

**DESIGN OF INDOOR POSITIONING SYSTEMS  
BASED ON LOCATION FINGERPRINTING  
TECHNIQUE**

by

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# DESIGN OF INDOOR POSITIONING SYSTEMS BASED ON LOCATION FINGERPRINTING TECHNIQUE

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Positioning systems enable location-awareness for mobile computers in ubiquitous and pervasive wireless computing. By utilizing location information, location-aware computers can render location-based services possible for mobile users. Indoor positioning systems based on location fingerprints of wireless local area networks have been suggested as a viable solution where the global positioning system does not work well. Instead of depending on accurate estimations of angle or distance in order to derive the location with geometry, the fingerprinting technique associates location-dependent characteristics such as received signal strength to a location and uses these characteristics to infer the location. The advantage of this technique is that it is simple to deploy with no specialized hardware required at the mobile station except the wireless network interface card. Any existing wireless local area network infrastructure can be reused for this kind of positioning system.

While empirical results and performance studies of such positioning systems are presented in the literature, analytical models that can be used as a framework for efficiently designing the positioning systems are not available. This dissertation develops an analytical model as a design tool and recommends a design guideline for such positioning systems in order to expedite the deployment process. A system designer can use this framework to strike a balance between the accuracy, the precision, the location granularity, the number of access points, and the location spacing. A systematic study is used to analyze the location fingerprint and discover its unique properties. The location fingerprint based on the received signal strength is investigated. Both deterministic and probabilistic approaches of location

fingerprint representations are considered. The main objectives of this work are to predict the performance of such systems using a suitable model and perform sensitivity analyses that are useful for selecting proper system parameters such as number of access points and minimum spacing between any two different locations.

**Keywords:** pattern classification, performance, position location system, system design, wireless local area network.

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## PREFACE

I would like to dedicate this dissertation to my parents, my wife, and my brother. It has been a long journey for me and they always give me love and encouragement. I would like to thank my wife, Saowaphak, who has always been there for me. I am indebted to my advisor Dr. Prashant Krishnamurthy for his continuous guidance, support and encouragement. Without his patient, valuable suggestions, and comments, I would not be working on this exciting research topic. Special thanks are due to all my dissertation committee who spent their valuable time to help me improve this work. I wish to thank all my fellow students at the telecommunications program who provide interesting comments. I gratefully acknowledge the financial support from the following entities: the National Electronics and Computer Technology Center of Thailand, the Thai Government, the Telecommunications Program, the School of Information Science, the Link-to-Learn Project, the Common Wealth of Pennsylvania, and the National Science Foundation. In addition, I express my appreciation to the Microsoft Corporation for their Windows XP's Driver Development Kit, the Carnegie Mellon University for the donation of wireless access points and cards, and Anand Balachandran who developed Wireless Research API (WRAPI) of the University of California at San Diego.

## I. INTRODUCTION

### A. INTRODUCTION TO THE STUDY

*Location awareness* is one of the key capabilities in *context-aware*<sup>1</sup> computing. Context-aware computing is one of the building blocks towards the realization of a *ubiquitous*<sup>2</sup> and *pervasive*<sup>3</sup> wireless computing environment or smart space where several computers are embedded within an indoor environment [2]. Location information can provide additional context for location-aware mobile stations. The meaning and the relevance of data can be interpreted differently as the mobile station's location changes with time [4]. Therefore, indoor location determination for mobile stations imposes a significant challenge for the success of ubiquitous and pervasive wireless computing.

*Location discovery* or location determination refers to a process used to obtain location information of a mobile station (MS) with respect to a set of reference positions within a pre-defined space. In the literature, this process is usually termed differently as *radiolocation* [4], *position location* [5], *geolocation* [6], *location sensing* [7], or *localization* [8]. This dissertation will primarily use *position location* but all of these terms are used interchangeably throughout the document. A system deployed to determine or estimate the location of an entity is called a *position location system* or *positioning system*. The term *positioning system* will be used to represent the system throughout this document. A wireless indoor positioning

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<sup>1</sup>“Context refers to the physical and social situation in which computational devices are embedded. One goal of context-aware computing is to acquire and utilize information about the context of a device to provide services that are appropriate to the particular people, place, time, events, etc.” [1]

<sup>2</sup>“Ubiquitous computing enhances computer use by making many computers available throughout the physical environment, while making them effectively invisible to the user.” [2]

<sup>3</sup>“Pervasive Computing is a term for the strongly emerging trend toward: 1) Numerous, casually accessible, often invisible computing devices, 2) Frequently mobile or imbedded in the environment, and 3) Connected to an increasingly ubiquitous network structure.” [3]

system refers to a wireless network infrastructure that provides indoor location information to any requesting end user. A set of coordinates or reference points within the predefined space is typically used to indicate the physical location of the entity. For instance, the global positioning system (GPS) uses the latitude, longitude, and altitude as the coordinates of an entity on the Earth's surface. On the other hand, an indoor positioning system may combine a floor number, a room number, and other reference objects to represent an entity's position. Note that the term *position* and *location* are used interchangeably even though the first term has a smaller scope than the second term.

The applications of indoor location information are not limited to tracking the location of users and objects in both emergency and normal situations. Concierge services enable users to become aware of nearest supporting facilities. For example, in an office automation system a document can be automatically printed to the closest printer near a mobile user. If a person wearing a location device is not present at his desk, an incoming phone call can be forwarded to the nearest telephone set [2]. In the field of robotics, a robot can navigate by itself using the assistance of an indoor positioning system [8]. Smart home applications such as multimedia appliances that forward multimedia stream to the nearest video screen can be achieved with a home positioning system [9]. These examples are just some emerging location related applications.

First, this chapter presents the background of indoor positioning systems, identifies the challenges of such systems, and briefly describes indoor positioning systems based on location fingerprints. Next, the assumptions of study, the overview of approaches, and the contributions are presented. Finally, the organization of this dissertation is outlined.

## **B. BACKGROUND OF INDOOR POSITIONING SYSTEMS**

The success of outdoor positioning and applications based on the global positioning system (GPS) provides an incentive to the research and development of indoor positioning systems. Unfortunately, the GPS system cannot be used effectively inside buildings and in dense urban areas due to its weak signal reception when there are no lines-of-sight from a MS to

at least three GPS satellites [10]. As a result, indoor positioning systems require alternative means to detect the MS's location without relying on the direct radio frequency (RF) signal from GPS satellites. Infrared, RF, and ultra sound signals are major technologies used for indoor positioning systems. Different types of *sensors*<sup>4</sup> are required to detect these electromagnetic signals which have characteristics depending on each location. For instance, a photo-diode detector is commonly used as a sensor to detect infrared signals. A *sensing process* converts these signals into a measurable metric such as distance or angle for later location determination [6]. Then, the measurable metrics are processed by a *positioning algorithm* to estimate the MS's position [6]. Unlike outdoor areas, the indoor environment imposes different challenges on location discovery due to the dense *multipath*<sup>5</sup> effect and building material dependent propagation effect. Thus, an in-depth understanding of indoor radio propagation for positioning is crucial for efficient design and deployment.

Concurrently, there has been an increasing deployment of wireless local area networks (WLANs) by many individuals and organizations inside their homes, offices, buildings, and campuses. The popularity of WLANs opens a new opportunity for location-based services. The WLAN infrastructure can be applied to provide indoor location service without deploying additional equipment [13]. A wireless network interface card which has the ability to measure RF signals can be considered as a kind of sensor device. Location-aware applications for indoor systems are potentially new emerging value-added services for WLANs and can possibly become a prevalent and successful technology of the future.

Indoor positioning is an emerging technology that lacks theoretical and analytical background. Pahlavan et al [6] recognize the need for fundamental study of the characterization of indoor radio propagation and its impact on the accuracy of such systems. A framework for system design and performance evaluation is required for the success and the growth of this technology. Krishnamurthy [4] identifies four areas of challenges in position location in mobile environment which are performance, cost and complexity, security, and application

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<sup>4</sup>“Sensor is a device that responds to physical stimulus (as heat, light, sound, pressure, magnetism, or a particular motion) and transmits resulting impulse (as for measurement or operating a control)” [11]

<sup>5</sup>Multipath is a radio frequency's phenomenon that is the result of radio signals traveling through multiple reflective paths from a transmitter to a receiver [12]. The received signal's amplitude, phase, and angle of arrival can fluctuate due to the multipath effect. These signal fluctuations are termed as multipath fading in wireless mobile communications.

requirements. These issues are summarized as follows.

- **Performance:** The most important performance metric is the *accuracy* of the location information. This is usually reported as an error distance between the estimated location and the actual mobile location. The report of accuracy should include the confidence interval or percentage of successful location detection which is called the location *precision*. Other essential performance metrics are *delay*, *capacity*, *coverage*, and *scalability* of the positioning system. The delay metric refers to the time taken between sensing of the location to reporting the information. The capacity metric measures the number of location estimations that a system can process per unit time. The coverage metric reports the boundary of a space that location information can be estimated. Scalability is a metric that suggests how well the system performs when it operates with a larger number of location requests and a larger coverage. All of these performance metrics depend on the choice of sensing technologies, characteristics of the radio channel and environment, the bandwidth of sensing signal, system's infrastructure capabilities, positioning algorithm, and complexity of signal processing techniques employed to estimate the location information.
- **Cost and Complexity:** The cost incurred by a positioning system can come from the cost of extra infrastructure, additional bandwidth, fault tolerance and reliability, and nature of deployed technology. The cost may include installation and survey time during the deployment period. If a positioning system can reuse an existing communication infrastructure, some part of infrastructure, equipment, and bandwidth can be saved. For instance, in an in-band positioning system, the existing communication signals can also be used for location sensing. After the system becomes operational, the extra power consumption at each mobile can be considered as a cost for the positioning system [14]. The complexity of the signal processing and algorithms used to estimate the location is another issue that needs to be balanced with the performance of positioning systems. Trade-offs between the system complexity and the accuracy affects the overall cost of the system.
- **Application Requirements:** The major application requirements for the location information are the *granularity*, the *performance*, and the *availability*. These requirements

are different from one application to another. First, the granularity can be subdivided into temporal granularity and spatial granularity. Temporal granularity determines the rate at which the location information is requested while spatial granularity determines the level of detail of location information. Second, the performance requirements can include any combination of performance metrics discussed above. For example, a concierge service may not require high accuracy but needs a short delay response. Finally, based on the type of applications, the location information may be required at different entities within a wireless network either at the MS itself or at a node within the backhaul network. For example, user tracking may require position information at a centralized server within the fixed network. Based on the entity that estimates the location information, there are two approaches for location systems: self-positioning and remote-positioning [4]. The difference between these two approaches will be discussed in Chapter II. Moreover, the design of positioning systems must take into account issues such as the processing limitations and the constrained battery life of the MS. Besides the three major requirements above, privacy concerns may prevent the availability of the location information at the centralized node inside the fixed network.

- **Security:** Location information should be made available only to those with authorized access. This issue represents the privacy concern of mobile users who do not want to reveal their location or be tracked. It is closely related to how the system determines the location information and the type of application. A system similar to the GPS where each GPS device derives its own position from the GPS satellites can completely secure the user location information. On the other hand, a location tracking such as the E-911 system [15] with the main purpose to capture the user location can be abused by unauthorized groups if there is no security protection in place. Therefore, the location system should have a security protocol embedded within the system to protect the location information. Unfortunately, the security of the system is limited by the location sensing technique. For instance, a positioning system that reuses the communication signals for the purpose of location detection cannot completely secure the MS's privacy because of its active nature.

## C. INDOOR POSITIONING SYSTEMS BASED ON LOCATION FINGERPRINTING

The demonstration of a positioning system using existing WLAN infrastructure and location fingerprinting technique such as the RADAR system [13] shows a promising future for indoor positioning systems. Utilizing the radio frequency (RF) that is readily available by the widely adopted WLANs, radio frequency based positioning systems can complement the data networking service with user positioning and tracking capabilities [13]. The *location fingerprinting* refers to a technique that exploits the relationship between any measurable physical stimulus and a specific location. In the RADAR case, the RF received signal strength is the stimulus. This type of positioning system does not require specialized hardware other than the common wireless network interfaces with received signal strength measurement capability; thus, it is relatively simple to deploy compared to other techniques. Unlike outdoor counterpart systems which can use angle of arrival (AOA) and time difference of arrival (TDOA) techniques effectively, indoor positioning systems encounter the problem of non-line-of-sight and the dense multipath effect that render these two techniques ineffective or complex for practical implementation [13]. It is also difficult for a MS to always see three or more access points or base stations in indoor environment, which is essential for triangulation by AOA and TDOA. Location fingerprinting can also be implemented as a software-based positioning system which can reduce complexity and cost. Any existing WLAN infrastructure can be reused for this positioning system. Such positioning systems are viewed as the most effective and feasible solution for the indoor environment [13, 16, 17], and have thus become the main focus of this dissertation. Generally, the deployment of fingerprinting based positioning systems can be divided into two phases.

First, in the off-line or calibration phase, the location fingerprints are collected by performing a site-survey of the received signal strength (RSS) from multiple access points (APs). The entire area is covered by a rectangular grid of points. The distance between two closest physical positions is called *grid spacing* and usually reported in meters or feet. However, some points may be omitted due to inaccessibility. The RSS is measured with enough statistics to create a database or a table of RSS patterns on the predetermined points of the

grid. The database of RSS patterns is called a *radio map* in [18]. The vector of RSS values at a point on the grid is called the *location fingerprint* of that point.

Second, in the on-line phase, a MS will report a sample measured vector of RSSs from different APs to a central server (or a group of APs will collect the RSS measurements from a MS and send it to the server). The server uses a positioning algorithm to estimate the location of the MS and reports the estimate back to the MS (or the application requesting the position information). The most common algorithm used to estimate the location computes the Euclidean distance between the sample measured RSS vector and each fingerprint in the database. The coordinates associated with the fingerprint that provides the smallest Euclidean distance is returned as the estimate of the position. Other advanced algorithms such as neural networks [19] and Bayesian modeling [20] have been introduced for indoor positioning systems to determine the relationship between samples of RSS and the location fingerprint in the radio map. A summary of recent development of indoor positioning systems is discussed in the next chapter.

It is clear from the above discussion that the designer should not start out to do the site survey without proper system design objectives and guideline. Only a few guidelines are provided in [21] for the practical deployment of location fingerprinting techniques such as collecting fingerprints every 3 to 5 meters and installing at least 3 access points. The other performance improvement suggestion in [21] is that if the performance is not sufficient, collecting more fingerprints in the location in between previous location fingerprints may help.

It is a problem of choice as to how many access points are required for the system and what the minimum distance between physical positions on the grid should be in order to provide a good position resolution and best system performance. The system parameters and factors that improve the accuracy and the precision performance are still not clear. This study shows in a later chapter that increasing the number of positions in the database by reducing the grid spacing can improve the spatial granularity and the accuracy performance, but may degrade the precision performance. For large indoor environments with multiple floors, we need a cost/time effective approach to deploy the positioning system. So far there is no literature that focuses on investigating an analytical model for this kind of system.

## D. APPROACHES AND CONTRIBUTIONS

This dissertation is a systematic study of location fingerprints for wireless indoor positioning systems based on the location fingerprinting technique. The overview of this dissertation is shown by the relationship of input/output information (denoted by dashed ellipses) and three main contributions in Figure 1. Beginning with an investigation of the properties of the received signal strength, a model of the location fingerprint is derived. Next, an analytical model of the positioning system is proposed consisting of three main components: the model of location fingerprint, the indoor path loss propagation model, and the positioning algorithm. This resulting model is considered as a system design framework for the indoor positioning system. It is a tool that can quantify the relationship between system parameters and position location performance. Given a performance goal, the proposed model can be used to determine necessary system parameters. Finally, system design guidelines are suggested based on the performance study of the proposed indoor positioning model.

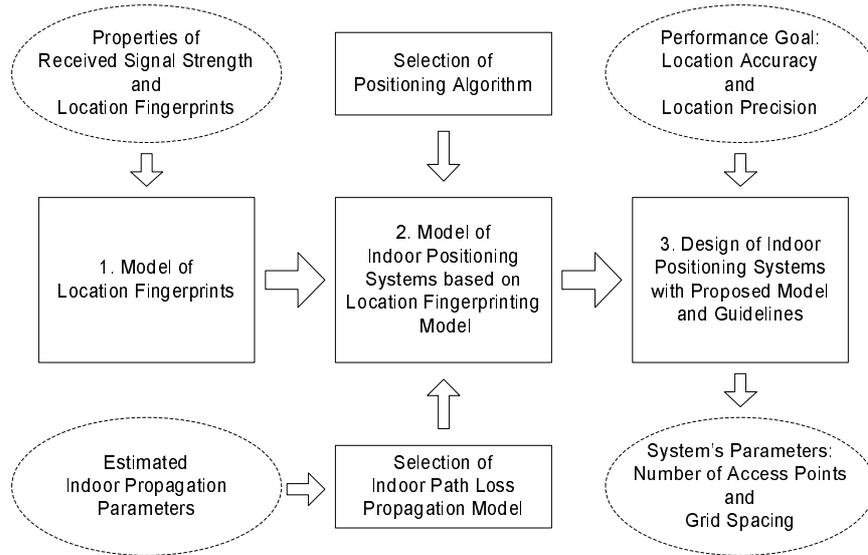


Figure 1: Input & Output Relationship of the Research

There are five main assumptions that limit the scope of this work. First, this study is limited to the investigation of stationary mobile (or quasi-static) devices. No mobility tracking is considered. Second, the placement of WLAN's infrastructure is not considered. The

indoor positioning is assumed to be overlaid on top of existing infrastructure. Therefore, the performance of the positioning system depends on the placement of WLAN infrastructure. Third, the optimum placement of WLAN's access points to support indoor positioning is not included in the scope of current study. Fourth, this study does not consider the search of an optimal positioning algorithm, but assumes generic algorithms as baseline (such as the Euclidean distance technique). Finally, hybrid approaches that combine multiple sensor technologies is beyond the scope of this thesis.

Starting with an analysis of the IEEE 802.11b WLAN's received signal strength from measurement experiments, this study performs an extensive data analysis of the location fingerprint in order to understand its underlying features. Properties of the location fingerprints are investigated in detail. In particular, the distribution of the RSS is considered (whether it can be approximated by a Gaussian or lognormal distribution). Instead of proposing a new algorithm or system to supersede existing algorithms or systems, this research provides theoretical understanding and concrete recommendations on how to design the indoor positioning system.

To ensure the success of indoor positioning systems based on location fingerprints, a theoretical model that can be used as a tool to sufficiently analyze the system is required. A theoretical framework for analyzing wireless indoor positioning systems based on location fingerprinting is thus proposed here. A mathematical model is developed to support the framework by applying the findings of location fingerprints' properties.

Currently, there are no clear guidelines on how to choose the minimum distance between physical positions or minimum grid spacing. Moreover, it is not clear how many access points need to be "heard" at a given location for a given accuracy. A set of system parameters is identified in this dissertation to aid the designer's decision before the actual deployment of the positioning system. A set of guidelines based on an analytical model are developed so that one could convert a set of performance requirements into a set of system design parameters. The main goal is to study the accuracy and the precision performance metrics and suggest a performance evaluation methodology. The result of system analysis can be applied to streamline the surveying phase such as determining the optimal grid spacing in order to efficiently deploy the positioning system in indoor areas. The following is the list of

contributions:

- Study and characterization of the unique properties of the received signal strength pattern in location fingerprints through a extensive measurement campaign.
- Proposed a mathematical model for performance analysis of indoor positioning systems based on location fingerprints using WLANs.
- Identified system parameters used for designing indoor positioning system such as the grid spacing and the number of access points. Quantified the impact of these system parameters on the performance of indoor positioning system.
- Recommended design guidelines to facilitate the deployment of indoor positioning system based on location fingerprinting technique.
- Developed a prototype of software-based indoor positioning system to validate the proposed model.

## E. ORGANIZATION

Chapter II reviews the indoor positioning system and provides the justification of the direction of this research. Chapter III reports on our detailed investigation of the properties of indoor positioning systems based on the WLAN's received signal strength. The results in Chapter III are applied to Chapter IV to model the location fingerprint and the positioning system. In Chapter V, a set of design guidelines is recommended and the results of indoor positioning prototype are compared with the results from th proposed model. Finally, the conclusion and discussion of the future work is presented in Chapter VI.

## II. LITERATURE REVIEW

This chapter reviews the literature on wireless indoor positioning systems, as a means of providing an intellectual background for the present research. First in Section II.A, the common components of indoor positioning systems are described. Then, Section II.B discusses different means to classify indoor positioning systems. Then in Section II.C, related indoor positioning systems that employ different technologies and techniques are briefly discussed besides radio frequency based WLANs. Finally, Section II.D reviews all relevant literature of indoor positioning systems based on location fingerprinting.

### A. COMMON COMPONENTS OF INDOOR POSITIONING SYSTEMS

A basic functional block diagram of wireless positioning system is suggested by Pahlavan et al [6]. It consists of a number of location sensing devices, a positioning algorithm, and a display system. Figure 2 from [6] illustrates these components and their relationships. First, the location sensing devices detect the signals transmitted by or received at known reference points using sensing technologies such as microwave radio frequency (RF), infrared, or ultrasound. The sensing technique – which can be based on time, direction (angle), frequency, or signal strength level – converts the sensed signal into location metrics that are time of arrival (TOA), angle of arrival (AOA), carrier signal phase of arrival (POA), or received-signal-strength (RSS) [6]. Given a set of known reference points, the relative position of the mobile station can be derived from the distance or the direction of these location metrics. Alternatively, the signal characteristics such as RSS at a particular location can form a pattern unique to that location. Then, the positioning algorithm processes the location

metrics and estimates the location information using approaches such as signal processing [5], distance based approach [13], neural networks [22], or probabilistic approach [23]. Finally, the display system converts the location information into a suitable format for the end user.

