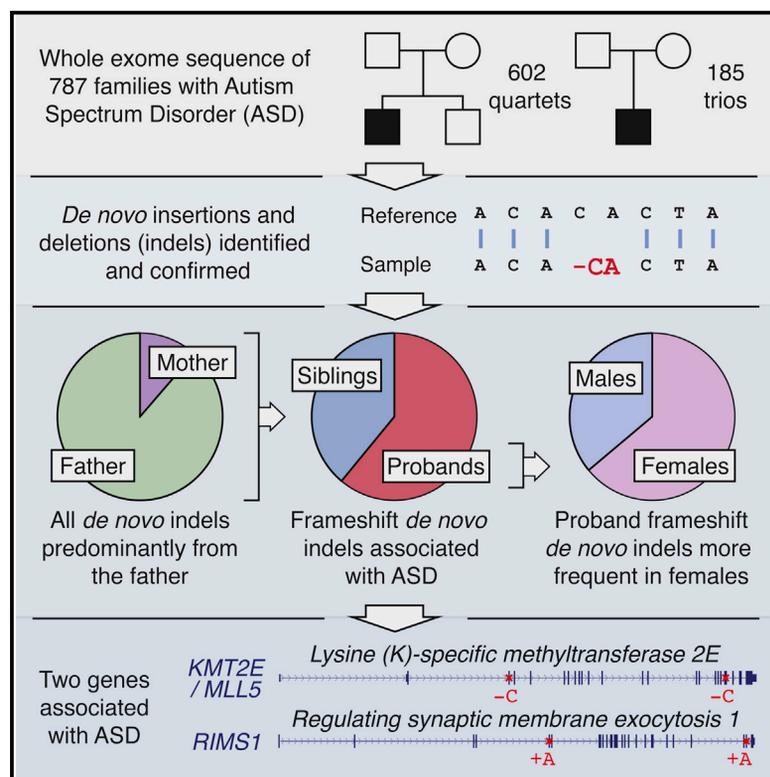


# De Novo Insertions and Deletions of Predominantly Paternal Origin Are Associated with Autism Spectrum Disorder

## Graphical Abstract



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## In Brief

Insertions and deletions (indels) have proven especially difficult to detect in exome sequencing data. Dong et al. now identify indels in exome data for 787 autism spectrum disorder (ASD) families. They demonstrate association between *de novo* indels that alter the reading frame and ASD. Furthermore, by observing clustering of indels in unrelated probands, they uncover two additional ASD-associated genes: *KMT2E* (*MLL5*), a chromatin regulator, and *RIMS1*, a regulator of synaptic vesicle release.

## Highlights

De novo frameshift indels are associated with ASD with an odds ratio of 1.6

Multiple *de novo* indels in *KMT2E* and *RIMS1* implicate these genes in ASD

88% of *de novo* indels arise on the paternal chromosome

Synaptic function, chromatin modification, and FMRP targets play key roles in ASD



# De Novo Insertions and Deletions of Predominantly Paternal Origin Are Associated with Autism Spectrum Disorder

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## SUMMARY

Whole-exome sequencing (WES) studies have demonstrated the contribution of de novo loss-of-function single-nucleotide variants (SNVs) to autism spectrum disorder (ASD). However, challenges in the reliable detection of de novo insertions and deletions (indels) have limited inclusion of these variants in prior analyses. By applying a robust indel detection method to WES data from 787 ASD families (2,963 individuals), we demonstrate that de novo frameshift indels contribute to ASD risk (OR = 1.6; 95% CI = 1.0–2.7;  $p = 0.03$ ), are more common in female probands ( $p = 0.02$ ), are enriched among genes encoding FMRP targets ( $p = 6 \times 10^{-9}$ ), and arise predominantly on the paternal chromosome ( $p < 0.001$ ). On the basis of mutation rates in probands versus unaffected siblings, we conclude that de novo frame-

shift indels contribute to risk in approximately 3% of individuals with ASD. Finally, by observing clustering of mutations in unrelated probands, we uncover two ASD-associated genes: *KMT2E* (*MLL5*), a chromatin regulator, and *RIMS1*, a regulator of synaptic vesicle release.

