will predominate the landscape as temperatures increase and precipitation decreases.

### 3 Conclusions

The relative contribution of modern  $C_4$  plants to local primary production in eastern China, the Great Plains in North America and Australia can be estimated using a unique method and surface soil  $\delta^{13}\text{C}_{\text{TOC}}$  data from each region. The estimated modern  $C_4$  relative abundance is semi-quantitative, and is compared across uniform values of mean annual temperature and precipitation to explore the relationship between modern  $C_4$  relative abundance and climatic condition. Our results suggest that no matter the variation in precipitation,  $C_4$  plants are extremely seldom when the temperature is too low such as at high altitudes and high latitudes. When temperature is high enough,  $C_4$  plants can grow under a wide range of precipitation, however,  $C_4$  plants can only dominate the vegetation under a limited range of precipitation. More importantly, with an increase in temperature,  $C_4$  plants will only remain dominant where precipitation declines. Paleoenvironmental reconstructions based on sedimentary archives of change in  $C_3/C_4$  relative abundance in the past, will benefit from these results.

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