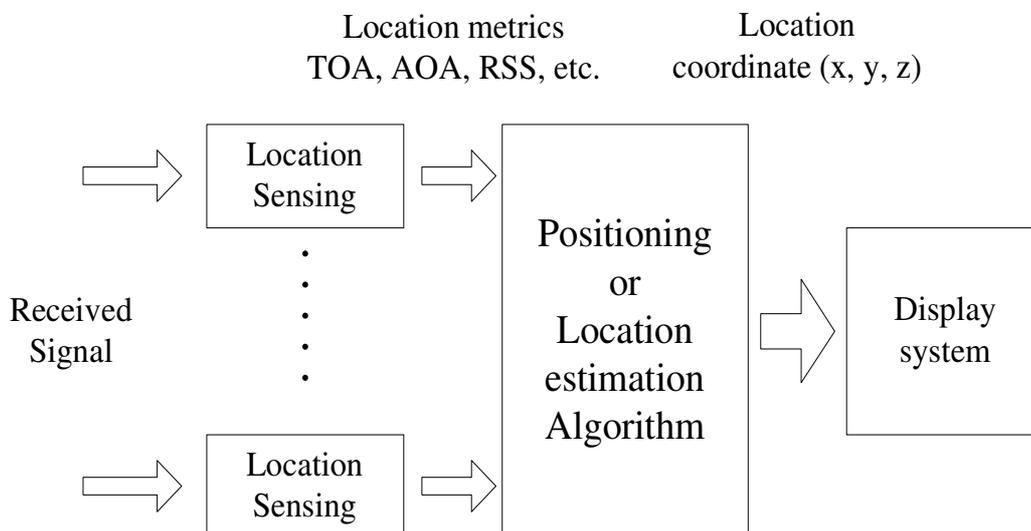


Figure 2: A functional block diagram of positioning system

Alternatively, a location system can be viewed from a software engineering perspective using a location stack (analogous to the OSI protocol stack) proposed by Hightower et al [24]. The location stack framework is a layered software engineering model that divides the positioning problem into smaller research problems. It aims to facilitate the development of future ubiquitous computing systems using the location information. The location stack extracted from [24] in Table 1 is designed based on properties of positioning systems which are the fundamental measurement types, the measurement combination approaches, the object relationship queries, the preservation of uncertainty, and the application of user's activities. However, this abstract model is in the early stage and does not have any interface specification between layers yet. Table 1 summarizes the description of each layer. Detailed descriptions can be found in [24]. Based on this protocol stack, this dissertation focuses on the second layer.

Table 1: Summary of location stack

| Layer             | Description  |
|-------------------|--|
| 6. Activities     | A system, such as a machine learning system, for categorizing all available context information including location into activities. Activities are semantic states defined by a given ubiquitous computing application.  |
| 5. Context fusion | A system for merging location data with other non-location contextual information such as personal data, color, temperature, light level, and so forth.  |
| 4. Arrangements   | An engine for probabilistically reasoning about the relationships (e.g. proximity, containment, geometric formations) between two or more objects.   |
| 3. Fusion         | A general method of continually merging streams of measurements into a time-stamped probabilistic representation of the positions and orientations of objects. Through measurement fusion, differing capabilities, redundancies, and contradictions are exploited to reduce uncertainty. |
| 2. Measurements   | Algorithms to transcribe raw sensor data into the canonical measurement types along with an uncertainty representation based on a model of the sensor that created it.   |
| 1. Sensor         | Sensor hardware and software drivers for detecting a variety of physical and logical phenomena.  |

## B. TAXONOMY OF INDOOR POSITIONING SYSTEMS

Indoor positioning systems can be categorized based on their sensing technologies, measurement techniques, or system properties. The sensing technologies refer to the types of signals used by sensors, while the measurement techniques refer to the methods and metrics used in location sensing. Alternatively, Hightower and Borriello [7] suggest a taxonomy of positioning systems based on system properties that are independent of sensing techniques and measurement technologies. Their taxonomy suggests a guideline for evaluating positioning systems; however, some properties are not applicable to all positioning systems.

### 1. Sensing Technologies

Based on the sensing technologies deployed, the positioning systems inherit certain characteristics and limitations of that type of sensor’s signal. The propagation delay, diffraction, reflection, and scattering are basic signal characteristics which affect all signal types. The

effective range, available bandwidth, regulatory constraints, interference, power constraints, safety, and cost are technology limitations [14]. The wireless signals commonly used for indoor positioning systems are infrared, radio frequency, and ultrasound. Note that other technologies such as laser ranging, scene analysis, and inertial based systems are also possible for indoor positioning system, but are beyond the scope of this study. Brief descriptions of the three major sensing technologies are as follows [14]:

- *Infrared:* The infrared signal has the same properties as visible light. It cannot pass through walls or obstructions; therefore, it has a rather limited range in indoor environments. However, the propagation speed is high, approximately  $3 \times 10^8$  m/s. Thus, it requires a more sophisticated circuitry than ultrasound signals. Indoor lighting interferes with this type of signal and causes problems in accurate sensing. It generally has a range of around 5 m. The infrared devices are usually small in size compared to ultrasound devices [25].
- *Radio frequency:* The radio frequency (RF) signal can penetrate most indoor building material; therefore, it has an excellent range in indoor environments. The propagation speed is also high, approximately  $3 \times 10^8$  m/s. There are unlicensed frequencies available freely for use. This type of signal has the longest range compared to infrared and ultrasound.
- *Ultrasound:* Although ultrasound operates at low frequency bands (typical 40 kHz) compared to the other two signaling technologies, it possesses a good precision for location sensing at a slow propagation speed of sound (343 m/s). The advantages of ultrasound devices are their simplicity and that they are inexpensive. However, ultrasound does not penetrate walls but reflects off most of the indoor obstructions. It has a short range around 3 m to 10 m but has a 1 cm resolution of distance measurement. The operating temperature influences the performance of ultrasound.

## 2. Measurement Techniques

Besides the sensing technologies, wireless positioning systems can be categorized by measurement techniques used to derive the position of mobile stations. The major categories are

based on the measurement of distance, angle, location pattern or fingerprint, and any combination of the previous three categories. Figure 3 shows a taxonomy based on the technology and the technique classifications.

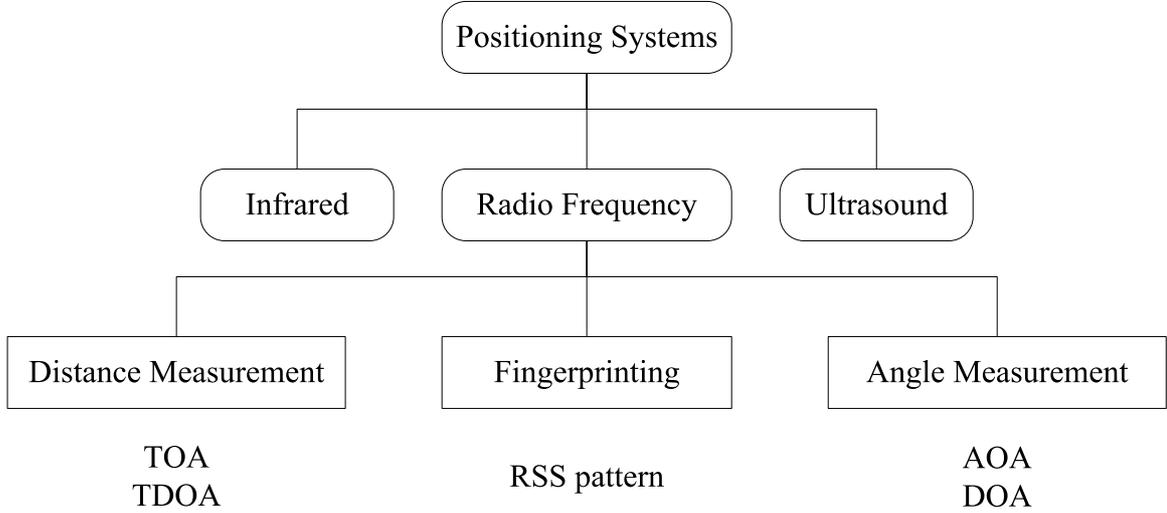


Figure 3: A taxonomy of positioning systems

The distance measurement technique is usually called *lateration*, while the angle measurement technique is usually called *angulation*. Both lateration and angulation are subcategories of *triangulation* [7] that utilizes triangle geometry in determining a location. Besides these major categories, proximity, scene analysis, and other non-geometric features such as light level or temperature can be used as metrics in location measurement [14]. For instance, “proximity” uses a known location close to the object to determine the location, while “scene analysis” infers the location based on passive observation of features of a scene. The distance measurement is the most frequently used metric for location estimation. It can be estimated from the attenuation of signal strength based on path loss and the time of flight (ToF) of signal based on propagation speed. The three well-known techniques, angle of arrival (AOA), time difference of arrival (TDOA), and fingerprinting are discussed in this section.

The first two techniques have been studied extensively for outdoor positioning systems [5]. They are suitable for systems with direct line-of-sight, but have problems or require complex computation in radio channels with noise, interference, and multipath. In indoor environ-

ments, the mobile station is surrounded by scattering objects which results in multiple angles of the signal reception. On the other hand, the distance between transmitter and receiver is usually shorter than the time resolution that can be measured by the system. Therefore, the AOA and TDOA approaches are impractical for indoor environments. The fingerprinting technique has gained more attention lately due to its simplicity compared to the first two for indoor positioning systems. The descriptions of each measurement techniques are as follows.

- *Distance Measurement Based on Time Delay:* Time of arrival (TOA) and time difference of arrival (TDOA) techniques rely on the precision of timing between the signal transmitter and the receiver in order to use the propagation delay or time of flight (ToF) to calculate the distance between transmitter and receiver. Therefore, a precise synchronization is also very important in such systems. By combining at least three distances from three reference positions, triangulation can be used to estimate the mobile station's location. This type of technique will require a high accuracy clock in the communication system. TDOA is more practical [5]. Example of location-sensing systems that use time of flight are GPS [7], the Active Bats [26], and the Cricket [27]. Besides these time delay-based techniques, the distance between transmitter and receiver can also be determined from signal strength attenuation and direct distance measurement (such as dead reckoning).
- *Angle Measurement:* Angle of arrival (AOA) or direction of arrival (DOA) techniques locate the mobile station by determining the angle of incident signals. Using simple geometric relationships, the location estimate can be calculated by the intersection of two lines of bearing (LOBs) which are formed by a radial line from transmitter to receiver [5]. In a two-dimensional plane, at least two reference points are required for location estimation. However, this technique requires the uses of directional antennas and antenna arrays to measure the angle of incidence. Thus, it is difficult to measure the AOA at the mobile station.
- *Fingerprinting or Location Pattern Matching:* This technique generally requires only measurement of received signal strength or other non-geometric features at several locations to form a database of location fingerprints. To estimate the mobile location, the system needs to first measure the received signal strength at particular locations and

then search for the pattern or fingerprint with the closest match in the database. This technique does not require the mobile station to see at least three base stations or access points in order to determine the location. The disadvantage of this technique is that it is very time-consuming to perform an exhaustive data collection for a wide area network such as in outdoor positioning systems.

### 3. Location System Properties

A set of properties which are independent of sensing technologies and measurement techniques can be used to classify indoor positioning systems. Table 2 lists system properties based on the survey of location systems in [7]. These properties viewed as another taxonomy can be used to characterize or evaluate positioning systems [7]. An additional property is added based on the type of services that positioning systems can provide [28].

#### C. RELATED INDOOR POSITIONING SYSTEMS

Excellent comprehensive surveys of positioning systems can be found in [7] and with a special focus on indoor positioning systems in [14]. Therefore, this section will not delve into greater details of each of the forerunners of indoor positioning systems. A subset of these systems is reviewed as examples. The major characteristics of these systems are summarized.

- The Active Badge location system [25] is one of the first generation of indoor positioning systems. A central server determines user's locations using sensors to pick up periodically transmitted or on demand signals from infrared badges attached to the mobile user. The infrared signal of each user has a unique identifier. The location determination is based on the proximity of the badge and the cellular-based sensor; therefore, only symbolic location information at room-sized granularity is available. This system has limited range and the infrared signal is susceptible to interference from sunlight and fluorescent lights [7].
- The second location system called Active Bat [26] improves the accuracy over Active

Table 2: Properties of location systems

| <b>Property</b>  | <b>Description</b>  |
|--|---|
| Physical Position v.s. Symbolic Location               | <ul style="list-style-type: none"> <li>- Physical position or abstract reference is based on analytic labeling or coordination such as latitude, longitude, and altitude.</li> <li>- Symbolic location or real world reference is based on proximity of known objects or abstract ideas of location</li> </ul>  |
| Absolute or Relative Referencing                       | <ul style="list-style-type: none"> <li>- Absolute referencing systems share single or unified reference grid.</li> <li>- Relative referencing systems have their own frame of reference grid for each locator.</li> </ul>   |
| Remote or Local Computation (Network- or Mobile-based) | <ul style="list-style-type: none"> <li>- Remote computing systems estimate location of mobile station by network of location systems or backhaul positioning server. This is also called network-based system.</li> <li>- Local computing systems estimate their own location, e.g. self-positioning. This is also called mobile-based system.</li> </ul> |
| Network- or Mobile-Assisted                            | This indicates the sensing side which is done separately from the location computation side.  |
| Recognition Capability                                 | Some positioning systems inherit recognition capability that can classify or identify located objects such as global ID or naming.  |
| Accuracy and Precision                                 | <ul style="list-style-type: none"> <li>- Location accuracy is usually reported in meters as an error distance in the estimated location that deviates from the correct location.</li> <li>- Location precision is usually reported in percentage of correct estimation at certain accuracy.</li> </ul>  |
| Cost and Time  | <ul style="list-style-type: none"> <li>- Cost of deploying a location system consists of the installation cost, infrastructure cost, user terminal or device cost, and time cost.</li> <li>- Time to deploy the system: installation time, and time to estimate the location.</li> </ul>  |
| Scalability  | The scope of space, time, frequency, and complexity of positioning system may limit the scalability.  |
| Security and Privacy                                   | <ul style="list-style-type: none"> <li>- Security prevents unauthorized use of location information.</li> <li>- Privacy ensures anonymity of the user.</li> </ul>   |
| Service Categories                                     | Mobile location-based applications can be classified as either business-to-consumer (B2C) or business-to-business (B2B) [28].   |

Badge by utilizing both radio and ultrasound signals. The distance measured (used in lateration computation at a centralized controller) is calculated from the time-of-flight of the ultrasound signal. The location system consists of a set of ceiling-mounted receivers that detect the ultrasound signal from the Active Bat tag that responds to an RF request packet from the centralized controller. The ceiling-mounted receivers, which are connected to the centralized controller via a wired serial network, calculate the distance measurement starting from the time they receive a reset signal in wired network to the time they receive ultrasound pulse from the mobile “Bat”. The accuracy and the precision are quite impressive at 9 cm for 95% of locations.

- The SpotON ad hoc location system [29] is another positioning system that uses distance-based measurement, but the distance is derived from signal strength attenuation instead of time-of-flight. The system designers combine the ideas of ad hoc networking and object localization together. Each object to be located is attached with an RF tag. Ad hoc lateration is performed using the estimated inter-tag distance instead of the distance from known sensors or base stations. Therefore, the system could provide both relative and absolute referencing. A dynamic cluster of tags enables any participating node to exploit correlation of multiple measurements and improves the location accuracy as the tags’ cluster becomes denser [29].
- Cricket location-support system [27] is a location-based system designed with four objectives: privacy, decentralization, low cost, and room-sized granularity. The system is said to be independent of data network technology. It has no centralized server; therefore, the mobile device has to calculate its own location using both ultrasound and RF technologies. The mobile device measures the ultrasound signal in order to calculate the range with TDOA techniques while the RF signal is used for synchronization and to identify the period of the ultrasound signal. Each room is equipped with a beacon that transmits an RF pulse with a unique ID for that particular room. This mobile-based approach ensures its privacy. However, there are potential errors from RF beacon interference that cause confusion between two adjacent rooms.
- PinPoint’s 3D-iD local positioning system [30] is an indoor RF-based commercial product. It determines the location of a tag by continuously broadcasting a signal from an array

of antennas at known cells' positions. Upon receiving a signal, the tag will immediately retransmit the message by shifting to it another radio frequency and encoding it with its own ID. The system controller measures multiple distances from the array of antennas using RF round-trip time and performs multilateration. The signal from a transmitter cell (called cell controller) is a spread-spectrum signal operating at 2.4 GHz with 40 MHz bandwidth, while the tag transmits a response signal at 5.78 GHz. The system has a 30 m range and 1 m to 3 m accuracy. This system requires several cell controllers per building and has expensive hardware.

These pioneer works in this area have some disadvantages such as the limitation of the infrared or ultrasound sensing signals that cannot penetrate the walls and floors which are common inside most buildings. The cost of sensor infrastructure installation and badges or tags for most of these systems becomes significant for a building with a lot of small rooms or offices. Notice that the angular or direction-based measurement was not used in any of these systems due to the dense multipath effect inside buildings. However, these positioning systems have only demonstrated their success empirically, and they all lack theoretical explanation of their system and performance.

#### **D. INDOOR POSITIONING SYSTEMS USING WIRELESS LANS AND LOCATION FINGERPRINTING**

This section reviews relevant RF-based indoor positioning systems which can be used to locate stationary objects and track mobile users. The impressive growth of IEEE 802.11 wireless LANs (WLANs) in recent years suggests an interesting future for the location fingerprinting technique. This type of positioning system can be overlaid on top of any existing WLAN; therefore, it can save the cost of dedicated infrastructure. Moreover, it utilizes radio frequency signals which can penetrate most of the indoor materials resulting in a larger range and reducing the number of required access points for positioning purposes. Because the RSS can be measured by all WLAN network interface cards, no dedicated tag or badge is required for some of the current laptops and PDAs with built-in IEEE 802.11 interface. The

system is quite flexible because system designers can select whether to have a centralized positioning server or let the mobile determine its own position. However, the fingerprinting technique requires a training phase (off-line phase) to collect location fingerprints for all positions in the operating area, before the actual deployment (on-line phase).

After a number of empirical and feasibility studies such as in [13, 31], recent development has been focused on improvement of location estimation algorithms and system performance [8, 23, 22, 18]. Popular machine learning techniques such as neural network and support vector machines (SVMs) have been introduced to improve the performance with RSS fingerprinting.

The following discussion is divided according to the positioning system components outlined in Section II.A. First, the effects of the environment on the RF signals such as the radio channel and the user's presence are discussed. Second, the common form of location fingerprint and its relationship with physical position are explained. Third, a number of location estimation algorithms are reviewed. Finally, the performance of existing positioning systems are compared.

## 1. Indoor Environment

The indoor environment has unique properties that influence the radio frequency signals used by the sensors of positioning systems. The prominent phenomena is the multipath effect which dominates how the received signals behave for all wireless receivers. Although there are several studies on indoor radio propagation and modeling, this section discusses only the studies focused on indoor positioning systems. The study in [32] at the Carnegie Mellon University showed the results of their fixed WLAN station measurement inside an office building. Different periods of measurement were performed to determine the distribution of WLAN received signal strength. Their conclusion pointed out that since the mean, the median, and the mode of the data collected at a single location were very close together, the distribution was lognormal. Besides the distribution, the relationship between the range and the standard deviation shows linear dependence and a larger transmitter-receiver distances corresponds to a larger range of standard deviation. Their study also briefly mentioned the

effect of time of day where they showed that there are negligible differences between different times of day on their received signal data. However, most of their results and conclusions are questionable, based on our exhaustive studies discussed in Chapter III.

Another study in [8] also briefly discusses the distribution of received signal strength. Although their duration of measurement is rather short compared to the study at Carnegie Mellon, the results contradict the traditional belief of lognormally distributed of received signal strength. The authors in [8] pointed out that most measured signal distributions are multi-modal<sup>1</sup> with a dominant mode<sup>2</sup> and asymmetric<sup>3</sup>.

Another important factor that affects the received signal is the user's body which could block the signal path during the operation. Water, which has a resonance frequency at 2.4GHz and is a significant part inside the human body, greatly attenuates the WLAN signal strength [8, 18]. In some location-based applications such as in robotics and other non-human related service, the effect of user's presence should be neglected. The RADAR system in [13] suggested that the user's orientation affected average received signal strength for the access point blocked by the user. Therefore, the orientation should be included in the location information. The human being's movement inside the building creates random effects of radio propagation inside the building [31]. The other uncontrollable factors, which are the temperature, air movement, and interference from other devices operating in the same frequency, also cause the received signal at any particular location to fluctuate over time [31]. *However, in the literature, there is no good characterization of the properties of the RSS with the indoor positioning applications in mind.*

## 2. Location Fingerprint

A location fingerprint based on RF characteristics such as RSS is the basis for representing a unique position or location. It is created under the assumption that each position or location inside a building has a unique RF signature [6]. Generally, a fingerprint  $\mathcal{F}$  is labeled with a location information  $\mathcal{L}$ . The location fingerprints and their labels (e.g. location information)

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<sup>1</sup>Mode is the most likely value that has the highest probability in a set of observations. There may be more than one mode in any set of observations and it is called multi-modal [33].

<sup>2</sup>Example of a distribution with a dominant mode is shown in lower right sub-graph of Figure 14.

<sup>3</sup>Asymmetric distribution is a distribution that has different shape on both side of its mode.

are maintained in database and used during the on-line phase to estimate the location. The label and fingerprint are usually denoted as a tuple of  $(\mathcal{L}, \mathcal{F})$ . The measurement dataset collected during the off-line phase is called a *training set*<sup>4</sup>.