## INTRODUCTION

Autism spectrum disorder (ASD) is a highly heritable neurodevelopmental syndrome of unknown etiology. An excess of de novo copy-number variants (CNVs) in affected individuals is well established (Levy et al., 2011; Sanders et al., 2011; Sebat et al., 2007). Moreover, whole-exome sequencing (WES) studies have demonstrated that de novo loss-of-function (LoF) single-nucleotide variants (SNVs) also carry a significant risk for ASD (Iossifov et al., 2012; Neale et al., 2012; O'Roak et al., 2012; Sanders et al., 2012). Importantly, the observation of multiple

de novo events at the same locus provides a reliable and statistically rigorous method to identify specific variations associated with ASD (Sanders et al., 2011, 2012; Willsey et al., 2013). This approach has highlighted the contribution of CNVs at 16p11.2, 15q11.2-13, 22q11.2, 7q11.23, and *NRXN1*, and (to date) SNVs in nine genes: *ANK2*, *CHD8*, *CUL3*, *DYRK1A*, *GRIN2B*, *KATNAL2*, *POGZ*, *SCN2A*, and *TBR1*.

Although the above-cited works and similar studies have been critically important in outlining the genomic architecture of ASD (Buxbaum et al., 2012), they have not provided a comprehensive view of de novo variation in ASD. For example, systematic analysis of de novo insertions and deletions (indels) in WES data has been hindered by technological limitations, including mapping errors and ambiguities in annotation leading to low sensitivity or infeasible numbers of confirmations.

In this work, we resolved the most pressing issues in the detection of de novo indels by combining a family-based local realignment approach (Albers et al., 2011) with empirically derived quality metric thresholds to dramatically improve the accuracy of de novo indel prediction. We applied this approach, followed by comprehensive de novo indel confirmation, to previously analyzed WES data from 2,963 individuals in 787 families in the Simons Simplex Collection (SSC; Table S1), allowing a reliable analysis of the mutation rate in probands versus unaffected siblings. We identified 44 de novo coding indels and observed a significant excess of de novo frameshift indels in probands versus unaffected siblings with an odds ratio (OR) of 1.6, similar to that observed for de novo LoF SNVs. These additional data allowed us to refine our prior analysis of the contribution of de novo disruptive events to ASD population risk. We now estimate that approximately 7% of affected individuals (4% with a de novo LoF SNV and 3% with a de novo frameshift indel) carry a de novo disruptive coding mutation that contributes to ASD. Moreover, using our previously described approach to assess the significance of clustering of de novo events at genomic loci (Sanders et al., 2011, 2012; Willsey et al., 2013), we identified two ASD-associated genes: *Lysine (K)-specific methyltransferase 2E (KMT2E)*, a.k.a. *Mixed-lineage leukemia 5 [MLL5]* and *Regulating synaptic exocytosis 1 (RIMS1)*, reinforcing prior findings highlighting a role for chromatin modification and synaptic function in the pathophysiology of ASD.

## RESULTS

### Identification and Confirmation of De Novo Indels

To assess the burden of de novo indels in ASD, we analyzed WES data derived from whole-blood DNA from 787 families (602 quartets and 185 trios) in the SSC (Iossifov et al., 2012; O’Roak et al., 2012; Sanders et al., 2012; Willsey et al., 2013; Table S1). Accurate prediction of indels is complicated by difficulties with alignment (Figure 1B) and multiple possible representations of the same indel in variant call format (VCF; Figure 1C). To overcome these difficulties, we developed an analysis pipeline optimized for de novo indel detection (Figure 1A) using Dindel local realignment (Albers et al., 2011) to correct alignment errors, and the LeftAlignIndels tool from GATK (McKenna et al., 2010) to resolve problems with multiple representations of the same variant.

Using this approach, we identified a total of 307 putative de novo indels (258 coding and 49 intronic) in cases and controls. All 307 were submitted for confirmation by PCR amplification and Sanger sequencing, blinded to affected status. High-quality confirmation data were generated for 284 indels (93%), 146 of which were confirmed as being de novo (119 in coding regions and 27 in intronic regions), reflecting an overall confirmation rate of 51% (Table S2). Although a 78% confirmation rate was achieved with more stringent detection thresholds, there was a corresponding 18% reduction in indel detection, so we elected to use the less stringent thresholds to maximize sensitivity.