Battiti et al [22] point out that the location information  $\mathcal{L}$  for indoor location can be recorded in two forms as either a tuple of coordinates or an indicator variable. The tuple of real coordinates can vary from one dimension to five dimensions which includes the three dimension space and two orientation variables expressed in spherical coordinates [22]. For instance, a location information of a two-dimension system with an orientation could be expressed as a triplet  $\mathcal{L} = \{(x, y, d) \mid x, y \in R^2, d \in \{\text{North, East, South, West}\}\}$ . In the case of the indicator variable, the scope of location covers a wider area such as a room. The indicator variable reports only a rough granularity whether the object is inside or outside the area. An example is given by [22] as  $\mathcal{L} = \{-1, 1\}$ . Indoor location systems that use coordinates are said to be solving a regression problem, while the systems that use indicator variables are said to be solving decision or classification problems.

It is commonly acknowledged that the RSS is the simplest and most effective RF signature for location fingerprints because it is readily available in all WLAN interface cards. The RSS was found by [13] to be more location-dependent than the signal-to-noise ratio (SNR) because the noise component is rather random in nature. However, the RSS itself fluctuates over time for each access point and location. Each RSS element can be considered as a random variable; therefore, it can be captured by recording its descriptive statistics parameters, approximating its distribution, or maintaining the whole measurement dataset. These approaches of RSS representation result in different procedures for location estimation algorithms in the next subsection. Regardless of the approach, the location fingerprint is usually denoted as an array or vector of signal strength (random variables) received at any position in the location-based service area. The size of the vector is determined by the number of access points that can be heard.

To create a basis fingerprint such as in [13, 31], a number of samples of vectors of signal strength are collected over a window of time for each position. This basis is called a *prototype* [34]. Then, the average RSS of each access point is calculated and recorded as

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<sup>4</sup>according to the learning theory [22]

an element in the location fingerprint. For an area that can receive signals from  $N$  access points, the location fingerprint can be expressed as a vector of average RSS elements  $\rho_i$ :

$$\mathcal{F} = (\rho_1, \rho_2, \dots, \rho_N)^T. \quad (\text{II.1})$$

Extra fingerprint information such as standard deviation for each RSS element, which is suggested in [31], may be added into the location fingerprint as another vector of standard deviations:

$$\mathcal{D} = (\sigma_1, \sigma_2, \dots, \sigma_N)^T. \quad (\text{II.2})$$

An alternative approach to location fingerprints is investigated by [8, 23] in which the probability distribution is estimated for the RSS signature at a given location. The location fingerprint becomes a conditional probability distribution of the form  $P(\mathcal{F} | \mathcal{L})$  where  $\mathcal{F}$  denotes the observation vector of RSS and  $\mathcal{L}$  denotes the location information. The conditional probability  $P(\mathcal{F} | \mathcal{L})$  is called the *likelihood function* because it provides the probability or probability density of the occurrence of the RSS vector given the known location information [23]. With this form of location fingerprint, the Bayes' rule can be used to estimate the location. Details of Bayesian algorithms for location estimation are discussed in the next subsection.

The examples of location fingerprinting explained above suggest that there are two ways to model the relationship or dependency between the location information and the RSS signature. The first way where the location information is tied to the constant value of average RSS vector is called *deterministic approach*. The second way where the probabilistic dependency is exploited is called the *probabilistic approach*.

Besides the basis location fingerprint, the samples of location fingerprint measured during the on-line phase is also important for the system that tracks the mobile object. The time interval and number of samples should be selected appropriately to represent the location fingerprint for the mobile application.

A step in statistical analysis method called *preprocessing* [35] is another important issue needed to be considered because it can impact the estimation of dependency between location fingerprint and the location information easier. The preprocessing refers to a step that cleans the raw data (in this case the training set) before any further operations or analysis. The

cleaning may consist of encoding, dimensionality reduction (reduce unnecessary elements), feature extraction/selection, clustering [35], and outlier elimination [31]. Roos et al point out that the preprocessing enables faster location estimation and reduces the noise from the training data. The process that creates the basis of location fingerprint discussed above could be considered as a part of preprocessing [23]

### 3. Location Estimation Algorithm

Location estimation algorithms or positioning algorithms are procedures that exploit dependency between location information and location fingerprint basis in order to determine a position or location from samples of RSS signals. The examples of simple location estimation algorithms are strongest base station selection method and random selection method. The strongest base station selection assumes that the current user's position is closer to the base station that has the strongest signal strength, while the random selection reports the user's position at random from a set of known positions [13]. It is obvious that these two algorithms may not provide satisfactory results. More complex algorithms can take advantage of the dependency between RSS fingerprint and location information and could provide better accuracy, precision, and granularity of the location information.

From a machine learning perspective, the positioning algorithms are pattern classifiers because they are procedures that can automatically separate samples of patterns into different classes [23]. Each class is referred to as a class of RSS patterns that comes from the same location or position. The algorithms estimate the location or position from samples of RSS vectors by learning from previous examples of location-dependent RSS fingerprints or signatures. The previous RSS data or training set are used to calibrate estimator models that can automatically relate location fingerprints and location information.

In the literature, positioning algorithms can be classified into deterministic and probabilistic types based on the approaches that model the relationship between location fingerprints and location information as discussed in previous subsection. The deterministic type of algorithms are those that based on the nearest neighbor classifiers and neural network classifiers. The probabilistic type of algorithms are those that are based on the Bayesian in-

ference and statistical learning theory such as support vector machines (SVMs). The major algorithms for indoor positioning systems are discussed below.

**a. Nearest Neighbor Methods** The nearest neighbor methods are deterministic algorithms because they require only a set of constant location fingerprints which includes mean vectors and standard deviation vectors of RSS. In order to determine the location, a form of discriminant function is commonly used to classify a sample of RSS fingerprint into a position. These nearest neighbor methods are also called *case-based* methods [23] because they classify each position into a case or class.

The *mean or average RSS* vector is a center of a mass which represents each class of location fingerprint. The basic algorithm for the nearest neighbor classifier is that it selects the class or case based on the closeness of a sample fingerprint to the center of the mass of that particular location fingerprint. Suppose that a set of  $l$  location fingerprints is denoted by  $\{\mathcal{F}_1, \mathcal{F}_2, \dots, \mathcal{F}_l\}$  exist and each fingerprint has a one-to-one mapping to a set of positions  $\{\mathcal{L}_1, \mathcal{L}_2, \dots, \mathcal{L}_l\}$ . A sample of an RSS fingerprint measured during an on-line phase is denoted as  $\mathcal{S}$  which can be another mean or average RSS vector of a small window of RSS samples. Assuming that an indoor positioning system only considers the average RSS from  $N$  access points as a location fingerprint, the sample of RSS vector is  $\mathcal{S} = (s_1, s_2, \dots, s_N)^T$  and each location fingerprint  $i$  in the database can be expressed as  $\mathcal{F}_i = (\rho_1^i, \rho_2^i, \dots, \rho_N^i)^T$ .

The simplest closeness metric is a distance measurement in signal space denoted as the  $Dist(\cdot)$  function [31]. Thus, the simple procedure of nearest neighbor algorithm is expressed as picking the fingerprint  $j$  that has the shortest signal distance:

$$Dist(\mathcal{S}, \mathcal{F}_j) \leq Dist(\mathcal{S}, \mathcal{F}_k), \forall k \neq j. \quad (\text{II.3})$$

A generalized weighted distance  $L_p$  summarized by [16] can be used to calculate different forms of distance in signal space as:

$$L_p = \frac{1}{N} \left( \sum_{i=1}^N \frac{1}{w_i} |s_i - \rho_i|^p \right)^{1/p}, \quad (\text{II.4})$$

where  $N$  is the number of access points,  $w_i$  is a weighting factor ( $w_i \leq 1$ ), and  $p$  is the norm parameter starting from 1. The weighting factor  $w_i$  is a bias parameter that can demote

or promote an important RSS component in the fingerprint [16]. Either number of signal samples or standard deviation of RSS fingerprint can be used as the weighting factor [16]. The Euclidean distance is a well known distance metric used in [13, 16, 31] to classify the positions. For the Euclidean distance, Equation II.4 has  $p = 2$  and all  $w_i = 1$ . Different distance measurements (such as Manhattan distance<sup>5</sup>) are also possible where  $p \neq 2$  [16].

A modification to the nearest neighbor classifier using an additional information of standard deviation fingerprint was studied in [31]. This modification allows a special class called *non-classifiable pattern* which cannot be associated with any position in the database. This occurs when a sample fingerprint lies outside a region of two standard deviations on each side of a mean RSS. Assume that the standard deviation vector for each location fingerprint  $i$  is denoted as  $\mathcal{D}_i = (\sigma_1^i, \sigma_2^i, \dots, \sigma_N^i)^T$ . Additional criteria for fingerprint classification are expressed mathematically with sample vector  $\mathcal{S}$  and fingerprint  $\mathcal{F}_i$  as [31]:

$$\begin{aligned} \rho_1^i - 2\sigma_1^i &\leq s_1 \leq \rho_1^i + 2\sigma_1^i, \\ \rho_2^i - 2\sigma_2^i &\leq s_2 \leq \rho_2^i + 2\sigma_2^i, \\ &\vdots \quad \vdots \quad \vdots \\ \rho_N^i - 2\sigma_N^i &\leq s_N \leq \rho_N^i + 2\sigma_N^i. \end{aligned} \tag{II.5}$$

Since, there are non-classifiable patterns, the average error distance (the actual distance between the correct position and incorrect position) is smaller [31].

A better minimum distance classifier, which so far has *not* been applied to any positioning algorithm, is the *Mahalanobis distance* [34]. The Mahalanobis distance has three advantages over the Euclidean distance: automatically accounting for the scaling of the coordinate axes, correcting for correlation between different features, and enabling both non-linear and linear decision boundaries [34]. These advantages are useful when the statistical properties of the location fingerprint are explicitly considered [34] as shown in the comparison of the Euclidean distance and Mahalanobis distance in Chapter V. In fact, the Euclidean distance is a special case of the Mahalanobis distance when all the RSS signal components in the

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<sup>5</sup>Manhattan distance is defined as a distance between two points measured along axes at right angles [36]. In a two-dimensional plane with first point at  $(x_1, y_1)$  and second point at  $(x_2, y_2)$ , the distance is  $|x_1 - x_2| + |y_1 - y_2|$ .

location fingerprint are uncorrelated and their variances are the same in all directions [34]. However, the disadvantage of the Mahalanobis distance is that the covariance matrix for the location fingerprint must be determined. Given a template or location fingerprint vector  $\mathcal{F}$ , a sample vector  $\mathcal{S}$ , and a covariance metric of location fingerprint  $\mathcal{C}$ , the Mahalanobis distance  $L_m$  can be calculated as the square root of

$$L_m^2 = (\mathcal{S} - \mathcal{F})^T \mathcal{C}^{-1} (\mathcal{S} - \mathcal{F}). \quad (\text{II.6})$$

Thus far, the discussion of the distance metrics is limited to determining the closest location fingerprint. In practice, the actual location is not limited to the locations within the radio map and there can be more than one closest location fingerprints. This provides a reason to modify to the nearest neighbor method by using  $k$  nearest neighbors instead of only the closest one [13, 16] or weighted  $k$  nearest neighbors [22, 16] where the  $w_i$  factors in Equation II.4 are used. The final estimated position is an averaging of those  $k$  nearest neighbors' coordinates. The reason for using this scheme is that averaging of coordinates probably results in a closer estimate to the correct location. Bahl and Padmanabhan [13] reported that for small  $k$  there is small improvement over the single nearest neighbor approach, while for large  $k$  the location estimation error performance is increased. Phongsak et al [16] reported that for  $k > 8$  the performance became worse. There is still room for further improvement on the nearest neighbor methods. A multidimensional search algorithm such as R-Tree, X-Tree, and optimal  $k$ -nearest neighbor search are among possible improvements to the positioning algorithm suggested by [13].

The nearest neighbor methods which use distance measures as discriminant functions can be classified as a subset of statistical approaches in pattern recognition [37]. The separation of location fingerprints is done via either linear or non-linear decision boundaries which, according to [38], are determined by the probability distributions of the patterns belong to each location fingerprint. *However, very little in the research of indoor positioning system has been done to characterize the probability distributions of the location fingerprints.* Typical performance of the nearest neighbor methods is based on the error in classification or how well different location fingerprints can be separated. The nearest neighbor methods are fast to deploy and require almost no training or tuning of positioning algorithms. Although the

calculation is simple and a matched pattern could be found easily, the complexity increases as the number of elements in the pattern (number of access point’s signals,  $N$ ) and the number of entries (number of locations) in the radio map increase. The scalability of these methods has not been studied for a large space building.

**b. Neural Network Methods** Neural network methods for indoor positioning systems presume that the RSS fingerprints are too complex to be analyzed mathematically and may required subtle non-linear discriminant functions for classification. Therefore, instead of finding suitable discriminant functions like the minimum distance metric, this approach, viewed as a black box information processing unit [31], utilizes a generalized structure called *neuron*. The neuron consists of a set of input links which are weighted with synaptic weights, an adder that sums all weighted inputs, and an activation function that limits the amplitude of the output of the neuron [31]. The activation function is usually in a form of non-linear function such as sigmoidal function. The sigmoidal function,  $f(x) = 1/(1 + e^{-x})$ , is said to be suitable for “yes/no” classification problems [22]. A model of a neuron is illustrated in Figure 4.

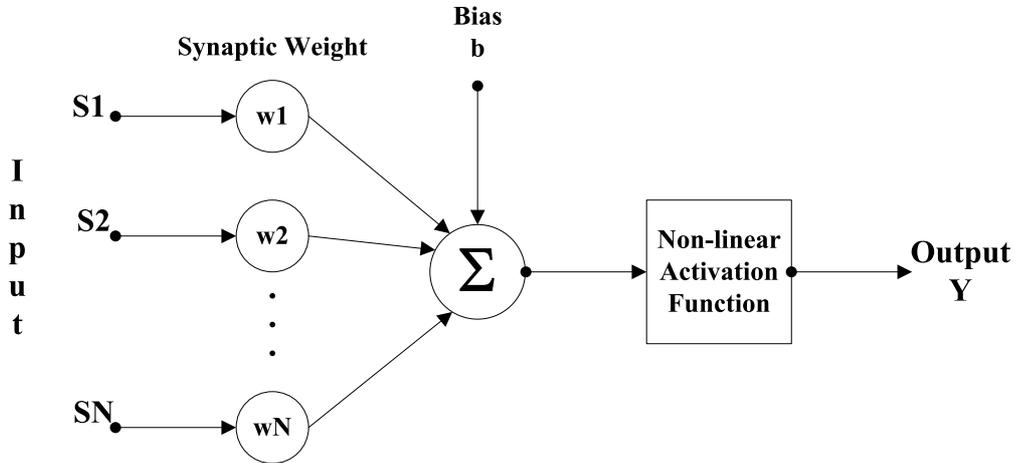


Figure 4: An example of neuron with non-linear activation function

Interconnecting multiple neurons in both serial and parallel manners results in a multi-

layer perceptron<sup>6</sup> (MLP) neural network [22] in which signals travel sequentially from the input layer to output layer and from the output of one neuron to the input of another neuron. The layers in the middle between input and output layers are usually called hidden layers. A simple feed-forward and fully connected neural network which consists of one hidden layer was used to determine locations in [31]. The inputs consist of three features each representing the RSS from three access points. The hidden layer is composed of 20 neurons and the output layer has 19 nodes corresponding to 19 positions on their map. Battiti et al [40] also implemented a MLP neural network with one hidden layer that uses the sigmoidal function and the output layer that uses an identity function ( $f(x) = x$ ). The final architecture used in [40] was 3→8→2 which refers to three inputs, eight hidden units and two outputs of 2-D coordinates for 194 measurement points.

Each MLP neural network can be trained with samples of labeled location fingerprints in order to iteratively calculate all synaptic weights inside the neurons. The training process is interactive for each input sample of a location fingerprint in which the synaptic weights are tuned so that the output is the correct position. A training technique called one-step-secant (OSS) algorithm was used in [40] to iteratively adjust all synaptic weights with second-derivative information. On the other hand, the error back-propagation algorithm is another iterative algorithm that was used in [31] to find the synaptic weights. In a sense, these training processes automatically create complex boundaries for location fingerprint classes.

As a flexible model, the MLP neural network requires no a priori knowledge of any environment parameters such as the location of access point and building characteristics (path loss exponent) [40]. The accuracy and the precision performance of [31, 40] was reported to be better than nearest neighbor method, but not very significantly. For instance, the results in [40] reported that the average error distance (accuracy performance) for MLP neural network was 1.82 m while the average error distance for  $k$ -nearest neighbors was 1.81 m. The disadvantage of neural networks lies in their slow training time and may require a large training set to get accurate location estimation. The problem of over-training or over-fitting also occurred when the number of training iterations was larger than 3,000 and

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<sup>6</sup>The perceptron is a type of artificial neural network invented by Frank Rosenblatt [39]. It consists of one or more layers of artificial neurons.

resulted in poor location estimation performance [40]. Moreover, the error performance of neural networks cannot be calculated analytically due to their complexity. Only measurement test results can be done for data that was not in the training set.

Although neural network methods seem to be different from the statistical approach of the nearest neighbor methods, the neural network methods have their equivalent counterparts in statistical pattern recognition approach [37]. In other words, the neural networks also create decision boundaries like nearest neighbor methods. For instance, a perceptron is similar to a linear discriminant function and the multilayer perceptron methods are equivalent to either non-linear discriminant analysis or a posteriori probability estimation [37]. Since the neural networks avoid characterization of the statistics of the location fingerprints, they rarely provide any insight information on the underlying mechanism of indoor positioning systems.

**c. Probabilistic Methods** Instead of representing the location fingerprints with prototypes or vectors of mean RSS as in the nearest neighbor approach, the probabilistic approach models the location fingerprint with conditional probability and utilizes the Bayesian inference concept to estimate location [8, 23, 18]. This approach presumes a priori knowledge of the probability distribution of the user’s location which is analytically shown to provide better location accuracy than a deterministic approach as in the nearest neighbor methods [41]. The a priori location distribution allows the positioning system to maintain personalized user location profiles as additional information [23] and can enhance the location tracking application [8]. However, Battiti et al [22] point out that in order to create the conditional probability this approach needs additional knowledge of the signal propagation model. The knowledge could be in a form of empirical distributions of RSS at each location based on measured training sets or in the form of a radio propagation model with estimated radio parameters [22] without using any training set.

For each location coordinate  $\mathcal{L}$ , we can estimate a conditional probability density function or the likelihood function  $P(\mathcal{F}|\mathcal{L})$  from a training set consisting of samples of location fingerprints and their labels. Roos et al [23] suggest two methods for estimating the likelihood function: the kernel method and the histogram method. Given  $n$  samples of RSS

from an access point at a location which is a one dimensional example, the kernel method imposes a probability mass such as a Gaussian distribution on each sample of RSS values. As a kernel function, each Gaussian distribution has a mean value  $\rho$  which equals to one of  $n$  RSS samples and a proper standard deviation  $\sigma$  which is an arbitrary adjustable kernel width. Then, the resulting likelihood function of a sample RSS  $s$  given a location  $\mathcal{L}$  is an equally weighted sum of all  $n$  Gaussian kernel functions:

$$P(s|\mathcal{L}) = \frac{1}{n} \sum_{i=1}^n \left[ \frac{1}{\sqrt{2\pi}\sigma} \exp\left(-\frac{(s-\rho)^2}{2\sigma^2}\right) \right]. \quad (\text{II.7})$$

From Equation II.7, the kernel width  $\sigma$  will have a smoothing effect on the probability density estimation if its value is large. To extend this kernel method to multiple dimensions or multiple access points, Roos et al make an independence assumption and multiply all conditional probabilities together as  $P(\mathcal{F}|\mathcal{L}) = P(s_1|\mathcal{L})P(s_2|\mathcal{L}) \cdots P(s_N|\mathcal{L})$ . They also pointed out that the limiting case of a Gaussian kernel with kernel width approaching zero is equivalent to the Euclidean nearest neighbor method [23].

On the other hand, the histogram method estimates the continuous density functions by using discretized density functions. The histogram is essentially a fixed set of bins that counts the frequency of occurrence of RSS samples that fall within a range of each bin. The bin's range is calculated from an adjustable number of bins and the known values of minimum and maximum RSS values. Simple equal-width bins of 3, 7 and 27 were reported in [23]. The larger the number of bins, the better the histogram can approximate the probability density function of RSS.

A slightly more sophisticated way to determine the  $P(\mathcal{F}|\mathcal{L})$  is presented in [8] where the authors calculate two different conditional probabilities from two different histograms and multiply them together. The first conditional probability represents the frequency of an access point's observations given a location  $\mathcal{L}$ . In other words, this probability indicates how often the system can find the signal from that access point. The second conditional probability represents the distribution of RSS from that access point given the same location.

Each location is assumed to have *a priori probability*  $P(\mathcal{L})$  which initially could be equally likely for every location in set  $L$ . Then, the location estimation algorithms based on the probabilistic approach apply the Bayes' rule in order to find *a posteriori distribution* of that

location which is the conditional probability of the location  $\mathcal{L}$  given the location fingerprint  $\mathcal{F}$  as:

$$P(\mathcal{L}|\mathcal{F}) = \frac{P(\mathcal{F}|\mathcal{L})P(\mathcal{L})}{P(\mathcal{F})} = \frac{P(\mathcal{F}|\mathcal{L})P(\mathcal{L})}{\sum_{k \in L} P(\mathcal{F}|\mathcal{L}_k)P(\mathcal{L}_k)}. \quad (\text{II.8})$$

According to Equation II.8, the probabilistic approach classifies the location fingerprints according to the maximum estimated posterior probability; that is, it selects the location fingerprint according the likelihood functions. For instance, in the case of two location fingerprints, the Bayes decision rule will select position  $A$  ( $\mathcal{L}_A$ ) over position  $B$  ( $\mathcal{L}_B$ ) to provide the smallest probability of error [38] when:

$$P(\mathcal{L}_A|\mathcal{F}) > P(\mathcal{L}_B|\mathcal{F}) \quad (\text{II.9})$$

$$P(\mathcal{F}|\mathcal{L}_A)P(\mathcal{L}_A) > P(\mathcal{F}|\mathcal{L}_B)P(\mathcal{L}_B). \quad (\text{II.10})$$

Since probabilistic methods have additional information on the location distribution, they can provide better performance on location estimation. The disadvantages of this model are that it may require a large training set to precisely estimate the conditional probability distribution. Once again, these probabilistic methods require explicit knowledge of the probability distributions of the location fingerprints. *This emphasizes the need to characterize of the WLAN's received signal strength and the location fingerprints in greater detail.* Because the probabilistic methods incorporate some information of radio propagation, they could provide insight on the underlying mechanism of indoor positioning.

**d. Support Vector Machine Methods** Recently, the support vector machines (SVMs) technique was introduced as a non-parametric supervised classifier for approaching the indoor positioning problem in [22]. The SVMs algorithm has its roots in statistical learning theory introduced by Vapnik [42] in which it combines techniques of statistics, machine learning, and neural networks together. To estimate the dependency between the RSS fingerprint and the location from observations, this approach does not require detailed properties of the dependency such as the propagation model as in the probabilistic method. The strength of SVMs algorithm lies in its ability to generalize classification which minimizes the test error or the classification error for the data after the training period. In other words, the learning machine could be trained correctly by learning from a small training set and creating

sufficient structure for data classification without memorizing or over fitting the training samples [22].

The fundamental concept of SVMs algorithm is based on the Structural Risk Minimization (SRM) principle that tries to minimize the bound on an expected risk functional or generalization error [22]. The risk functional is defined as an expected value of a loss function. The loss function is a measure of how much the function used to approximate the pattern mapping differs from the real pattern mapping. The overall risk function is showed to be bounded by the empirical risk function and Vapnik-Chervonenkis (VC) confidence interval.

The problem of RSS fingerprint classification could be considered as a non-linear classifier case. Here we explain the classification operation of SVMs without getting into too much of the mathematical details. First, the vectors of location fingerprints are mapped into a higher dimensional space called *feature space* [22] by using a function called *kernel* of the SVM to perform vector transformation. There are a variety of SVMs kernel functions to choose from such as polynomial functions, radial basis functions (RBF), Sigmoid kernel, and Analysis of Variance (ANOVA) kernel [22]. Note that Battiti et al select a Radial Basis Function (RBF) as the kernel of the SVM in [22]. Finally, the SVMs algorithm creates an optimal separating hyperplane<sup>7</sup> or decision surface in that feature space and uses the hyperplane to perform classification. The separating hyperplane is not unique in general and is optimal when it has a largest possible distance from the closest training point or a maximal margin. Support vectors are the training vectors that are necessary to define the hyperplanes [22]; hence, the support vector machine is the learning algorithm (machines) based on support vectors.

Although it is novel and the most sophisticated technique used successfully in the field of pattern recognition, the performance of this technique for indoor positioning does not differ very much from the other techniques. For the regression problem, it has comparable performance to the weighted  $k$  nearest neighbors method. The result of error distance for weighted  $k$  nearest neighbors was 3.93 m at 75% while the result error distance for SVMs was 3.96 m at 75% in [22]. The SVMs algorithm is more suitable to solve classification

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<sup>7</sup>“A hyperplane is any codimension-1 vector subspace of a vector space. Equivalently, a hyperplane  $V$  in a vector space  $W$  is any subspace such that  $W/V$  is one-dimensional. Equivalently, a hyperplane is the linear transformation kernel of any nonzero linear map from the vector space to the underlying field.” [43]

problems, i.e. to determine if the area is inside or outside a room [22]. The performance of the positioning systems depends on acceptance error rather than the classification error or mean square error in previous three methods [37]. To improve the classifier performance, a proper kernel of the SVMs and its parameters must be selected appropriately since there are several kernel functions to choose from. From the theoretical modeling perspective of this study, the SVMs may be too complex to provide useful information on designing a positioning system.

#### 4. Summary of Existing Indoor Positioning Performance

This subsection briefly summarizes performance of existing indoor positioning system based on location fingerprints and WLANs. The major performance metrics studied by all systems are positioning accuracy which is a form of error measurement. As mentioned in Chapter I and listed in Table 2, the accuracy of the location information is usually reported as an error distance between the estimated location and the actual mobile location. However, the report of accuracy should include the confidence interval or percentage of successful location detection which is called the location precision. Recent research on positioning algorithms has attracted a number of research groups to enhance these two performance with different pattern recognition tools as discussed above. The system parameters of exiting systems are summarized in Table 3 and the best reported performance of these systems are listed in Table 4.

Table 3: Parameter comparison of indoor positioning systems

| System                     | Spacing    | Positions | Samples/Pos.                  | APs | Orient. | Env.    |
|----------------------------|------------|-----------|-------------------------------|-----|---------|---------|
| RADAR [13]                 | Nonuniform | 70        | 80 ( $\frac{1}{4}$ sec/samp.) | 3   | 4       | Hallway |
| Saha et al [31]            | Min. 3.12m | 19        | 1200                          | 3   | N/A     | 1-floor |
| Roos et al [23]            | Uniform 2m | 155       | 40                            | 10  | N/A     | 1-floor |
| Battiti et at [22]         | N/A        | 257       | N/A                           | 6   | N/A     | 1-floor |
| Ladd et al [8]             | 3m         | 11        | 1307 packets                  | 5   | 2       | Hallway |
| Prasithsangaree et al [16] | 1.5m, 3m   | 60        | 40                            | 2-7 | 4       | 1-floor |
| Youssef et al [18]         | 1.5m       | 110       | 300                           | 4   | N/A     | Hallway |
| Xiang et al [17]           | N/A        | 100       | 300 (2sec/samp.)              | 5   | 4       | 1-floor |

*Although the accuracy and the precision performance vary from one system to another in Table 4, the differences among them are not very significant. It is easier to compare the*

Table 4: Performance comparison of indoor positioning systems

| System                     | Algorithm Type   | Accuracy and Precision             |
|----------------------------|--|------------------------------------|
| RADAR [13]                 | Nearest Neighbor   | within 7 feet, 38%                 |
| Saha et al [31]            | Nearest Neighbor & Neural Network                              | no specified accuracy, 90%         |
| Roos et al [23]            | Bayesian   | best within 8.28 feet, 90%         |
| Battiti et al [22]         | SVMs, Bayesian, Neural Network & Weighted $k$ Nearest Neighbor | all within 16-17 feet, 90%         |
| Ladd et al [8]             | Bayesian   | within 5 feet, 77%                 |
| Prasithsangaree et al [16] | Weighted $k$ -Nearest Neighbor                                 | 25 feet at 75% & 40 feet at 95%    |
| Youssef et al [18]         | Bayesian   | within 7 feet, more than 90%       |
| Xiang et al [17]           | Bayesian with RSS distribution model                           | within 6 feet, 90% (static device) |

performance if one of these metrics is fixed, i.e. at the same precision, say 90% , the system that reports smallest accuracy is the best. Note that these two performance metrics are closely dependent. When we want to increase the accuracy (shorten deviated distance), the precision performance has to be decreased (decrease the reliability percentage) [44]. On the other hand, decreasing the accuracy (lengthen deviated distance) can increase the precision performance (increase the reliability percentage). Interestingly, the results in [22] reported a similar average accuracy performance within 3 m with all four positioning algorithms. It is important to point out that each system has different parameter settings; therefore, comparison results summarized here may not be fair. Intuitively, we expect that a system that has higher number of access points to perform better due to the higher dimension of the location fingerprint vectors which result in better pattern separability. Moreover, a system that has the positions only in the hallway may perform better because there are lesser numbers of positions in the system to be confused with. However, these two hypotheses were not confirmed in the comparison above. *These observations show a lack of unified performance comparison methodology and a need for theoretical explanation of the relationship between the indoor positioning performance and system parameters.*

Besides these two performance metrics, other performance metrics which are delay, capacity, coverage, and scalability discussed in Section I.B are not considered by most of the current studies. However, the computational complexity of the off-line or learning phase and on-line or estimation phase of three positioning approaches (weighted  $k$  nearest neighbors,

Bayesian probabilistic approach, and multilayer perceptron neural network) are analyzed by [22]. Concurrently, WLAN location determination via clustering and probability distribution [18] is a study that tries to improve the positioning accuracy and reduces the computational requirement in location estimation of positioning algorithms. Youssef et al [18] proposed the Joint Clustering (JC) technique that uses clustering of locations sharing a common set of access points to reduce the computational complexity. However, their scheme is still based on the probabilistic approach with Bayesian inference discussed above.

Unrelated to the previous discussion on pattern recognition, *sensor fusion* techniques allow the positioning system to exploit redundancies and contradictions to reduce overall location uncertainty [24]. This technique helps improve accuracy and precision of positioning systems. An example is presented in [8] where the system combines multiple independent observations to gain a better estimate from the positioning algorithm. A Hidden Markov Model (HMM), which is used in [8] to track the user, increases the accuracy and the precision performance. Utilizing only one of the location sensing techniques usually yields limited system performance and high uncertainty of location information. The hybrid approach, which consists of two or more sensing techniques and technologies, can also improve the accuracy and the precision performance of indoor positioning systems. An example of a hybrid system is discussed in [5] when AOA and TDOA are combined.

## E. CONCLUSIONS

Although there are research studies that report on the time series of WLAN received signal strength [13, 32, 31] and the unique dependency of signal strength and location for indoor positioning system, there are surprisingly no studies of the pattern of location fingerprint. Few properties are known of the location fingerprint such as its distribution even though they are necessary for pattern classification. Two research groups tried to point out the distribution of RSS, but their results were contradictory. One group suggested a lognormally distributed RSS while another showed asymmetric distributions with multi-modal properties. However, their environments were different. This study investigates the pattern of received

signal strength or the location fingerprint in greater detail as a part of the contribution.

On the improvement of performance of positioning systems, the research community has been emphasizing the machine learning approach such as neural networks and support vector machines. However, these two approaches are quite complex and require careful selection of learning machine parameters. Since both neural networks and support vector machines are non-parametric classifiers [45], they do not assume any knowledge of the distributions of the location fingerprints. Both approaches are considered as black boxes that cannot provide insight on how to improve the positioning systems beyond the complexity of their generic learning machines. Thus, this study limits the scope of study to the nearest neighbor and probabilistic approaches. The nearest neighbor is the simplest non-parametric classifier that can be analyzed while the probabilistic approach is a parametric classifier that assume some knowledge of the distribution of the location fingerprints. For instance, the Euclidean distance technique implicitly assumes that classified patterns are Gaussian with symmetric variance on each element in the patterns. On the other hand, the probabilistic approach intrinsically maintains distributions of the location fingerprints via either histogram or parametric estimation.

The design of indoor positioning systems requires an analytical model that can explain the relationship between the system performance and system parameters. The adoption of location-based services in the future will require an efficient and effective design methodology for indoor positioning systems. The design area of indoor positioning has not been considered at all in any literature. *There is no simple way to predict the performance of indoor positioning without actually performing a real measurement to test the positioning algorithm.* Therefore, this study proposes a model of location fingerprints which can be incorporated into an analytical model for study of indoor positioning systems.

Depending on the positioning algorithm, the impact of database searching performance should also be investigated. This aspect is not emphasized very much among the existing positioning system studies. In particular, the nearest neighbor methods can become inefficient when the number of positions in radio map becomes huge for large sized buildings. This issue influences the complexity and scalability performance of the indoor positioning system.

Finally, there is still a lack of a standard framework for comparing different positioning systems in the community [23]. Some did provide the precision in percentages while others only report the average accuracy in meters. The minimum distance separation, the number of positions, and coverage area from different papers are also different and makes the comparison among them difficult.

### III. PROPERTIES OF RECEIVED SIGNAL STRENGTH

As described previously, initial data analysis is extremely important for location fingerprinting. In this chapter, we investigate the properties of the received signal strength (RSS) values as reported by IEEE 802.11b wireless network interface cards (NICs). This analysis of the RSS values is needed to understand the underlying features of location-dependent RSS patterns and location fingerprints. Although there is extensive knowledge available regarding radio frequency (RF) phenomena and properties of the received signal in indoor environments (such as the distance dependence property [46]), such knowledge is aimed towards other applications, in particular communications capability and receiver design, making it limited for positioning applications. An understanding of the properties of the RSS values for location fingerprinting can assist in improving the design of positioning algorithms and in deployment of indoor positioning systems. A set of mathematical assumptions is proposed at the end of this chapter based on the results of this analyses. These assumptions will be used in the later chapters for developing models and providing deployment guidelines.

#### A. MEASUREMENT SETUP

An IBM A22m laptop computer equipped with a Lucent Orinoco WLAN card and a client manager software were primarily used to collect samples of RSS from access points (APs) at the University of Pittsburgh. The WLAN card is plugged into a PCMCIA slot on the right side of the laptop. The client manager software is a site-survey tool from Lucent [47] which provides link quality and AP monitoring capabilities. This software monitors IEEE 802.11b radio frequency channels which operate in the 2.4 GHz band. Note that this radio

spectrum is shared by other equipment in the industrial, scientific, and medical (ISM) band such as Bluetooth. The number of non-overlapping channels for IEEE 802.11b is three [48] and they are re-used when more than three access points are needed in any area. The information available to the user from the client manager software includes the AP's name, AP's medium access control (MAC) address, received signal (dBm), noise (dBm), signal-to-noise ratio (SNR in dB) and channel number.

The measurement reported in subsequent sections is done by taking logs of RSS measurements from APs "visible" to a mobile client over a certain period of time. For a particular location, vectors of RSS are formed based on measurable signals from APs during the measurement time. This study assumes that the client manager software provides the same information as a query from a positioning software accessing a network interface card. The RSS values reported by most WLAN cards are in integral steps of 1 dBm. Note that all possible RSS values cannot be represented by a set of integer values [49]. The received signal sensitivity of a particular make of WLAN card limits the measurable range of the RSS. For instance, a Lucent Orinoco WLAN card has its receiver sensitivity between -93 dBm and 0 dBm [47]. The smallest signal value that most standard 802.11 cards can receive and report corresponds to around -96 dBm [49]. The highest typical value of the RSS found in our experiment using Lucent's Orinoco card is approximately -30 dBm at one meter from any AP. According to [49], the maximum signal level reported by most standard 802.11 cards is -10 dBm even though the actual received signal strength can be higher.

Because the client manager software is proprietary for the Lucent Orinoco card, measurement experiments using this software implies limited control over the setting of the sampling period and choice of WLAN cards. To obtain the RSS for different wireless network cards, in this study we used a small program that captures RSS data based on available example code from Microsoft's Windows XP driver development kit (DDK) [50] and the University of California at San Diego's Wireless Research API (WRAPI) [51]. The program utilizes Windows XP's Network Device Interface Specification (NDIS) version 5.1. It is a kernel layer standard API which defines the interface between the network interface card and the medium access control (MAC) protocol driver. A WIN32 API called *DeviceIoControl* allows any user's application to query Microsoft's object identifier (OID) such as *OID\_802\_11\_RSSI*

to obtain received signal strength indication (RSSI) on a wireless network card. The RSSI returned by Windows's miniport driver is measured in dBm and has typical values between -10 and -200 [52].

## 1. Experimental Design

Different factors that affect the indoor RSS are the user's presence/absence, his/her orientation, time of day, building types and material, distance from transmitter, and make of wireless cards. These factors are considered because they certainly affect the WLAN's RSS as shown in this chapter. These factors are by no means exhaustive. Other possible factors are antenna orientation, directionality and type but they are not considered here (we only consider omnidirectional antennas). A number of experiments that vary these different factors are performed and the results are analyzed to identify the statistical properties of RSS patterns. The results are applied to model indoor location fingerprints in the next chapter.

Table 5 lists two groups of the factors and the options used in the subsequent studies. The groups are divided according to the factors' effects. Note that this study performs measurements using a quasi-static laptop only. While we are aware of the effect of WLAN's mobility it is beyond the scope of current study. Our assumption here is that typical usage of WLANs occurs under stationary conditions. The movement of the mobile station causes fluctuation of the received signal strength which is called small-scale fading [12]. Larger fluctuations of RSS values has been exploited to infer the movement of the mobile station in [53].

The first factor (proximity of user to mobile) is considered because there are different location-services applications that may or may not cater to a human user. When a user is equipped with a mobile terminal and faces different directions, the study in [13] reports that different user orientations can cause a change in the location fingerprint at the same location. Our study confirms this observation through the second factor. The third factor considers the make of wireless cards which could have different chipsets and hardware implementation. Some vendors have a better hardware design than others.

Because of changes in environment over time (such as movement and number of people)

Table 5: Factors that affect RSS fingerprint

| Effect on              | Factors                             | Options  |
|------------------------|-------------------------------------|--|
| <b>Data Collection</b> | 1. Proximity of user                | User's presence or absence                                 |
|                        | 2. Orientation of user and terminal | North, East, South, and West                               |
|                        | 3. Make of WLAN card                | Lucent Gold, Lucent Silver, SMC, Cisco, D-Link, and Proxim |
| <b>Statistics</b>      | 4. Time of measurement              | Times of day and days of week                              |
|                        | 5. Period of measurement            | Second, minute, and hour                                   |
|                        | 6. Interference                     | Co-channel or adjacent radio channel                       |
|                        | 7. Building environment             | Small office (IS building) or large hall (Hillman library) |

around a measurement location, the fourth factor (time of measurement) is considered. This might indicate the need to collect location fingerprints at different times to reflect the time dependency. On the other hand, the fifth factor is considered in order to justify the minimum duration of measurement required to determine the location fingerprint at each position. This factor could affect indoor positioning accuracy and the total time required to collect location fingerprints. This study tries to determine a suitable duration of measurement at each position and the number of samples per position that can provide sufficient statistics. The sources of interference in the sixth factor are limited to those signals using the same/different channels from other access points. This study determines the correlation of received signals from different access points.

The seventh and final factor is the building environment that we select based on the available WLAN infrastructure at the University of Pittsburgh. Two different areas were used in this study: a small office environment and a large hall. In subsequent sections, we consider two main measurement scenarios that are considered within these two environments. However, there are additional measurement experiments that are performed over a few locations within these two environments to identify the effects of the first six factors.

The *small office environment* is inside the School of Information Sciences (IS) building. The IS building has 8 floors and 10 APs installed opportunistically. The dimension of each floor is approximately  $76' \times 120'$  ( $23\text{m} \times 37\text{m}$ ). All APs are from Lucent's WAVELAN and are equipped with Lucent Orinoco Gold/Silver WLAN cards. Only the area on the fourth

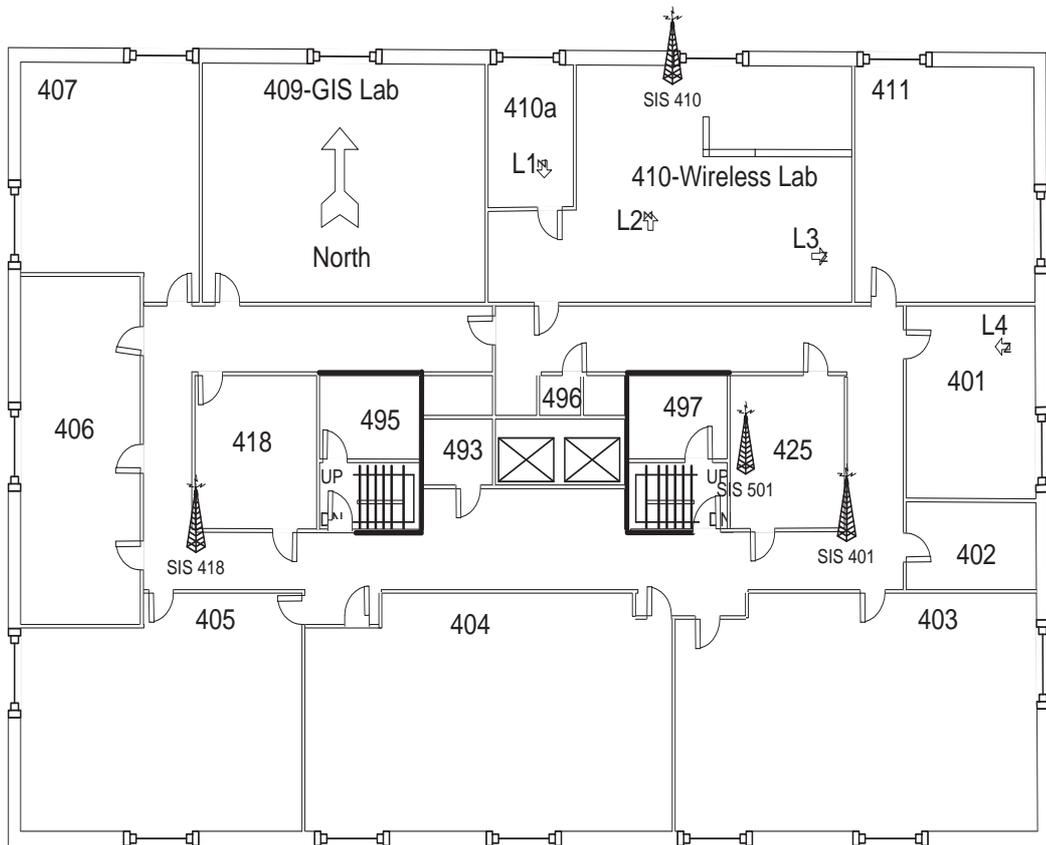


Figure 5: Fourth floor of the IS building with AP's locations

floor is used for this experiment. Locations of access points that have strong signals on the fourth floor are shown in Figure 5. The operating frequencies and the last sixteen bits of the MAC addresses of these access points are listed in Table 6. Note that SIS501 AP is located on the fifth floor and no AP is located on the third floor.