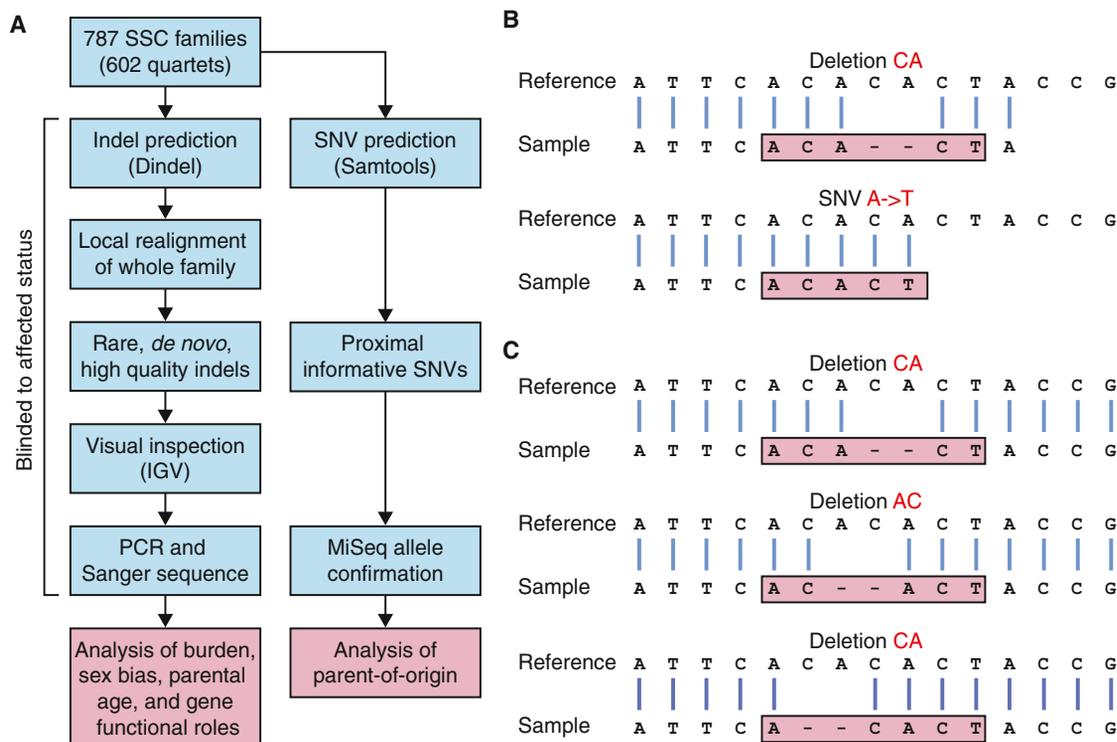
To further assess the pipeline, we first evaluated our ability to detect 54 previously confirmed de novo indels within our current data set (Iossifov et al., 2012; O’Roak et al., 2012). We correctly identified 52 (96%) of these, and the remaining two indels were not detected by Dindel in the first step of our pipeline. In addition, we detected and confirmed six de novo indels in these samples. Furthermore, use of the latest iteration of GATK resulted in an 8% reduction in indel detection, with no new de novo indels detected (Table S3). Although the absence of a gold standard precludes an accurate estimation of sensitivity, these results suggest that the method outlined in this work compares favorably with other widely used tools.

In addition to the 59 previously confirmed de novo coding indels in the SSC (Table S2), we confirmed an additional 16 previously predicted de novo coding indels and identified and confirmed 44 de novo coding indels.

### Increased Burden of De Novo Frameshift Indels in ASD Probands

In total, we observed and confirmed 119 de novo coding indels (79 in 787 probands and 40 in 602 unaffected siblings). To assess the burden of de novo indels in cases versus controls, we relied solely on the 100 indels detected in 602 quartet families that included both a proband and an unaffected sibling. We found 47 confirmed de novo indels that altered the reading frame (frameshift) in probands (0.078 per sample), compared with 30 (0.050 per sample) in siblings (OR = 1.6; 95% confidence interval [CI]: 1.0–2.7;  $p = 0.03$ , one-sided Wilcoxon paired test; Figure 2A; Table S2). Considering only brain-expressed genes resulted in a higher OR of 1.7 (95% CI = 1.0–3.0;  $p = 0.02$ ; Figure 2A; Table S2). For de novo indels that did not alter the reading frame (in-frame), no such excess was observed, with 13 (0.022 per sample) in probands and 10 (0.017 per sample) in siblings (OR = 1.3; 95% CI = 0.5–3.2;  $p = 0.28$ , one-sided Wilcoxon paired test; Figure 2A). Similarly, no excess of intronic de novo indels was observed in ASD probands versus unaffected sibling controls (Figure S1). We observed a similar burden of frameshift de novo indels when we applied increasingly stringent quality metrics to the 258 putative de novo coding indels instead of visualization and confirmation (Figure S2).

As expected, these results mirrored the previously reported burden of de novo LoF (nonsense or canonical splice-site) SNVs (OR = 2.4; 95% CI = 1.3–4.3;  $p = 0.0002$ , one-sided Wilcoxon paired test; Figure 2A), whereas de novo missense SNVs showed a trend toward overrepresentation in cases (OR = 1.1; 95% CI = 0.9–1.4;  $p = 0.07$ ) (Iossifov et al., 2012; O’Roak et al., 2012; Sanders et al., 2012; Willsey et al., 2013).



**Figure 1. Experimental Overview**

(A) Indels were predicted in 787 families from the SSC using Dindel. Throughout the analytical pipeline, probands and siblings are treated equally to allow accurate assessment of de novo indel burden. Informative SNPs were used to establish the parent of origin of de novo indels.

(B) Alignment errors at the end of reads lead to indels being miscalled as SNVs.

(C) An indel can be represented in multiple ways in VCF files.

See also Tables S1, S2, and S3.

### Two Genes Show Multiple Independent De Novo LoF Mutations

Given the similar functional impact of frameshift indels and LoF SNVs, as well as the similarity between the observed OR and frequency in ASD cases (Figure 2A), we concluded that we could treat these mutations as a single class of LoF mutations when considering the implications of observing multiple de novo disruptive mutations in the same gene. Using a permutation test (Sanders et al., 2012) that simulated de novo LoF mutations based on gene size and guanine-cytosine (GC) content at the rate observed in siblings (0.083 per sample), we found that a gene with a single disruptive de novo mutation had a 50.4% probability of being associated with ASD ( $q = 0.496$ ), whereas a gene with at least two disruptive de novo mutations had a 97.6% ( $q = 0.024$ ) probability of being associated with ASD.

Using this approach, we identified two ASD-associated genes (Table 1): *KMT2E* (also called *MLL5*) (Figure 2B) and *RIMS1* (Figure 2C).

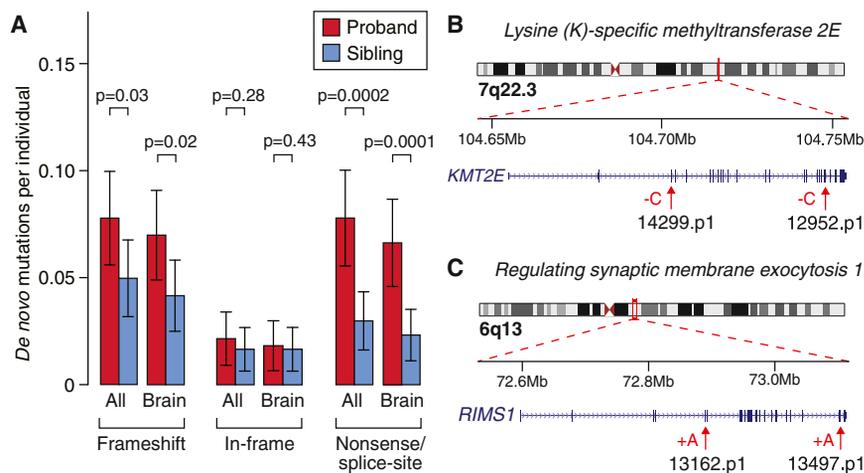
### De Novo Frameshift Indels Support a Role for FMRP Targets in the Pathophysiology of ASD

The identification of genes that overtly reflected chromatin modification and synaptic function in ASD led us to evaluate the putative functions of all 62 unique genes that carried de novo frame-

shift indels in the 787 probands (Table S2). We first assessed enrichment in Gene Ontology (GO) categories and KEGG pathways, as well as for connectivity of protein-protein interaction networks (DAPPLE). We found no significant results after correction for multiple comparisons.

We then turned to an assessment of mRNA targets of fragile X mental retardation protein (FMRP) in light of a recent analysis that showed enrichment of de novo SNVs in this set of genes among affected individuals in the SSC (Iossifov et al., 2012). We assessed the intersection of genes in this study with 842 FMRP targets identified in mouse brain (Darnell et al., 2011) and 939 FMRP targets identified in human embryonic kidney 293 (HEK293) cells (Ascano et al., 2012); 178 of these targets are present in both tissue types.

To ensure that factors known to influence de novo mutation rates did not confound the analysis, we used a generalized linear model of exome coverage, gene size and GC content, brain expression, and identification as an FMRP target as predictors of genes carrying a de novo frameshift indel. We observed a strong signal for FMRP targets identified in mouse brain, but not in HEK293 cells ( $p = 6 \times 10^{-9}$ , mouse brain;  $p = 0.13$ , HEK293 cells;  $p = 1 \times 10^{-6}$ , combined list). No enrichment was observed for the 29 unique genes with frameshift de novo indels in siblings ( $p = 0.55$  and  $p = 0.43$  for mouse brain and HEK293 cells, respectively).