Table 6: Measurable access points on 4th floor in Information Science building

| Access Point Name | Location     | Frequency Channel | MAC Address  |
|-------------------|--------------|-------------------|--------------|
| SIS401            | Fourth Floor | 11                | xxxxxxxxE6F7 |
| SIS410            | Fourth Floor | 6                 | xxxxxxxx886B |
| SIS418            | Fourth Floor | 1                 | xxxxxxxxE6E8 |
| SIS501            | Fifth Floor  | 6                 | xxxxxxxxE6EE |

For the measurement of the small office environment, we defined a small area as a grid of 25 locations where 20 of them were placed inside the room 410 and five of them were placed along the corridor as shown by dots in Fig. 6. The minimum distance between two locations or grid spacing was fixed at one meter because RSS patterns could overlap more than a larger distance. Location fingerprints were collected at each location for a period of five minutes at a rate of four samples per second. This resulted in  $25 \text{ points} \times 3 \text{ APs} = 75$  RSS distributions. The user’s orientation was limited to the north direction only.

The *large hall environment* is inside the Hillman library building. This building has five floors including a ground floor. The dimension of each floor is approximately  $197' \times 197'$  ( $60\text{m} \times 60\text{m}$ ). APs are installed to provide wireless access to students who use the library. There are six APs located throughout the building and all APs are from Enterasys’s RoamAbout. There are two APs each on the ground floor, the second floor, and the fourth floor. The APs placed on different floors are marked in Figure 7 of the first floor. The building is divided into two wings with three elevators and two stairways in the center of the building as showed in Figure 7. Two additional stairwells are located near the center-edge of each wing.

All measurements were done inside the area of the first floor where there is a large open space (denoted by dashed lines) that shares the ceiling with the second floor. Figure 7 also shows the locations of measurement with small arrows. Signals from all six AP’s can be detected on this floor, but their coverage is not complete throughout the floor. The access

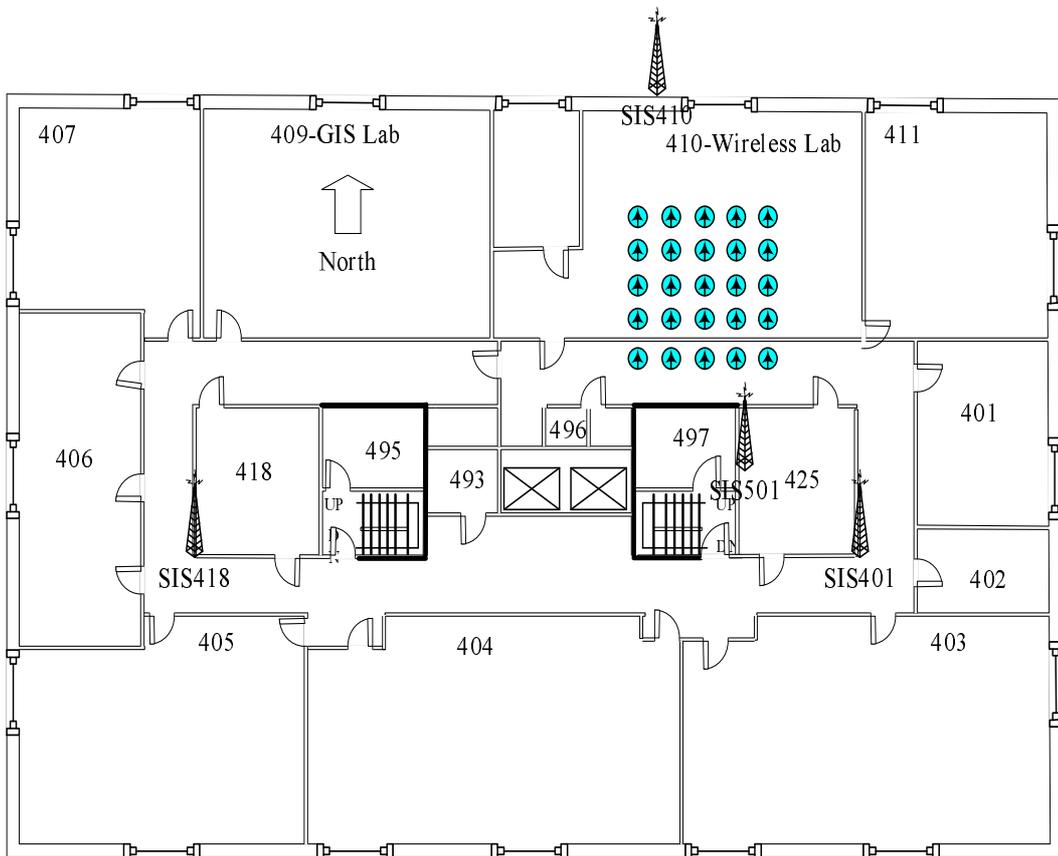


Figure 6: Location of access points on the 4th floor of IS building

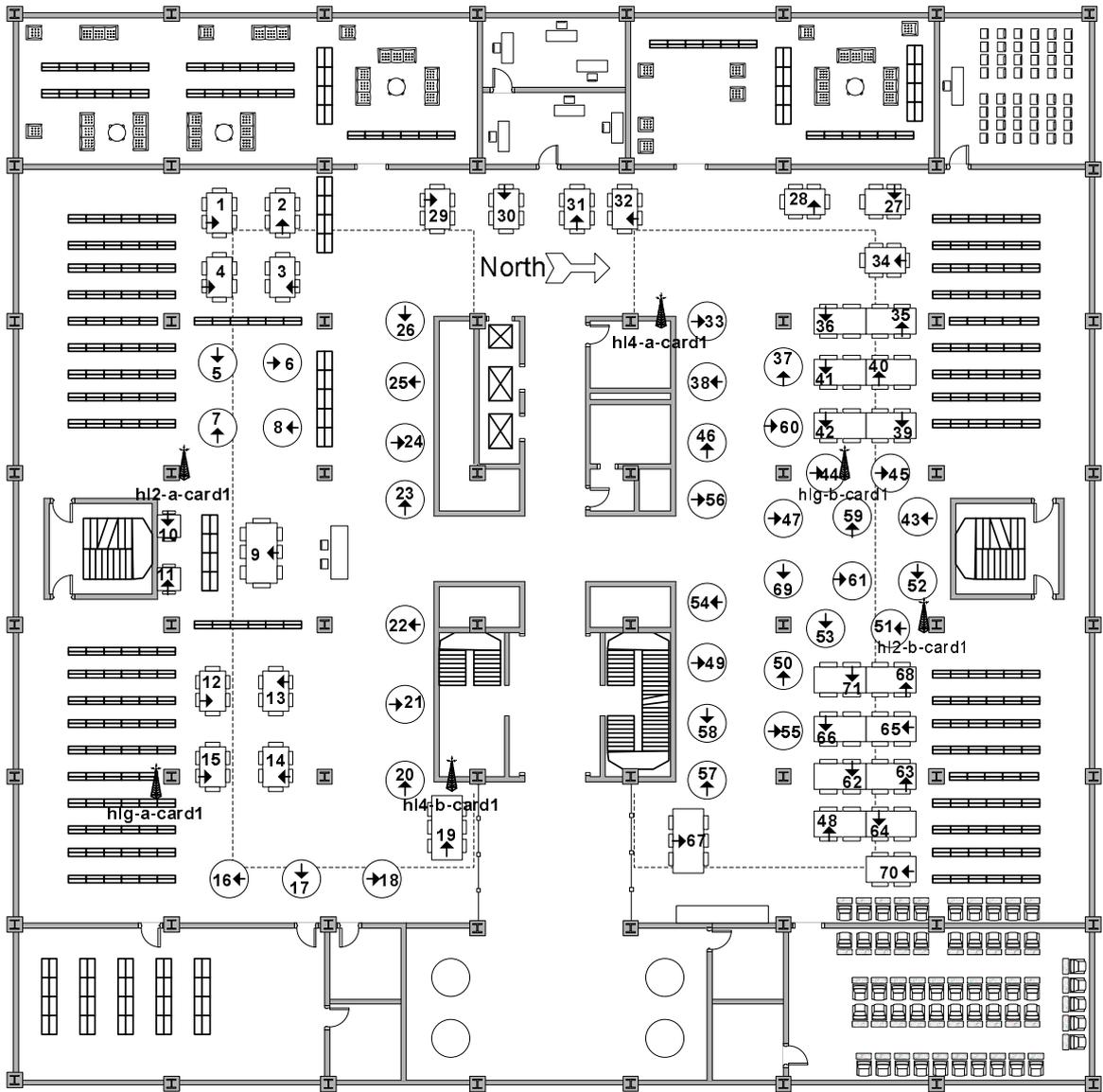


Figure 7: First floor of the Hillman library with APs' location

point names and their corresponding operating frequencies are summarized in Table 7.

Table 7: Access points in Hillman library

| Access Point Name | Location     | Frequency Channel | MAC Address  |
|-------------------|--------------|-------------------|--------------|
| hlg-a-card1       | Ground Floor | 1                 | xxxxxxxxF261 |
| hlg-b-card1       | Ground Floor | 11                | xxxxxxxxF616 |
| hl2-a-card1       | Second Floor | 11                | xxxxxxxxF618 |
| hl2-b-card1       | Second Floor | 6                 | xxxxxxxx4115 |
| hl4-a-card1       | Fourth Floor | 1                 | xxxxxxxxF5FC |
| hl4-b-card1       | Fourth Floor | 6                 | xxxxxxxxF23E |

Table 8: Experimental design and measurement factors

| Factors                            | Scenario 1            | Scenario 2                    |
|------------------------------------|-----------------------|-------------------------------|
| Building Type                      | 4th Fl. IS building   | 1st Fl. Hillman Library       |
| Proximity of Laptop User           | Presence              | Presence                      |
| User and Terminal Orientation      | North only            | Dependent on location         |
| Time of Measurement                | Afternoon to evening  | Afternoon to evening          |
| Span of Measurement                | 1 day                 | 32 days                       |
| Period of Measurement per Location | 5 minutes             | 1 hour                        |
| Sampling Period                    | 0.25 second           | 1 second                      |
| Number of Locations                | 25                    | 71                            |
| Distance between Locations         | Uniform 1 meter       | Non-uniform, 2 meters or more |
| Client Card Vendor                 | Lucent Orinoco Gold   | Lucent Orinoco Gold           |
| Access Point Vendor                | Lucent WAVELAN        | Enterasys RoamAbout           |
| Software Tool                      | Lucent client manager | Lucent client manager         |

Based on the two environments described above, Table 8 summarizes the measurement scenarios used to collect most of the RSS data used in subsequent analyses. Both scenarios have a user operating the laptop during all period of measurement. Because of limited control over the client manager software, the number of data points collected at each location vary from one location to another. Note that the distance between different locations in Scenario 2 varied according to locations of reading tables inside the library. The measurement in Scenario 2 is performed over a period of one hour causing a large span of measurement over several days due to the three-hour limitation of the laptop’s battery.

According to Table 5, we group the factors studied into those that affect the data collection and those that affect the statistics of the RSS. The organization in the next two sections will follow these two categories. Section III.B investigates the first three factors in Table 5

that cause changes to the received signal strength and its average value. These factors should be taken into account when collecting location fingerprints. The rest of the factors will be discussed along with statistical properties of the RSS in Section III.C.

## B. COLLECTING MEASUREMENT OF RECEIVED SIGNAL STRENGTH

### 1. User's Effect

For indoor positioning systems based on a WLAN infrastructure, users typically carry laptops or work near devices equipped with WLAN interface cards. The effect of the user's presence close to WLAN antennas plays an important role on the mean and the spread of RSS values (variance). An observation was made in [13] that the user's orientation can cause a variation in RSS level up to 5 dBm. However, no analysis of the data was provided nor were there measurements when no user is present during the measurement.

**a. User's Body** To study the effect of the user's body, we performed measurement of the signal from SIS410 at location  $L1$  inside the room IS 410a in Figure 5. The distance between the transmitter (AP) and the receiver (MS) is approximately 7m and the MS does not have a clear line-of-sight to the AP. The data were recorded for two hours. During the first hour, the user was present, while no user was present in the second hour. The results are shown as plots of histograms from both hours in Figure 8.

Figure 8-a and 8-b depict the difference between two histograms at the same measurement position. The user's body influences the RSS distribution by spreading the range of RSS values by a significant amount. The standard deviation is reduced from approximately 3.00 dBm to 0.68 dBm when the user is absent. An explanation of this effect is that the user is acting like a reflector or scatterer of the signal and causes the received signal to fluctuate more than otherwise. The mean *increases* from -71.6 dBm to -70.4 dBm without the user's body. Clearly, it is essential to collect data based on the type of location application. When a positioning system is supposed to cater to real users, it is essential to have a user present

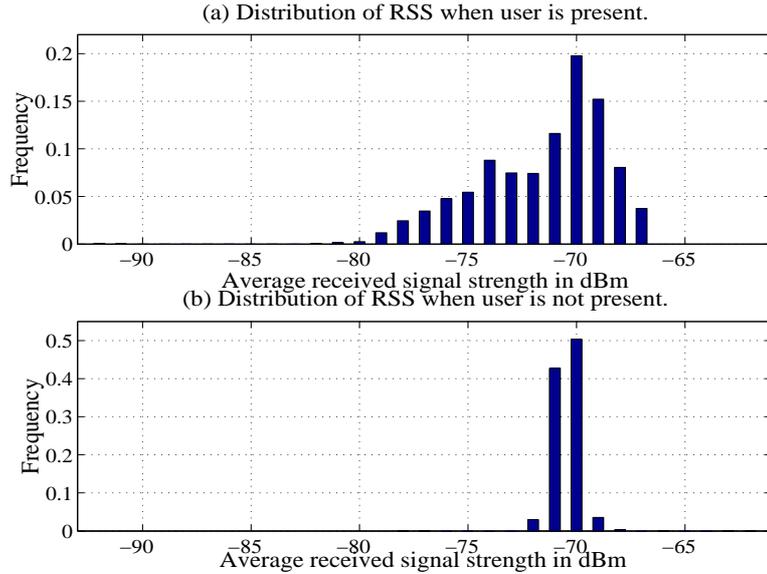


Figure 8: Effect of user’s body on histograms of the same RSS (SIS410)

while collecting RSS values for fingerprints and to take into account the effect of the human body.

**b. User’s Orientation** Because the resonance frequency of water is 2.4 GHz and the human body consists of 70% water, the signal is absorbed when the user obstructs the signal path and causes an extra attenuation leading to a lower RSS value [8]. This effect was mentioned in the RADAR system [13]. To confirm the effect of user’s orientation on the RSS, we performed another measurement at the location *L2* inside the room IS 410 in Figure 5. In this case, there is a line-of-sight between the transmitter (SIS410) and receiver, and the distance between them is approximately 20 ft (6 m). The signals from SIS401 and SIS501 were also present at this location with non line-of-sight distances of 36 ft (11m) and 22 ft (7m), respectively. The measurement was done with four orientations (facing North, West, South, and East of the building) for a period of 15 minutes each. The results of the sample means of the RSS from the three transmitters are shown in Tables 9.

In the LOS case (Transmitter SIS410) in Table 9, when the user was facing south and the

Table 9: Sample mean of RSS (dBm) with different orientations

| Access Point       | North  | West   | South  | East   |
|--------------------|--------|--------|--------|--------|
| <b>SIS410 LOS</b>  | -51.42 | -49.73 | -59.05 | -53.18 |
| <b>SIS401 NLOS</b> | -83.12 | N/A    | -82.09 | -83.45 |
| <b>SIS501 NLOS</b> | -79.95 | -83.63 | -77.82 | -79.24 |

AP was behind the user, the sample mean of the RSS was lowered to -59.05 dBm compared to the highest RSS value of -49.73 dBm when the user was facing west and the WLAN card was facing the AP SIS410. The results show that the mean RSS was attenuated by 9.32 dB in our case due to the obstruction from the human body. This suggests that the user orientation is crucial and should be included in computing the user location information. The same conclusion is also suggested by [13]. The attenuation by the body of the user can even completely block the RSS from a NLOS AP as shown in Table 9 when there was no RSS information at all during the period that the person’s back was turned toward the transmitter SIS401. This means that the location fingerprint at the same location may miss one RSS element in the vector if the user orientation is different. The signal from SIS501 is also attenuated by 5.81 dB between the highest and the lowest RSS levels in Table 9. Although this study did not consider the orientations of the laptop or its antenna, they can have different effect which require further investigation.

## 2. Make of Wireless Card

Comments from the authors of [18] and [53] have suggested that location fingerprints with different makes of wireless cards can be different, perhaps substantially. In this section, we determine whether different cards report significantly different RSS values. It is said that some vendors implement better receivers for the IEEE 802.11b cards than others. In fact, different vendors choose to measure RF energy differently [49]. Although the IEEE 802.11 standards define RF measurement value as a number between 0 and 255, the actual implementation of each vendor is limited between 0 and a specific maximum RSSI value called

“RSSI\_Max” (not in dBm) [49]. For instance, Cisco’s 802.11 card has a maximum RSSI value based on 100 levels, while the Atheros chipset has a maximum of 60 levels. These values are used internally by microcode on the WLAN card and by device drivers [49] to report the quality of the signal. Each vendor has its own RF measurement accuracy, granularity, range for the actual power in dBm, and range of RSSI values (0 to RSSI\_Max) [49]. Some vendors report the RSS in percentage using the RSSI range, but the RSSI level can be mapped to a value in dBm using a table. The mapping between the actual RF energy and the range of RSSI values is different for each vendor. For location fingerprinting purposes, a wireless card with wider range of RSSI values or good granularity is better since it allows a positioning system to better differentiate between two locations.

In this study, different wireless cards are tested using our own RSS collecting software as discussed in Section III.A. Fortunately, the query requested to Windows XP’s NDIS driver reports back the received power in dBm and our software does not have to perform any translation. Each card collects 300 samples over a period of 5 minutes (1 sample/second). Table 10 lists the vendors and models of all the WLAN cards used in this particular experiment. Note that the first four cards on the table employ the PCMCIA 16-bit interface, while the last three cards are based on the newer Cardbus 32-bit interface.

Table 10: List of WLAN cards

| Vendor | Model                | Standards   | Firmware Ver.         | Driver Ver. |
|--------|----------------------|-------------|-----------------------|-------------|
| Lucent | Orinoco Gold         | 802.11b     | Pri. 4.04, Stat. 9.42 | 7.82        |
| Lucent | WaveLAN Silver       | 802.11b     | Pri. 4.00, Stat. 9.42 | 7.82        |
| Cisco  | Aironet 350 Series   | 802.11b     | 5.60.08               | 8.6.16      |
| Proxim | *Orinoco Gold        | 802.11a/b/g | n/a                   | 3.1.2.19    |
| SMC    | *EZ Connect SMC2635W | 802.11b     | n/a                   | 1.0         |
| D-Link | *AirPlus DWL-650+    | 802.11b     | n/a                   | 4.15.5.1    |

\* denotes card with Cardbus 32-bit interface.

Because the mapping between the actual RF energy and the RSSI range can vary from one vendor to another, the choice of WLAN cards can affect the performance of indoor positioning systems. Since the range and the measurement of RSS depends on the WLAN card, it is important to use the same wireless card for collecting the location fingerprints and determining the location. For communication purposes, a WLAN card that has a higher

average received signal level at the same location is a better card. However, a better reported received signal strength may not necessarily be important for location fingerprinting and positioning. For positioning purposes, the range of RSS and the standard deviation of RSS are more important.

Table 11: Measurable RSS range of WLAN cards

| Vendor | Model                | Max RSS (dBm) | Min RSS (dBm) | Range |
|--------|----------------------|---------------|---------------|-------|
| Lucent | Orinoco Gold         | -10           | -102          | 92    |
| Lucent | WaveLAN Silver       | -10           | -94           | 84    |
| Cisco  | Aironet 350 Series   | -10           | -117          | 107   |
| Proxim | *Orinoco Gold        | -11           | -93           | 82    |
| SMC    | *EZ Connect SMC2635W | -14           | -82           | 68    |
| D-Link | *AirPlus DWL-650+    | -50           | -100          | 50    |

\* denotes card with Cardbus 32-bit interface.

The widest range of RSS allows positioning systems to identify more locations by differentiating the RSS values at such locations. To compare the range of each card in Table 10, this study measured the RSS values at locations where the AP’s antenna touches the WLAN card all the way up to those locations where the signal from the AP is very low. The measurable ranges from our software are summarized in Table 11. An observation from the measurement results of the Cisco card indicates that some RSS values in dBm will never be reported which is also pointed out by [49]. The mapping of RSSI to dBm is non-linear [49]. The data reported in Table 11 show that D-Link card has the shortest range of all, while Cisco seems to have the widest range. Note that the ranges reported in Table 11 may not correspond to the actual range between 0 and the RSSI\_Max for of each vendor.

Although signal fluctuations normally occur for any wireless communications due to changes in environment, a receiver with smaller standard deviation can be useful for location fingerprinting since it is less likely to show a different value of the RSS at that location. Clearly, a smaller standard deviation causes less confusion with nearby location fingerprints. Our preliminary results based on a mathematical model in Chapter IV also suggests the benefit of small standard deviations. Figure 9 and Figure 10 report the standard deviations and means of RSS values measured by different WLAN cards at location  $L1$  inside the room IS 410a of IS building in Figure 5. Although the means of RSS seem to vary a lot both with

the same card and different cards, the overall averages are around -64 dBm to -66 dBm. Proxim, D-Link, Lucent Gold, and SMC cards report approximately 1 to 2 dB better RSS on average than Cisco and Lucent Silver cards. Cisco's Aironet card has the largest average standard deviation of 3.02 dBm, while the D-Link card has the smallest average standard deviation of 1.08 dBm. The reason for difference in the standard deviation of these cards can be explained by the difference in mapping from the actual RF energy to the internal range of RSSI values. Since the Cisco's card has the widest range, it can measure the signal with higher resolution and see more variation of signal. On the other hand, the D-Link's card has the shortest range; therefore, a number of actual measured signal levels may be mapped into the same RSSI value and it see less signal's variation.

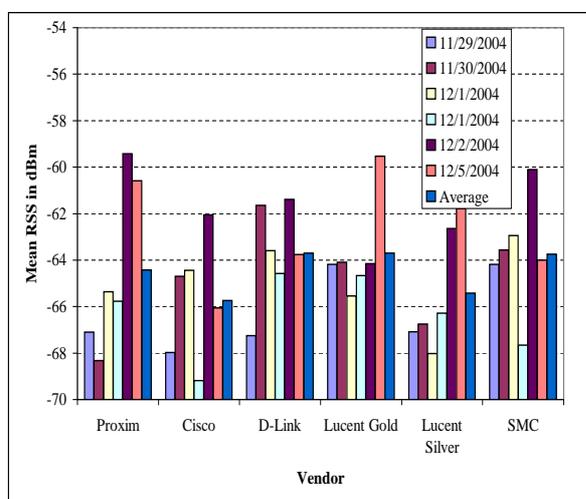


Figure 9: Comparing mean RSS of different vendors

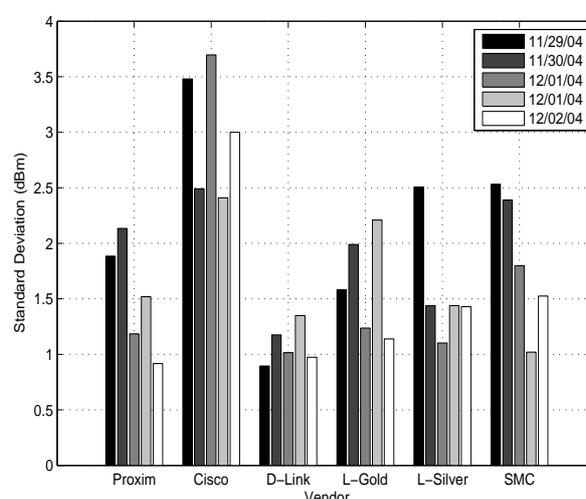


Figure 10: Comparing standard deviation of different vendors

Comparison of wireless cards from the same vendor and model is shown in Table 12 where two Lucent Orinoco Gold cards are used. Note that the measurement is done at the same locations and consecutive time. Although the means are different by about 1 dB, the range, the standard deviation, and the skewness are very close.

Another important feature of wireless cards essential for indoor positioning is the capability to scan the nearby APs passively, actively, or combination of both. The passive scanning is done by recording any 802.11 beacon or probe response frames to card's cached Basic Service Set Identifier (BSSID) scan list [54]. The active scanning is done by broad-

Table 12: Statistics of RSS Measured from Two Lucent Gold Cards

| Statistics         | Lucent Gold Card 1 | Lucent Gold Card 2 |
|--------------------|--------------------|--------------------|
| Mean               | -62.41             | -61.28             |
| Median             | -62                | -61                |
| Mode               | -62                | -61                |
| Standard Deviation | 1.61               | 1.70               |
| Sample Variance    | 2.59               | 2.89               |
| Skewness           | -0.87              | -0.48              |
| Range              | 11                 | 11                 |
| Minimum            | -69                | -68                |
| Maximum            | -58                | -57                |

casting a 802.11 probe request frame on scanning channel and recording any probe reply or any beacons frame to card's cached BSSID scan list [54].

To deploy the indoor positioning system, proprietary information of the data format returned by the scanning operation and how to put the card into scanning mode are very useful, but not always necessary because most vendors follow the Network Adapter Design Guidelines for Windows XP [52] provided by Microsoft. Our experiment with all of these cards showed that the scanning information from only SMC's card can be acquired correctly. This is done by invoking a call to Microsoft's object identification called *OID\_802\_11\_BSSID\_LIST\_SCAN* [52]. The software driver will cause the IEEE 802.11 NIC to survey all the APs and update the BSSID scan list. The second card that seems to perform scanning correctly is D-Link's card (all MAC addresses are correctly reported), but the RSS data reported back are all in positive values which are not in dBm. The rest of the cards either reported correctly only the associated AP's signal and garbage data for other APs (Lucent Gold, Lucent Silver, and Proxim) or no scanning report at all (Cisco). Note that the queried RSS data of currently associated AP (the one the card communicating with) is different from the scanning data maintained in the cached BSSID scan list. To study the design and deployment of indoor positioning system in Chapter V, we will use SMC's card with its scanning capability for a prototype software-based indoor positioning system.

Given the basic comparison of the above wireless cards, any of these cards will provide

comparable quality for communications purpose at the our measurement location. For indoor positioning purpose, we would like to deploy the card that has the widest range of RSS and the lowest standard deviation. However, there are limitations of the software tools that come with each card in terms of support for data collection and logging. The software tools provided by these cards are different in quality. Thus far, only the Lucent/Orinoco Gold and Silver cards have the RSS logging capability in their client manager. Fortunately, the Lucent/Orinoco gold card seems to have a good RSS measurable range and average standard deviation; therefore, we select this card for most of our measurement experiments and record RSS fingerprints with its proprietary software.

**a. Impact of Quantization of RSS Values by Wireless Cards** Ideally, if there is no randomness in the RSS, we can estimate the resolution of location fingerprint based on the level of RSS from the wireless card. Assume that there are  $Q$  levels of RSS reported by a wireless card. For one access point, ideally, we could identify  $Q$  unique locations. For two access points, the number of regions of locations that can be identified increases but not exponentially (less than  $Q^2$  regions) as shown in Figure 11.

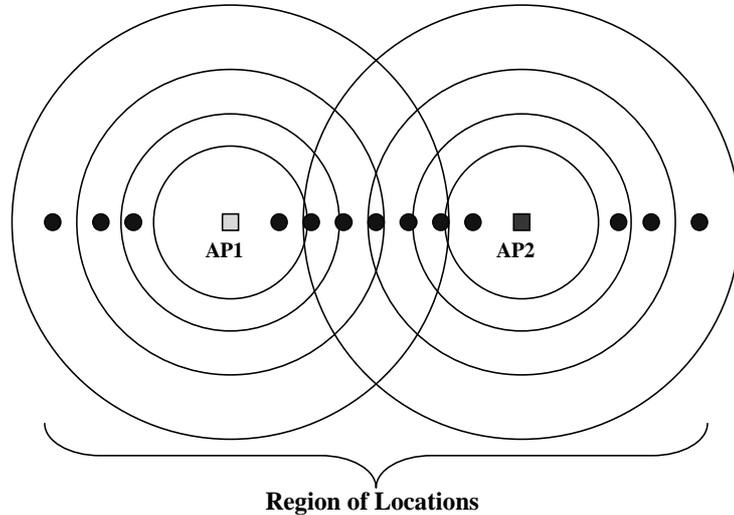


Figure 11: Resolution of Location Fingerprint

Assuming a coverage area of  $2\pi R^2$  for two APs where  $R$  is the radius of each AP's

coverage, the maximum number of unique locations is  $Q^2$  and the area per unique location is  $2\pi R^2/Q^2$ . For  $R = 35$  m and  $Q = 100$ , the area per unique location is  $2\pi(35)^2/(100^2) \approx 0.77$  m<sup>2</sup>. The best grid spacing is thus  $\sqrt{0.77} \approx 0.88$  m. Note that the distance between two access points also contributes to the identifiable regions of locations. If we move the two access points close together, the system will have a different region where position location can be performed. Extending this analysis to larger number of access points is not trivial. A location fingerprint of higher dimensions cannot be represented easily in a two-dimensional diagram. The distance in signal space will also be different than the distance in physical space. In-depth analysis to estimate the resolution according to the quantization is beyond the scope of this work due to limited information of actual quantization step of each wireless card.

Although the RSS measurement reported by the software device driver is only in the quantization step of 1 dBm, we do not report the mean or average of RSS in quantization steps of 1 dBm. All measurement results in this work calculate the *average* values and report all results as real numbers. Figure 12 depicts an example of quantized RSS values which are reported by typical wireless cards. If only the integer number were used for the location fingerprints, the chance that any two location fingerprints have identical fingerprints will increase and degrade the performance of the fingerprinting technique.

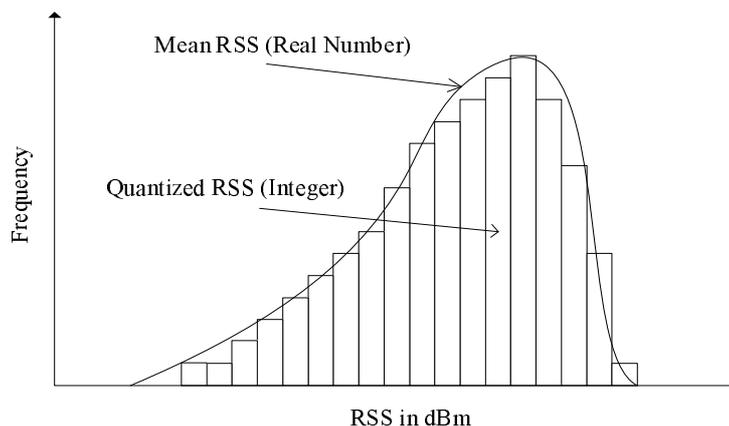


Figure 12: Quatization of RSS

## C. STATISTICAL PROPERTIES OF RECEIVED SIGNAL STRENGTH

Changes to the signal due to propagation indoors is difficult to predict because of the dense environment and propagation effects such as reflection, diffraction, and scattering [46]. The multipath fading effect, which is the result of either constructive or destructive combination of multiple signal copies at the receiver, causes the received signal to fluctuate around a mean value at a particular location. The received signal is usually modeled by the combined effects of large-scale fading and small-scale fading [12]. The large-scale-fading component describes the signal attenuation as the signal travels over a distance and is absorbed by material such as walls and floors along the way to the receiver. This component predicts the *mean* of the RSS and usually has a lognormal distribution [12]. On the other hand, the small scale-fading component explains the dramatic fluctuation of the signal due to multipath fading. If there is no line-of-sight (LOS) component, the small-scale-fading is often modeled with a Rayleigh distribution. Such scenarios are commonly called non-line-of-sight (NLOS). If there is a line-of-sight component, the small-scale-fading is typically modeled by a Rician distribution. Note that when the RSS is measured, the measurement averages out the small-scale-fading effects. Although these radio propagation models have been studied extensively in the literature, they are focused on their impact on receiver design and coverage. There is still a lack of the necessary understanding of the properties of RSSs from the perspective of indoor positioning systems.

### 1. Distribution of the Received Signal Strength

Traditionally, the average RSS is believed to be lognormally distributed according the large-scale fading model [12]. The mean value is generally predictable and believed to follow one of several standardized path loss models discussed in [46]. However, there are some conflicting conclusions regarding the RSS distribution measured at the software level by the wireless NIC for indoor radio propagation in [32] and [8]. Moreover, the standard deviation and the stationarity of the RSS are not understood very well.

The results in [32] were based on a five second sampling period over a long duration of five

hours, 20 hours, and one month. They concluded that the RSS was lognormally distributed due to the similarity of the median, the mean, and the mode. However, they did not indicate whether the user was present all the time during the measurements. Thus, we suspect that the distribution of the RSS in dBm that could be observed in reality may not be normal as described in [32]. A recent study of a 45-second measurement period with the user's presence in [8] pointed out that the RSS distribution was non-Gaussian and asymmetric. Moreover, the histograms in [8] depicted that there could be multiple modes with one dominant mode in the distribution. The means and the modes were often different in their results. These results did not however consider an in-depth analysis of the RSS distribution.

Results from Scenario 1 and Scenario 2 described in Section III.A yield 75 and 299 sets of histograms, respectively. Depending on the duration of measurement and availability of signals, Scenario 1 has samples of data anywhere in between 441 and 1748 points, while Scenario 2 has samples of data anywhere in between 2962 and 3956 points. Observations from these 374 sets of data indicate that certain shapes of distribution occur often at particular average values of the RSS. Different shapes of distributions are caused by the upper bound and lower bound of measurable RSS at each location. Because the received signal is attenuated over distance, a received signal will never reach a value that is as high as the maximum transmitted power. The upper bound of the received signal in our measurement results (APPENDIX A) shows no signal deviating higher than 10 dB above its average value. On the other hand, the lowest received signal is limited only by the receiver sensitivity. The signal deviation below the mean value can vary as much as the lowest receiver sensitivity. If the average RSS is high (-80dBm or above), the distribution of the RSS will often have a long tail to the left which is called left-skewed distribution<sup>1</sup>. If the average RSS is low (around -80 dBm), the distribution will not have long tail but will be close to a lognormal distribution (normal in dB).

Figures in APPENDIX A illustrate different shapes of the distributions from nine APs in Scenario 1 and Scenario 2. In Scenario 1, signals from access points SIS401 and SIS410

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<sup>1</sup>Skewness is a measure of symmetry of data. A probability density function (PDF) is said to be skewed to the left (tail on the left) when it has its mean less than its median which is less than its mode [33]. Skewness is reported by number in which a negative number reflects a left-skewed distribution. For univariate data  $x_1, x_2, \dots, x_N$  with mean  $\bar{x}$  and standard deviation  $\sigma_x$ , Skewness =  $\frac{\sum_{i=1}^N (x_i - \bar{x})^3}{(N-1)\sigma_x^3}$

are stronger on average than those from SIS501 which is located a floor higher. Signals from both SIS401 in Figure 60 and SIS410 in Figure 59 have larger deviations, primarily to the left side, while distributions of signals from SIS501 are almost symmetric as seen in Figure 61. In Scenario 2, similar shapes with long left tails are seen in Figure 62 and Figure 66. Almost symmetric shapes of the histogram are observed in Figure 64, Figure 63, Figure 65, and Figure 67. Note that histograms with multiple modes rarely occurred in both scenarios which is in contrast to the results in [8]. Signals measured with different makes of cards at the same location on majority also exhibit left-skewness as shown in Figure 13.

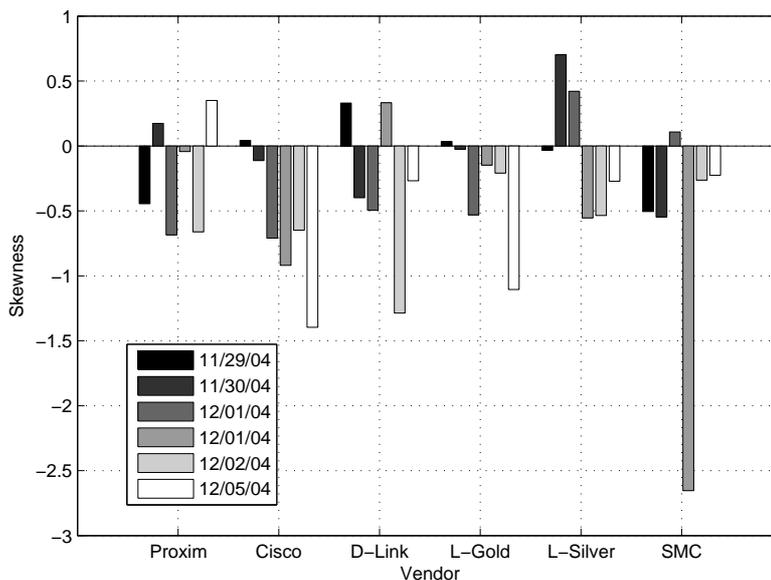


Figure 13: Comparing Skewness of different vendors

To determine whether the data is significantly left-skewed, we compare skewness of each data set with its standard error of skewness. The standard error of skewness can be estimated by  $\sqrt{\frac{6}{N}}$  where  $N$  is the number of data points [55]. If an absolute value of skewness is larger than two standard errors of skewness, the data set is considered to be significantly skewed. The distributions tended to be left-skewed in most measurement results from both scenarios. In Scenario 1, we observed that 64 out of 75 histograms were significantly left-skewed, while 7 histograms were symmetric and 4 histograms were significantly right-skewed. In Scenario 2, we found that 191 out of 299 histograms were significantly left-skewed, while 51 of the

data sets were symmetric and 57 histograms were significantly right-skewed. From our observation, the histograms that are significantly left-skewed are often the ones with strong average RSS or when there is a line-of-sight between an AP and a MS.

Three samples of RSS histograms collected from SIS410, SIS401, and SIS501 in Scenario 1 are plotted in Figure 14. We compare these short measurements of five minutes with another long measurement of the signal from the SIS401. The long duration of measurement was collected over 26 days at a sampling interval of five seconds and at different locations. The histogram of the long measurement is shown in the lower-right subgraph of Figure 14. The left-skewed distributions are prominent with both short and long durations of measurement. The RSS values are usually concentrated around the dominant modes. However, there are some histograms in our measurement that could be approximated by a lognormal distribution because they are slightly skewed or almost symmetric. lognormal or Gaussian curves that can be fitted to data are superimposed on each histogram to compare the actual distribution with an ideal lognormal distribution. The lower-left subgraph of Figure 14 illustrates an example of a slightly left-skewed RSS distribution measured from SIS501. Notice that there are multiple modes in the measurement results corresponding to the 26 days in the lower-right subgraph of Figure 14.

Slightly skewed distributions often occur when the RSS level is low (the AP is far from the measurement location or there is no direct line-of-sight). These conditions are often valid for indoor environments. This observation could also explain why the measurements in [32] report a normal distribution where the measurement in that work is done inside an office room with no line-of-sight path. Figure 15 and Figure 16 show the skewness of all histograms from Scenario 1 and Scenario 2, respectively. In Figure 15, the skewness of SIS501's histograms scatter around a zero value, while the other data from SIS410 and SIS401 have a rather large negative skewness. In Figure 16, most of the data that have low RSS values have skewness around the zero value, while the data that have higher RSS values have larger negative skewness.

The left-skewed distribution is difficult to model and does not fit to any well known distribution. However, a representative distribution of the underlying RSS process is needed to gain more understanding of location fingerprinting. If the RSS distribution can be identified

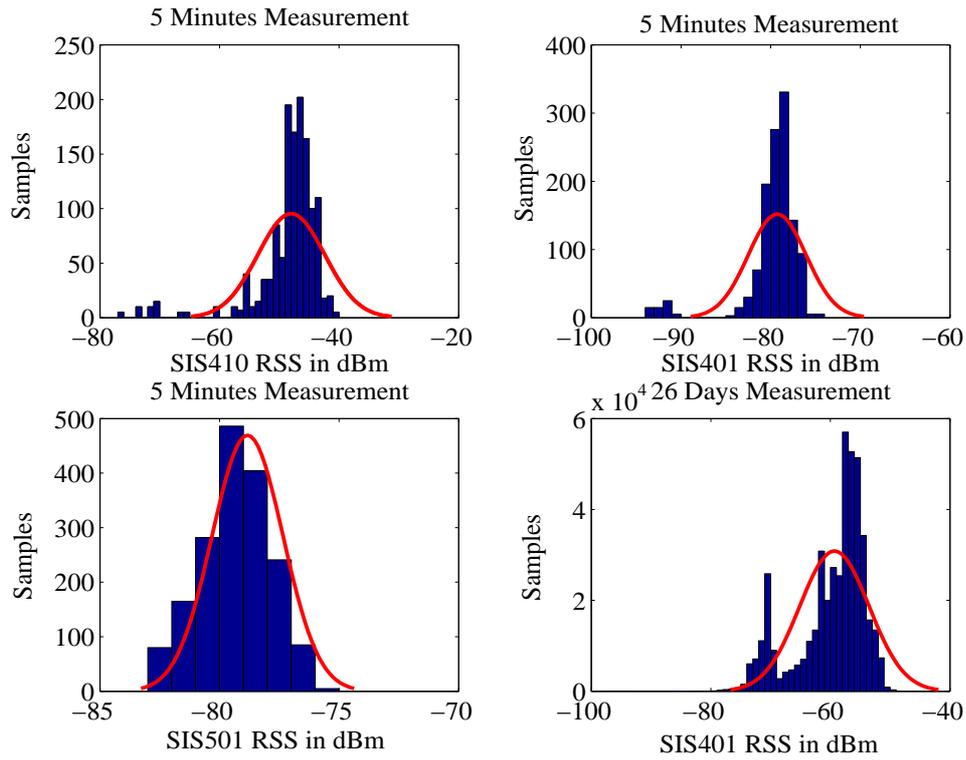


Figure 14: Samples of RSS distribution over five minutes and 26 days

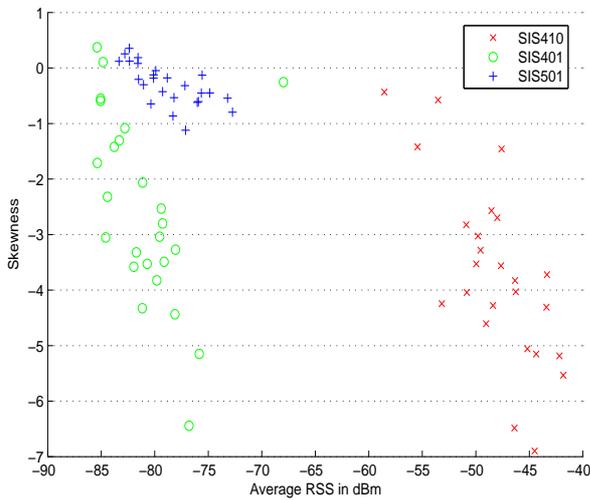


Figure 15: Average RSS vs. skewness from three APs in Scenario 1

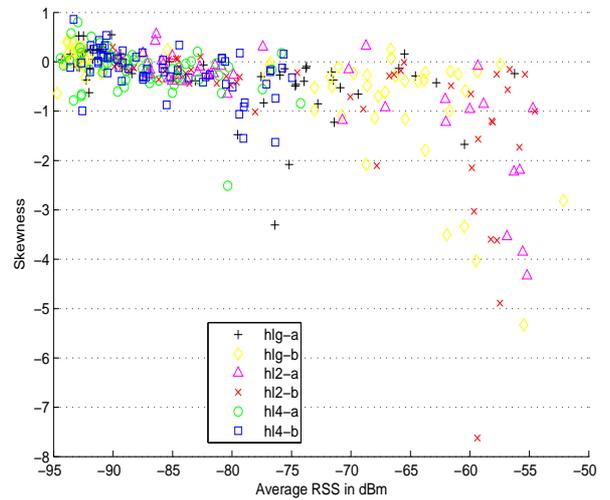


Figure 16: Average RSS vs. skewness from six APs in Scenario 2

and modeled, analytical models of location fingerprint and the indoor positioning system could be developed. Although most of the histograms are not symmetric, an assumption of lognormal distribution can be used to partially describe the RSS distribution. This is a reasonable choice of representative distribution as we will see in the next chapters.