**Figure 2. De Novo Indel Burden and Genes with Multiple Hits**

(A) The rate of de novo indels and SNVs is shown for 602 probands (red) and matched unaffected siblings (blue). “All” refers to all RefSeq genes in hg19. “Brain” refers to the subset of genes that are brain expressed. “Nonsense” refers to single-nucleotide substitutions that result in a premature stop codon. “Splice site” refers to single-nucleotide substitutions that disrupt the canonical splice site. Error bars represent the 95% CIs and p values were calculated with a one-sided paired Wilcoxon test. (B) Two de novo frameshift indels in independent samples are shown in the gene *KMT2E*. Both indels are likely to induce nonsense-mediated decay (Nagy and Maquat, 1998). (C) Two de novo frameshift indels in independent samples are shown in the gene *RIMS1*. Both indels are likely to induce nonsense-mediated decay (Nagy and Maquat, 1998). See also Figures S1 and S2.

We then considered our findings in light of the ASD-associated spatiotemporal coexpression networks recently reported by our group (Willsey et al., 2013). Since our prior work relied on overlapping sequencing data, including previously reported de novo indels, here we focused only on the intersection of 18 newly identified frameshift indels detected in probands. The gene *RIMS1* was found to be present in an ASD-associated network in the cerebellum and mediodorsal nucleus of the thalamus in early postnatal life.

### Female Probands Have a Greater Burden of De Novo Frameshift Indels

Female probands have previously been noted to have a higher burden of de novo CNVs than their male counterparts (Levy et al., 2011; Sanders et al., 2011); therefore, we assessed the de novo indel burden by sex. A similar pattern was observed for de novo frameshift indels in probands, with 0.126 per sample in the 151 female cases compared with 0.071 per sample in the 636 male cases (OR = 1.9; 95% CI = 1.0–3.4;  $p = 0.02$ , one-sided Wilcoxon unpaired test; Figure 3A). This sex-related burden was not observed for the de novo in-frame indels (OR = 0.6; 95% CI = 0.1–3.0;  $p = 0.68$ , one-sided Wilcoxon unpaired test; Figure 3A).

### De Novo Frameshift Indels Are Associated with Lower IQ

Given the significant clinical overlap between intellectual disability (ID) and ASD, and long-standing interest in the relative contribution of genetic risk to social disability versus ID (Skuse, 2007), we evaluated the relationship between IQ and mutation status. The presence of a de novo frameshift indel was associated with a 6.3 point decrement in proband full-scale IQ (FSIQ) ( $p < 0.0001$ , Mann-Whitney U test) compared with probands with no known de novo LoF indel or SNV. However, de novo frameshift indels only explained a small fraction of variance in FSIQ ( $R^2 = 0.004$ ), and 43% of probands with de novo frameshift indels had FSIQ measures greater than the proband mean of 80.2 (Figure 3B). The current absence of FSIQ data for the parents prevents an analysis of the genetic deviation in FSIQ due to de novo mutations, as was recently performed for IQ in individ-

uals with 16p11.2 CNVs (Zufferey et al., 2012) and for head circumference in the SSC (Chaste et al., 2013).

### De Novo Indels Arise Predominantly from the Paternal Chromosome

Given the observation that the majority of de novo SNVs arise on the paternal chromosome (Kong et al., 2012; O’Roak et al., 2012), we assessed the parent of origin for the de novo indels. Informative SNPs (i.e., those unique to one parent and transmitted to the child) within 1,000 bp of de novo indels were identified in WES data. The regions were amplified with PCR and sequenced on an Illumina MiSeq. Visual inspection of the data allowed us to determine the parent of origin.

We observed a significant excess of de novo indels arising from the paternal chromosome (31 paternal versus 4 maternal;  $p < 0.001$ ; binomial exact test; Figure 3C), as was observed for de novo SNVs.