## 2. Standard Deviation of the Received Signal Strength

Using the same Lucent Gold card in both Scenario 1 and 2, this subsection reports sample standard deviations of all APs' signal at different locations. Table 26, 27, and 28 in [APPENDIX B](#) lists all the standard deviations at each location. These tables show that the standard deviations vary from one location to another and from one AP to another. Sample standard deviations in Scenario 1 have values between 0.59 and 6.29 dBm, while sample standard deviations in Scenario 2 have values in between 0.47 and 3.30 dBm. The major differences in the two environments are the distance and the existence or not of LOS between the APs and the receiver.

When we plot the mean RSS versus the sample standard deviation, the results reveal that the farther the AP is from the MS or the lower the received signal level is, the smaller the standard deviation is. On the other hand, the larger the mean RSS, the larger the standard deviation. Figure 17 depicts this relationship based on the results of Scenario 1. We observe that the standard deviation is large for high RSS levels (-60dBm to -40dBm) when the signal from AP SIS410 has direct LOS to most of the locations in Scenario 1. Smaller standard deviations are observed in Scenario 2 in Figure 18 when there is no LOS between any AP and each measurement location. This observation is similar to the skewness property discussed in previous subsection.

This property of the standard deviation suggests that the RSS from two locations may be difficult to separate or distinguish if both locations are close to the same AP due to the high signal level with large degree of variation. On the other hand, two locations might be easily identified if both do not have LOS paths and are located far from the APs. This is rather counter-intuitive since the farther apart the WLAN user is from the AP, the worse the measurement accuracy should be as suggested by [32].

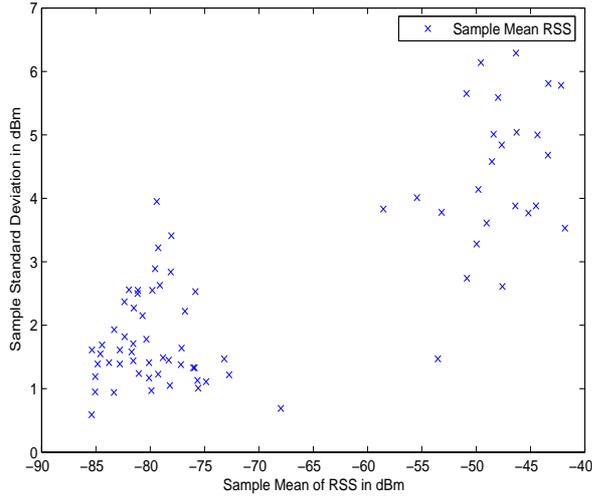


Figure 17: Relationship between RSS and its standard deviation from Scenario 1

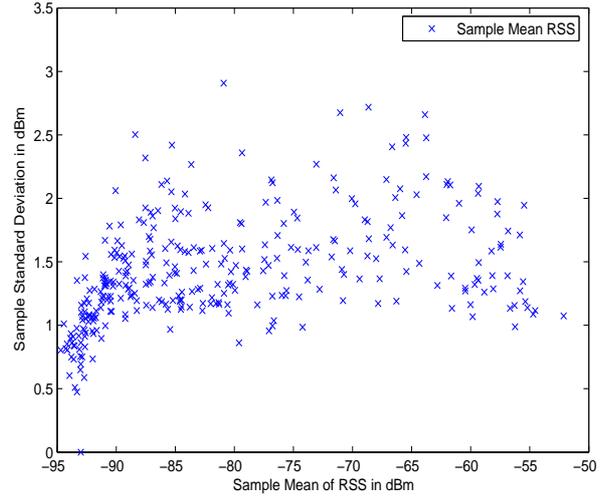


Figure 18: Relationship between RSS and its standard deviation from Scenario 2

### 3. Stationarity of the Received Signal Strength

Assuming that the Ergodic theorem<sup>2</sup> is applied according to the Wiener definition of stationarity [57], we analyze whether the RSS is stationary by breaking the series of RSS measurement into separate pieces over different time intervals. A random process can be said to be stationary when it meets two conditions. First, its mean and variance remain the same over time. Second, its autocovariance function has the same shape for each separate time-series. Instead of plotting the autocovariance function, we plot the autocorrelation function versus time-lag which is called correlogram to test the second condition. Note that the autocorrelation function is the autocovariance function normalized to the zero-lag autocovariance. We investigated the stationary property over three time scales: pieces of 1 minute and 15 seconds within five consecutive minutes, pieces of 15 minutes within the same hour, and pieces of one hour over five different hours. All of these measurements are done at the same location  $L1$  in Figure 5.

<sup>2</sup>Ergodic theorem states the time average of a random process is equal to the space average of that process almost everywhere [56].

Using the data collected by different makes of wireless cards in Section III.B.2, we calculate the mean and the variance for all smaller periods of 1 minute and 15 seconds. Note that the sampling time is 1 second apart. Table 13 lists the mean values and Table 14 reports the variance values of all cards. The means of the RSS do not change more than 1.5 dB for most cards. However, Cisco’s cards seem to shift the mean quite a lot in the last period (-66.40 to -71.05 dBm or a change of 4.65 dB). The variance values also do not change very much for most of the cards except the Cisco’s card (4.16 to 19.48). The correlograms to test the second condition are plotted in APPENDIX E. Overall, the correlogram’s plots do have similar trend for each card. However, there are few plots that do have different shapes within each card. The results from these tables and plots suggest that the RSS process is non-stationary.

Table 13: Mean of RSS with user over short duration of 5 minutes

| Vendor        | 1st Period | 2nd Period | 3rd Period | 4th Period |
|---------------|------------|------------|------------|------------|
| Cisco         | -70.88     | -68.37     | -66.40     | -71.05     |
| D-Link        | -64.57     | -63.95     | -64.49     | -65.27     |
| Lucent Gold   | -64.55     | -64.87     | -64.32     | -64.91     |
| Lucent Silver | -66.65     | -66.65     | -65.40     | -66.44     |
| Proxim        | -66.29     | -66.13     | -65.23     | -65.43     |
| SMC           | -67.84     | -67.11     | -68.45     | -67.25     |

Table 14: Variance of RSS with user over short duration of 5 minutes

| Vendor        | 1st Period | 2nd Period | 3rd Period | 4th Period |
|---------------|------------|------------|------------|------------|
| Cisco         | 9.86       | 6.72       | 4.16       | 19.48      |
| D-Link        | 1.36       | 0.46       | 0.93       | 0.52       |
| Lucent Gold   | 1.36       | 1.06       | 1.30       | 2.22       |
| Lucent Silver | 0.47       | 1.09       | 1.38       | 0.87       |
| Proxim        | 0.94       | 2.14       | 1.02       | 0.73       |
| SMC           | 4.22       | 3.31       | 1.20       | 3.19       |

After dividing the series of measurement data of RSS in Figure 8-within the same hour into groups of 15 minutes, the RSS distribution within each quarter is observed to follow a similar distribution within the same group. Table 15 lists the summary statistics within

each quarter-hour. These results suggest that the RSS distribution may be stationary or time independent since the means are very close (less than 2 dB difference) and the sample variances of each quarter are on the same order. The correlograms in Figure 19 depict the same shapes for each quarter indicating that the second condition is also met for this time scale.

Table 15: Mean and standard deviation of RSS with user

| Statistics         | 1st Qtr. | 2nd Qtr. | 3rd Qtr. | 4th Qtr. |
|--------------------|----------|----------|----------|----------|
| Mean               | -71.71   | -72.33   | -71.82   | -70.48   |
| Standard Deviation | 2.95     | 3.20     | 2.96     | 2.56     |
| Sample Variance    | 8.72     | 10.27    | 8.77     | 6.54     |

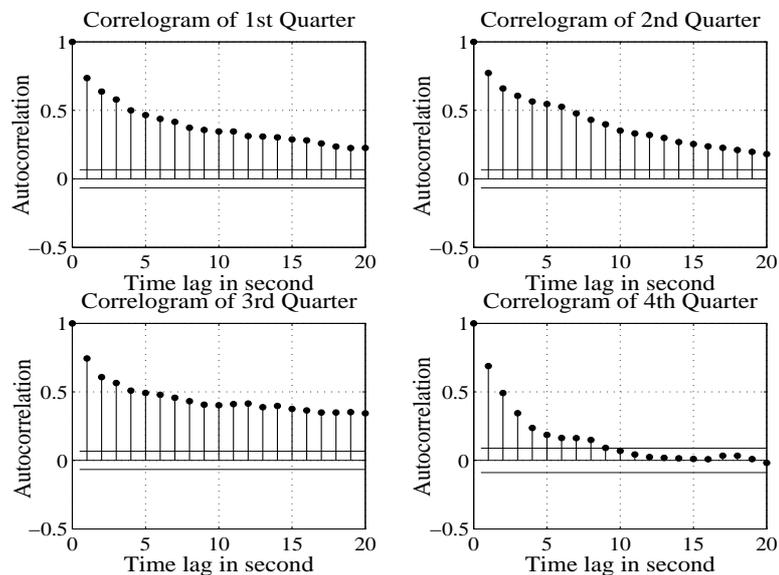


Figure 19: Correlograms of RSS within the same hour

The one-hour time scale study was made for a signal measured at  $L1$  over different times of day. Table 16 shows a consistent mean, but inconsistent variance values of the RSS. Therefore, the test for the first condition for stationarity fails at this time scale and we conclude that the RSS random process is non-stationary.

Another result indicating the non stationary property of the RSS is shown in Figure 20. The measurement was recorded over a period of one hour from three access point at location

Table 16: Time dependency of RSS (dBm) from SIS410 with users presence

| Statistics         | 10 AM  | 12 AM  | 2 PM   | 8 PM   | 10 PM  |
|--------------------|--------|--------|--------|--------|--------|
| Mean               | -62.68 | -60.02 | -61.85 | -63.12 | -63.18 |
| Standard Deviation | 2.17   | 1.63   | 2.05   | 3.35   | 2.66   |
| Sample Variance    | 4.70   | 2.65   | 4.22   | 11.23  | 7.07   |

*L3*. When a person started to use laptop within the same room at location *L2* which is a change in the environment, the RSS of SIS410 abruptly changes to another level with a higher average value. This can be explained by the multipath effect which causes the signal to combine constructively after the change in environment.

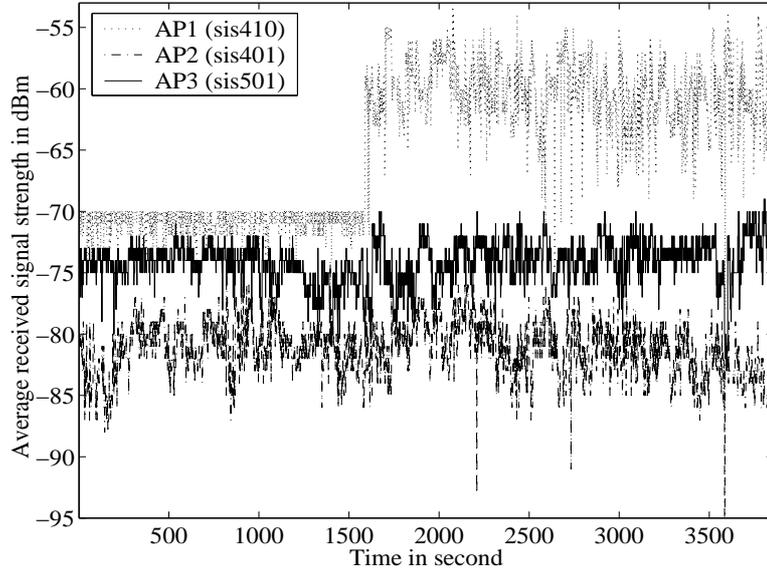


Figure 20: Time series of RSS from three APs

#### 4. Time Dependency

The summary of statistics in Table 16 indicates that the RSS is time-dependent. In this section, we performed a separate experiment for the environment in Scenario 1. This exper-

iment aims to determine time dependency over hours of day and days of week. Only one location is considered in this analysis.

Since we learned from Section III.B.1 that the human's body can influence the distribution of RSS, this study tries to minimize the user's effect and focuses on the time dependency only. We leave the laptop on a desk in a small office room at Location 1 in Figure 5 without any user operating it after the measurement is started. The office is shared by other graduate students; thus, within the vicinity of one meter or more there could be other people sitting within the same room at any time. Moreover, The room has a door which is usually open once there is a person in this room. The presence of other people and change of the door's position are uncontrollable in this experiment.

The measurement was performed over a continuous period of 24 hours on three different days. The RSS data is recorded once every second. The results of each day are divided into 24 series. The mean, variance, and skewness are calculated for each series to determine their time dependency. The results of mean, variance, and skewness are plotted in Figure 21, Figure 22, and Figure 23, respectively. Note that the measurement of each day is started at a different hour of days which is denoted by a broken line in each figure. The measurement results are wrapped around for the time between 24th hour and 1st hour.

The observation of the mean values for three days in Figure 21 shows stable signals during late night and early morning. The reason is that there were no other people around in the office and the office's door remained closed all the time. However, as we discovered in the previous section about the stationarity's property, the variance of the three signals in Figure 22 shifted over time during the day time between 10 AM to 8 PM. Considering the signal in the second hour after the starting of measurement in each day, the signal variation occurred by the changes in environment when there were other people around and the office door was opened.

Interestingly, the mean values on January 11 during the day when people were present was higher than the late night and early morning during. Comparing to the results on January 14 and 21, the mean values during the day were lower than the late night and early morning. This difference can be explained by the multipath effect which might enhance or degrade the received signal power. In any case, the change in the RSS's statistics by the

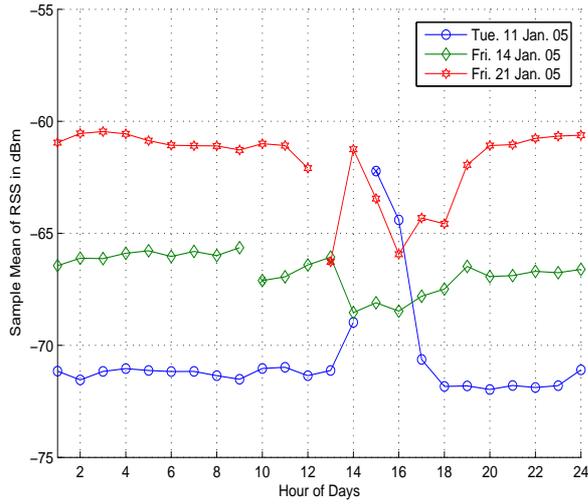


Figure 21: Sample mean of RSS over 24 hours at three different days

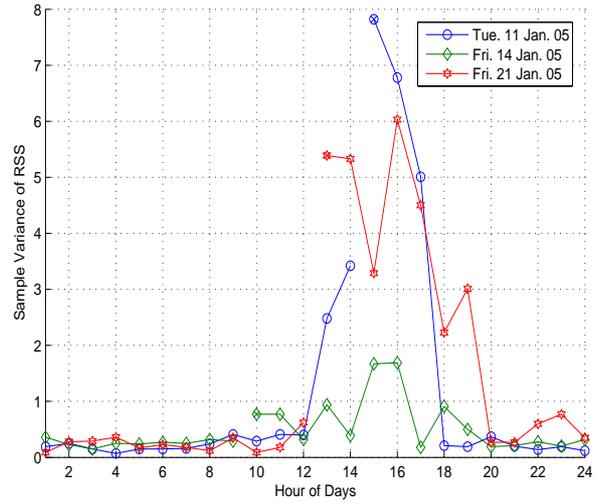


Figure 22: Sample variance of RSS over 24 hours at three different days

environment is difficult to quantify because exactly the same environment may not occur again.

Notice that the means of RSS from the three different days are different with average of -71 dbm, -66 dBm, and -61 dBm. This result suggests some dependency of RSS over different days. Even though for each experiment the investigator tried to place the laptop with the same WLAN card in the same location, it was possible that slightly shifted of laptop's placement might occur and result in different means for each day. This may be interpreted as a problem for location fingerprint where there were different means over different days. The results during the day time when people were around indicated an average of RSS that was closer to a common value of -65 dBm. Therefore, it is possible that the human factor can dominate the average RSS for the measurement at each location which can result in similar average RSS over different days. Due to limited numbers of experiments, we cannot conclude that the same average RSS will occur on each day of week.

Figure 23 plots the skewness over different times. The results in this figure show that during late night and early morning the signals are often left-skewed. The three signals shift

towards right-skewed distributions during the afternoon hours when there are more people and activity around the measurement point. This results indicate the dependency of the RSS on the change in environment. Therefore, these preliminary results suggest that the time dependency of the RSS is in fact the dependency due to the environment that changes over time.

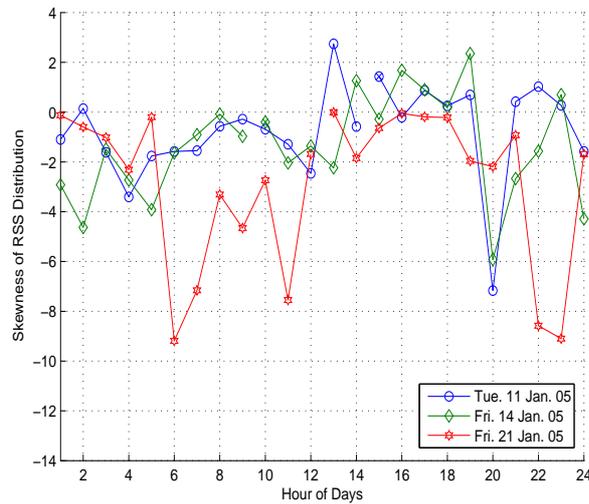


Figure 23: Skewness of RSS over 24 hours at three different days

**a. Temporal Received Signal Strength Outage** If the placement of APs was not intended for the positioning system or there are some blind spots, there is a chance that not all APs can be heard all the time by a MS. We observed this problem in our experiment at location  $L1$  where the RSS signal from SIS418 was not present all the time over a period of one hour. This causes the problem of incomplete or censored RSS vectors when detecting a location fingerprint. The effect of incomplete RSS vectors requires further investigation to determine how to handle such situations in a location estimation algorithm.

## 5. Interference and Independence

This study quantifies the interference and the independence of multiple signals within each RSS pattern by calculating the correlation coefficient between any two sequences of received

signals at a location. The correlation coefficient is reported as a real number between 0 and 1. It is calculated by  $R = \frac{\sigma_{i,j}^2}{\sqrt{\sigma_i^2 \sigma_j^2}}$  where  $\sigma_{i,j}^2$  is covariance between random variable  $i$  and  $j$ , and  $\sigma_i^2$  and  $\sigma_j^2$  are the variance of random variable  $i$  and  $j$ , respectively. If two signals have no effect on each other, the correlation coefficient will be 0. If two signals have a very strong relationship or depend on one another, the correlation coefficient will approach a value of 1. A guideline to classify the correlation coefficient of two random variables is that a value greater than 0.5 is high, 0.5-0.3 is medium, 0.3-0.1 is low, and anything smaller than 0.1 is trivial [58]. A detailed interpretation of the correlation coefficient is summarized in Table 17 [59].

Table 17: Interpretation of correlation coefficient

| Correlation Coefficient | Descriptor  |
|-------------------------|---|
| 0.0-0.1                 | trivial, very small, insubstantial, tiny, practically zero  |
| 0.1-0.3                 | small, low, minor   |
| 0.3-0.5                 | moderate, medium  |
| 0.5-0.7                 | large, high, major  |
| 0.7-0.9                 | very large, very high, huge                                 |
| 0.9-1.0                 | nearly, practically, or almost: perfect, distinct, infinite |

Samples of RSS patterns collected in both Scenario 1 and 2 are used to verify the statistical independence of signals from different access points. In Scenario 1 as described in Table 8, there are three signals that can be measured all the time which are the signals from SIS410, SIS401, and SIS501. The correlation coefficient values of two of the three signals are reported in Table 30 of APPENDIX D. Most of the correlation values (51 of them) are lower than 0.1 which means that there is very small to trivial correlation. The rest of the values (21 of them) are between 0.1 and 0.3. Only one value is larger than 0.5 but less than 0.8. From these results, it is clear that WLAN signals do not have very large correlation. Signals from different radio channels or signals from the same radio channel but different transmitters can be assumed to be uncorrelated. In the ideal case, it can be reasonably assumed that the RSS from each AP is unrelated or independent in Scenario 1.

There are two APs in Scenario 1 that use the same frequency (channel number 6), which are SIS410 and SIS501. One may think that the RSSs from these APs might interfere with each other and cause difficulty in forming the location fingerprint. However, the results in

the third column of Table 30 of APPENDIX D indicate that both RSSs have only low to tiny correlation. The signals do not interfere with each other. Therefore, access points using the same radio frequency do not have any significant impact on the location fingerprint. This could be explained by the collision avoidance mechanism of the IEEE 802.11b [48] which enables a clear signal reception.

In Scenario 2, there are six signals available inside a large hall environment as described in Table 8. Because the area in this scenario is larger than the first one, not all signals can be measured at every location. Table 31, Table 32, and Table 33 of APPENDIX D summarize the correlation coefficients for the access points with radio channels 1 and 6, 1 and 11, and 6 and 11, respectively. Table 34, Table 35, and Table 36 of APPENDIX D report the correlation coefficients for those access points using the same radio channel. Only four correlation coefficients from these six tables are in between 0.5 and 0.3, while 90 of them are in between 0.3 and 0.1 and 175 of them are less than 0.1. These results suggest that there is moderate to very small correlation between any received signal pair. Once again, these results can lead to the reasonable assumption that the RSS from each AP is unrelated or independent in Scenario 2.