### Correlation between Parental Age and De Novo Indels

Multiple prior studies, including our own (Kong et al., 2012; O’Roak et al., 2012; Sanders et al., 2012), have demonstrated a robust correlation between paternal age and the rate of de novo SNVs. Consequently, we tested for this relationship with regard to de novo indels by fitting a linear model with paternal age (years) at the child’s birth as a predictor for the presence of a de novo indel. Surprisingly, we found no association with paternal age (slope  $b = 0.01$ ,  $SE \pm 0.01$ ,  $p = 0.33$ , regression). This result was not altered by considering maternal age ( $b = 0.01$ ,  $p = 0.41$ ), probands only ( $b = 0.00$ ,  $p = 0.89$ ; Figure 3D), or siblings only ( $b = 0.03$ ,  $p = 0.12$ ; Figure 3D), or by excluding frameshift indels ( $b = 0.02$ ,  $p = 0.34$ ). In comparison, applying the same model to the de novo SNVs continued to show a robust association for paternal age ( $b = 0.02$ ,  $SE \pm 0.01$ ,  $p = 0.0002$ ) equivalent to an extra 0.2 de novo coding mutations per decade of the father’s age.

### Contribution of De Novo Indels and SNVs to ASD Population Risk

Based on the observed difference in de novo mutation burden between cases and controls (Figure 2A), we predict that 3% of

**Table 1. Identified De Novo Indels in Genes with Previously Reported De Novo Nonsynonymous Mutations**

Gene	Sample	hg19 Location	Variant	Effect	Source
<i>CHD2</i>	10C100480	chr15:93518170	C->T	missense	Neale et al., 2012
	13618.p1	chr15:93524060	-AAAG	frameshift	Identified herein
<i>KMT2E</i>	14299.p1	chr7:104702706	-C	frameshift	Identified herein
	12952.p1	chr7:104748101	-C	frameshift	Iossifov et al., 2012
<i>PHF3</i>	14133.p1	chr6:64413433	-CG	frameshift	Identified herein
	14110.p1	chr6:64423242	C->T	missense	Sanders et al., 2012
<i>RIMS1</i>	13162.p1	chr6:72889392	+A	frameshift	Iossifov et al., 2012
	13497.p1	chr6:73102488	+A	frameshift	Identified herein

See also Table S2.

affected individuals carry de novo risk frameshift indels and an additional 4% carry de novo risk LoF SNVs. Should an ASD association be demonstrated for de novo missense and de novo in-frame mutations (as is likely with increased power), such mutations would potentially account for a further 7% of ASD individuals.

## DISCUSSION

Our analysis of 787 ASD families from the SSC, including 602 unaffected sibling controls, demonstrates the association of de novo frameshift indels with ASD. Furthermore, the similarity between the OR and mutation rate observed for de novo frameshift indels and those observed for de novo LoF SNVs, as well as the overlap in the functional consequences, fits with the assumption that de novo frameshift indels and de novo LoF SNVs can be considered as a single group of highly disruptive mutations. Overall, these disruptive mutations are predicted to contribute to risk in 7% of the ASD population.

By reanalyzing WES data from the SSC cohort using this more sensitive and reliable approach for discovering de novo indels, we identified two ASD genes: *KMT2E* (*MLL5*) and *RIMS1*. *KMT2E* is a chromatin regulator that is recruited to methylated histones found at the promoters of actively expressed genes, specifically H3K4me3. It was initially identified as a tumor-suppressor gene and its role in hematopoietic stem cell homeostasis and self-renewal has been well documented. However, the gene is highly pleiotropic, with roles in cytokinesis, response to DNA damage, and genome maintenance (Ali et al., 2013). Although *KMT2E* has not previously been associated with neurological disorders, chromatin regulation in fetal development has been identified as a key risk factor for ASD (O'Roak et al., 2012; Willsey et al., 2013) and the gene is highly expressed throughout the brain, especially during fetal development (Kang et al., 2011).

*RIMS1* is a RAS signaling gene that is essential for multiple aspects of neurotransmitter release. It plays a role in presynaptic plasticity (Kaeser et al., 2012), with mouse knockouts showing deficits in learning and memory (Powell et al., 2004) and increased seizure frequency following induced status epilepticus (Pitsch et al., 2012). *RIMS1* is expressed throughout the human brain, with levels increasing throughout develop-

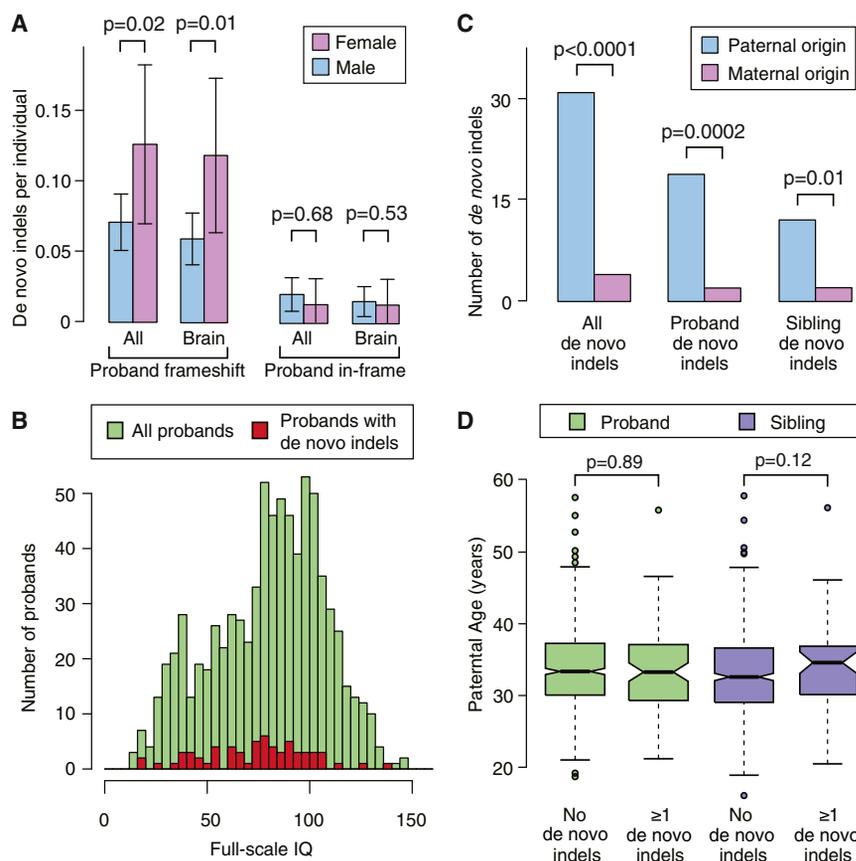
ment and reaching a plateau in the third trimester that persists throughout adulthood (Kang et al., 2011). The gene is present in an ASD-associated postnatal coexpression network in the cerebellum and mediodorsal nucleus of the thalamus (8-10 MD-CBC) due to its coexpression with the ASD gene *SCN2A* (Willsey et al., 2013).

The FSIQ was below the proband average of 81 in the SSC (range 46–74) in all four individuals with mutations in *KMT2E* and *RIMS1*. The anxious/depressed, withdrawn/depressed, somatic complaints, and thought problems scales of the Child Behavior Checklist were elevated for both individuals with *RIMS1* mutations only. Inconsistent results were observed for other phenotypic measures, including seizures and head circumference.

Although the ASD-associated de novo indels do not form a highly connected protein-protein interaction network or show enrichment for GO terms, we do confirm the previously documented enrichment of FMRP target genes carrying de novo LoF mutations (Iossifov et al., 2012). In light of the strength and reproducibility of this relationship, the identification of mRNAs targeted by FMRP in the developing human brain is likely to be a valuable resource for ASD gene discovery.

Given the observed similarities between de novo frameshift indels and de novo LoF SNVs, a marked overrepresentation of mutations on the paternal allele might have been anticipated. However, we did not observe the expected correlation between these paternally enriched de novo mutations and paternal age. Given the relatively small number of indels, it is likely that this negative result reflects inadequate statistical power. We will test this hypothesis as substantially larger WES data sets from ASD families become available in the near future (Buxbaum et al., 2012).

Finally, we investigated the relationship between de novo frameshift indels and IQ. Given the association of many established ASD mutations with decrements in cognitive functioning and the frequent phenotypic overlap seen in clinical samples, there has been speculation that de novo disruptive mutations may only carry a risk for ID and not for the core social deficits that define ASD. Our data do not support this hypothesis. Although we observe lower IQ among probands that carry de novo frameshift indels compared with probands without any de novo LoF mutations, the difference is small (6.3 IQ points) and accounts for only a fraction of the variance in IQ ( $R^2 = 0.004$ ), and the distribution of IQ is similar to that in other probands



**Figure 3. Sex Difference, Parent of Origin, and Parental Age**

(A) A consistently higher rate of de novo frameshift indels was observed in female probands (pink) compared with male probands (blue), but this difference was not observed in unaffected siblings. “All” describes all de novo frameshift indels and “Brain” indicates only those expressed in the brain. Error bars represent the 95% CIs and p values were calculated with a one-sided paired Wilcoxon test.

(B) Histogram of full-scale IQ in all probands (green) and probands with a de novo frameshift indel (red).

(C) The majority of de novo indels for which the parent of origin could be resolved were found to be on the paternal (blue) rather than the maternal (pink) chromosome ( $p < 0.001$ ; binomial). This result was observed in both probands and siblings separately.

(D) No clear relationship between the presence of a de novo indel and increased paternal age was observed for probands (green) or siblings (purple); p values were estimated with a Poisson regression.

(Figure 3B). Moreover, given the emerging picture of shared risks for de novo SNVs among a wide range of neurodevelopmental syndromes (Allen et al., 2013; Fromer et al., 2014; Moreno-DeLuca et al., 2014), the most parsimonious explanation is that a subset of highly disruptive risk mutations are associated with a range of phenotypic outcomes, including ID, ASD, schizophrenia, and epilepsy.

Based on current estimates, the detection of de novo frameshift indels and LoF SNVs identifies a genetic risk factor in approximately 7% of affected individuals, rivaling the contribution of de novo CNVs (Sanders et al., 2011). Moreover, in addition to confirming important recent observations regarding the genomic architecture of ASD, including the paternal origin of the majority of small de novo mutations, the approach is yielding a growing list of ASD risk genes, pointing to chromatin modification, synaptic functioning, and binding to FMRP as key pathophysiological mechanisms.

## EXPERIMENTAL PROCEDURES

### Sample Collection and Initial Data Processing

Whole-exome data for 2,963 samples from 787 families (602 quartets and 185 trios) in the SSC were obtained (Table S1). Exome capture was performed using a NimbleGen custom array (N5210) or NimbleGen EZExomeV2.0 (N5718) followed by sequencing on Illumina GAIIx or HiSeq2000 instruments. Reads were aligned to hg19 with BWA. This research was reviewed by the Yale institutional review board under HIC protocol number 0301024156.

### Family-Based De Novo Indel Detection

Indels were predicted in children using Dindel (Albers et al., 2011) followed by Dindel local realignment for all family members. The LeftAlignIndels tool from GATK (McKenna et al., 2010) was applied to all of the resulting BAM files, and indels were assessed in the realigned files. Rare inherited heterozygous indels were used to set appropriate quality filters to identify rare de novo indels, including  $\geq 10$  unique reads in all family members, indel not observed in other SSC families, and  $< 5\%$  of reads with an indel in either parent.

Realigned BAM files for the resulting 522 putative de novo coding indels (0.39 per sample in probands and 0.37 per sample in siblings) were visualized using Integrative Genome Viewer (IGV) (Thorvaldsdóttir et al., 2013) by two independent researchers who were blinded to affected status. High concordance between the two researchers was observed (kappa coefficient = 0.94) and any indel that was potentially de novo according to either researcher was submitted for confirmation. In total, 258 indels (50%, 0.27 per sample in probands and 0.16 per sample in siblings) were selected. In addition, the 49 intronic de novo indels with the best indel quality scores were submitted for confirmation as an additional control, yielding a total of 307 confirmations.

### Indel Confirmations

Indels were confirmed using PCR amplification of whole-blood DNA and Sanger sequencing. Of 307 putative de novo indels, high-quality confirmation data were generated for 284 (96%). Of these, no indel was observed in the child for 44 (15%), while an inherited indel was observed in 93 (33%). One confirmed indel was observed in both the proband and sibling, but not in either parent, suggesting germline mosaicism. This left 146 confirmed de novo indels and a confirmation rate of 51%.

### Identifying the Parent of Origin

Informative SNPs within 1,000 bp of a confirmed de novo indel were identified in WES data. The regions were amplified from whole-blood DNA of the index child and both parents using PCR. Amplified DNA was normalized using PicoGreen quantitation and pooled separately for children, fathers, and mothers. Each pool underwent indexed library preparation and was run on an Illumina MiSeq with 250 bp paired-end reads. The aligned sequence data were assessed in IGV.

## SUPPLEMENTAL INFORMATION

Supplemental Information includes Supplemental Experimental Procedures, two figures, and three tables and can be found with this article online at <http://dx.doi.org/10.1016/j.celrep.2014.08.068>.

## AUTHOR CONTRIBUTIONS

S.D., M.W.S., L.W., and S.J.S. designed the study. S.D., N.J.C., A.J.W., A.Y.Y., V.H.B., J.F.K., N.A.T., J.G., and S.J.S. developed analysis methods and analyzed the data. S.D., M.F.W., M.D., Z.W., L.E.G., J.D.D., S.F., J.D., J.D.M., C.A.S., N.M.D., R.O.K., Z.Y., S.M.S., A.G.E., A.R.G., S.M.M., M.S., and A.I.B. confirmed the indels. S.D., K.R., B.D., M.W.S., L.W., and S.J.S. wrote the manuscript.

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