## 6. Required Number of Samples

Collecting enough statistics for creating location fingerprints is the key to achieving good performance with any indoor positioning system (either distance based or probabilistic approach). If the positioning system requires only the mean values to create fingerprints, a small number of samples is sufficient since the mean is relatively constant. Ideally, we would like to have as many samples as possible. In the literature, a small number on the order of 20 samples per location and orientation is used with acceptable location determination performance in RADAR [13]. Larger numbers of samples are required for the probabilistic approach to create accurate histograms. Youssef et al [60] and Xiang et al [17] recorded 300 samples per location and orientation.

The duration of data collection in the literature are different due to the sampling period. For instance, RADAR [13] used a 0.25-second sampling period, while Xiang et al [17] used

a two-second sampling period. The sampling period is limited by either the software or the hardware. The software’s limits depend on how often can a device driver be accessed and how often the BSSID scan list is updated. Some wireless cards have the capability to scan for APs’ signal in the background [52]. Our prototype software-based indoor positioning system in Chapter V limits our access to the scanning function to one second. The hardware’s limits depend on how the vendor implements the scanning cycle and the amount of the channel dwelling time. Clearly, it is difficult to obtain these limits because the information may be proprietary for each vendor. However, Microsoft specifies that a scanning query must be returned within two seconds after the query command is initiated [52].

To study the required number of samples, we randomly select sets of RSS measurement data from all access points in both scenarios. Then, we calculate summary statistics of each AP with different numbers of samples ranging from 30, 50, 100, 150, 200, 300, and the maximum amount of collected data. Assuming that the maximum amount of collected data represents the most accurate distribution of RSS at that location, we compare the summary statistics of each number of samples. APPENDIX F lists the tables of these statistics. By inspection, it is true that only small numbers of samples (30 and 50) would be sufficient for a location fingerprint based on the mean values only. If we approximate the distribution of RSS using the lognormal distribution, the distribution can be completely described by the mean and the variance. Therefore, the convergence of standard deviation can be used as a condition to stop collecting new samples. From the tables in APPENDIX F, a number between 150 and 200 should be sufficient.

#### D. CAUSES OF ERROR IN LOCATION DETECTION

The randomness of RSS is the major cause of error in in any indoor positioning system that uses the RSS for location inference. If there was no randomness in the RSS and its location fingerprint, every indoor positioning systems of this type will have no location detection error (excellent accuracy and precision performance). In this section, we investigate the causes of error in identifying any indoor locations. First, we discuss the error based on the results

of our statistical analysis in previous section. Second, we look at the separation of RSS patterns with different physical distance separations and different numbers of access points used. Finally, we conduct a small measurement to estimate the path loss exponents which describe how much the RSS will attenuate over the actual physical distance.

### 1. Randomness of Received Signal Strength Patterns

The randomness of RSS patterns is clearly described by its probability density function (PDF) or its distribution. To understand the cause of the error, we need to understand the nature of the randomness of the RSS. Figure 24 illustrates how the RSS distribution (both mean and standard deviation) changes with the average RSS or location. The stronger the mean RSS, the larger the tail to the left and its variation. On the other hand, the weaker the mean RSS, the more the distribution becomes symmetric. Based on our measurement results, symmetric distributions can be approximated by the lognormal distribution. Three different RSS distributions are shown for comparison purposes in Figure 24.

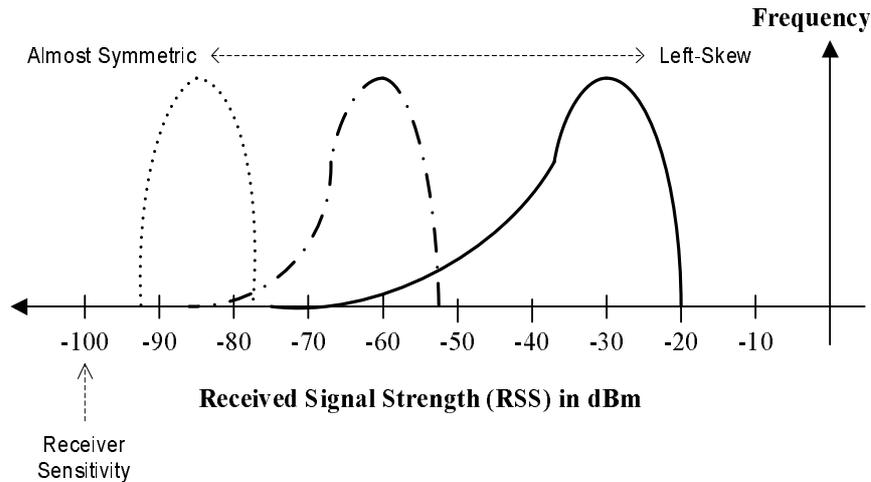


Figure 24: Unique property of RSS’s distribution measured from typical WLAN card

Since the level of RSS sometimes also represents the distance between the AP and the mobile, this phenomenon suggests that the location detection error will be more likely to make an error away from the AP. The closer the location (stronger RSS), the more difficult

it is to identify the location. One of possible reason behind the change in distribution is the non-linear mapping between the actual RF energy and the reported RSS values of WLAN’s card. Intuitively, the weaker the received signal level, the more difficult it should be for the WLAN’s card to be able to differentiate it. Ideally, if we have a perfect measurement tool that can measure small RF energy, we expect the distribution of RSS to be left-skewed across all RSS levels.

## 2. Separation of Location Fingerprints

The performance of indoor positioning systems depends greatly on the separation of location fingerprints. A location fingerprint corresponding to a location can be identified correctly if it is difficult to classify it (incorrectly) as another fingerprint by a pattern classifier. Note that we do not specify any classifier at this point. In this section, patterns of RSS collected at certain locations are plotted to visualize the separation of location fingerprints.

Theoretically, a change in RSS is proportional to the logarithm of the distance between a transmitter and a receiver. Therefore, two different locations with different distances from the same AP should have different average RSS values. However, in practice the RSS is a random variable that has its value fluctuating around the average value due to the dynamics in the environment. These fluctuating values can be grouped together as patterns of RSS at a particular location.

To investigate how the patterns of RSS at different locations may appear, we consider samples of RSS at two locations in the environment of Scenario 1. Location  $L2$  and  $L3$  in Figure 5 are used and they are separated by approximately 18 ft (5.5 m). The means and standard deviations of RSS at these two locations are summarized in Table 18. A simple Euclidean distance calculation gives a signal distance of 18.52; however, this distance should not be confused with the actual physical distance between two locations.

A two dimensional plot of samples of RSS from two APs (SIS410 and SIS401) are shown in Figure 25. The patterns of RSS values at Location 2 are denoted by  $\times$ , while those at Location 3 are denoted by  $\circ$ . The group of patterns at each location can be called the location fingerprint of that particular location. From the plot, patterns of each location can

Table 18: Sample statistics in dBm of two different locations

| Statistics                    | SIS410 | SIS401 | SIS501 |
|-------------------------------|--------|--------|--------|
| <b>L2: Sample mean</b>        | -43.60 | -79.76 | -79.68 |
| <b>L2: Standard deviation</b> | 3.27   | 1.24   | 1.62   |
| <b>L3: Sample mean</b>        | -57.38 | -75.77 | -67.97 |
| <b>L3: Standard deviation</b> | 2.67   | 1.83   | 1.36   |

be grouped together as a cluster. It is not surprising that the patterns of RSS vectors exhibit a clustering property because the RSS has limited range and the signal often concentrates around some average value as discussed in Section III.C. Because the spreading of patterns is different for each RSS element and the spreading is not symmetric (due to the left-skewness), the cluster is not a perfect circle.

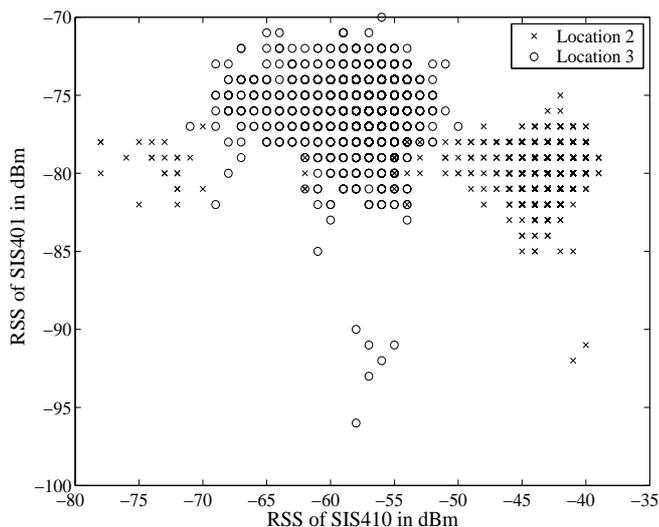


Figure 25: RSS fingerprints with two elements

Figure 25 indicates that the RSS's patterns can be separated by some discriminant functions or clustering techniques to create a location fingerprint for each location. However, there are small groups of patterns which are located farther away from the main clusters of both locations. In fact, these outliers have very small frequencies, but may cause some

error when they do occur in determining the correct location using the Euclidean distance. Note that Location 3 consists of 3666 samples and Location 2 consists of 3465 samples. Only certain patterns are present in the plot which implies that there are only a few unique patterns for each location. However, the plot does not show the density of each pattern.

Figure 26 plots the the frequency of occurrence of each sample pattern. The patterns near the center of each cluster do have very high frequencies of occurrence; therefore, the average or mean value of RSS could represent the RSS patterns very well. This visualization suggests that we may use the center of the cluster as a representative of the location fingerprint instead of the distributions of all RSS features. It also suggests that only two APs may be sufficient for a small number of locations (which is another advantage of this technique over the AOA and TDOA which require a triangulation of at least three APs). The edge of a cluster could be drawn from the valleys in between any two clusters. The edge between any two clusters can be used as the discriminant line for pattern classification.

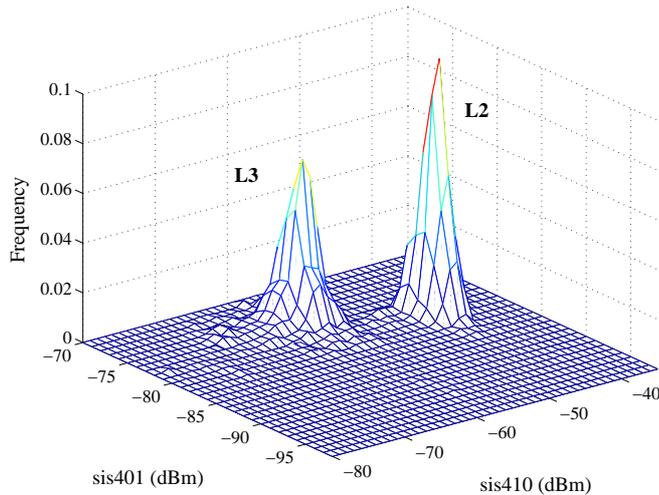


Figure 26: Two clusters with frequency of RSS fingerprints with two RSS elements

Based on the above visualization, two locations become difficult to identify if they are closer together and the distributions of their RSS's patterns significantly overlap. To illustrate this problem, Figure 27 shows two-dimensional plots of patterns from four pairs of locations that are separated by one, two, three, and four meters in Scenario 1. Highly over-

lapping patterns in the subplots of one meter and two meters separation suggest that using two signals is insufficient to identify two locations separated by this distance. This finding is not always true because patterns could still overlap for any two locations that have the same average RSS from two APs.

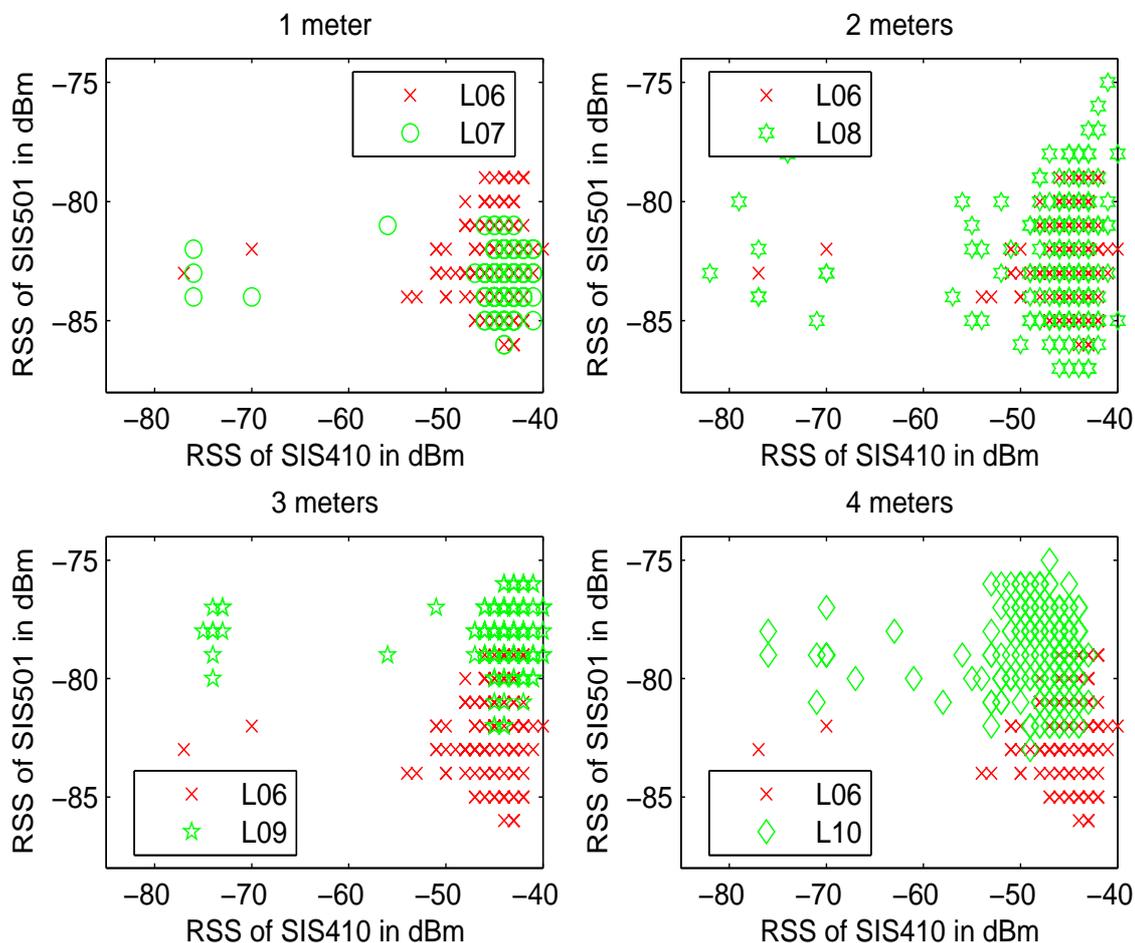


Figure 27: Separation problem of two locations in Scenario 1 with SIS410 and SIS501

Figure 28 shows a similar problem at the same locations of Figure 27. However, there is a small difference between these two figures. The AP SIS410 has a larger signal variation than the AP SIS401. Notice that the main cluster of SIS410's signal spreads approximately 10 dBm on the abscissa, while the main cluster of SIS401's signal spreads only approximately 5 dBm. Separation of patterns in Figure 28 is much easier than in Figure 27 for a separating distance of two meters or more. This observation suggests that signals with larger standard

deviations (or variance) will make it more difficult to perform location identification.

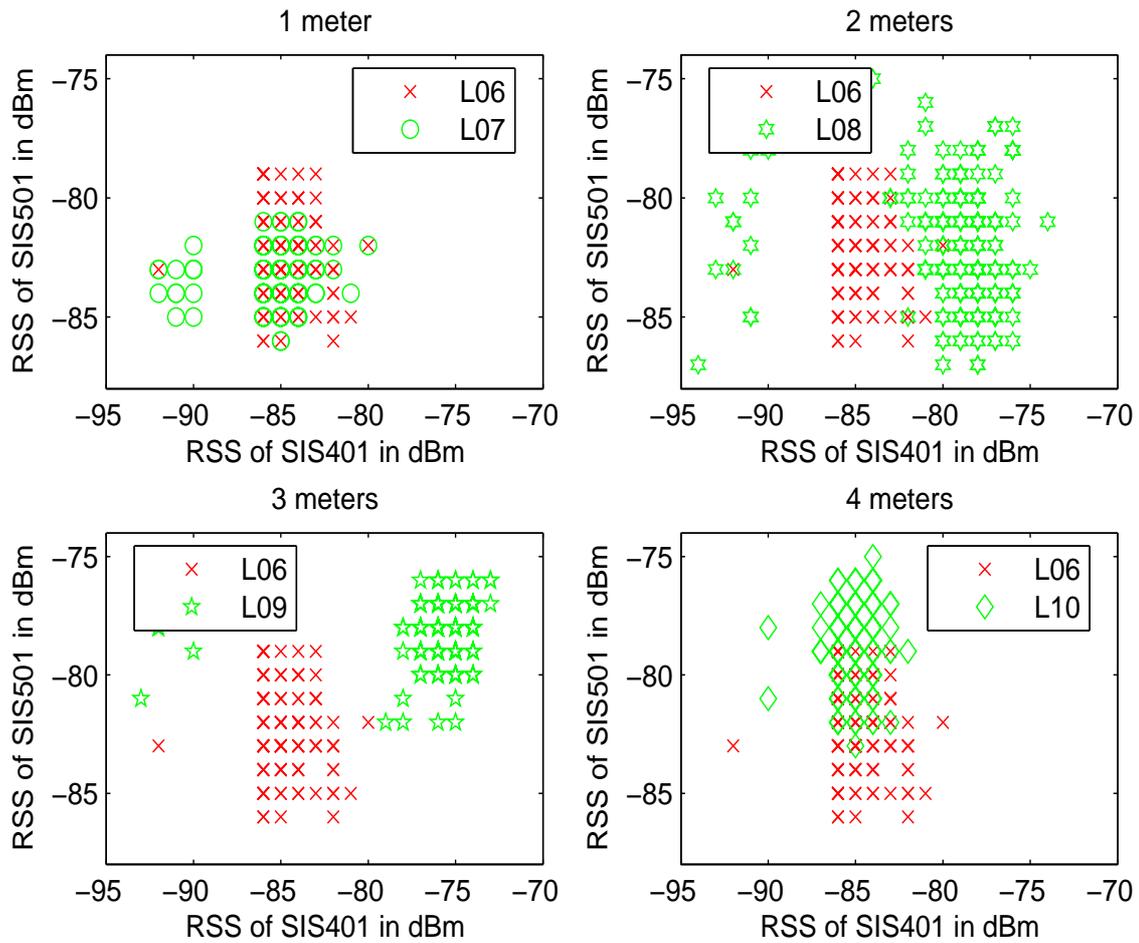


Figure 28: Separation problem of two locations in Scenario 1 with SIS401 and SIS501

In real situations, the number of locations that need to be identified is much more than two (on the order of hundreds per floor). Increasing the number of access points is one way of separating two location fingerprints further. The overlap between the patterns in Figure 27 becomes a lesser problem for the location discovery algorithm as the number of RSS elements increases. This can be depicted in Figure 29 when we plot the three dimensions for the RSS patterns with the addition of the signal from SIS501. Note that it is impossible to illustrate the frequency of each pattern in this case, but we may deduce from the previous two figures that the highest frequency of occurrence will be at the center of each cluster.

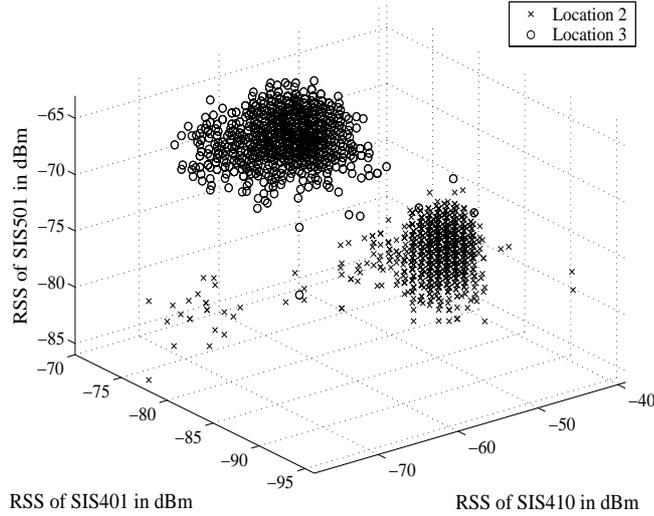


Figure 29: RSS fingerprints with three elements

### 3. Separation by Path Loss in Signal Propagation

Path loss is one of the basic signal propagation properties<sup>3</sup> of the RSS that describes attenuation of transmit signal power over a distance between an access point and a mobile device with WLAN card. Traditionally, a path loss model can be used to predict the average received signal power at a distance from a transmitter [46]. Using one of the path loss models in [46], we can determine how the location fingerprints of two locations are separated. If the attenuation of signal is small, any two location fingerprints will be difficult to identify. If the attenuation of signal is larger, two locations which are close together will be separated in signal space easily because the values of average RSS at these locations will be significantly different. A simplified path loss model, which is sufficient for high-level analysis in this study as shown by the comparison results between the simulation model and the prototype system in Chapter V, can be written as a relationship between the received signal strength and the physical distance from the transmitter: [61]

$$Pr(d_{AP,MS}) = Pt - Pl(d_0) - 10 \cdot \alpha \cdot \log_{10}(d_{AP,MS}), \quad (\text{III.1})$$

<sup>3</sup>Other signal propagation properties are the shadowing effect and small-scale fading effect.

where  $Pr(d_{AP,MS})$  is the average received signal strength in dBm,  $Pt$  is the average transmit power of the access point,  $Pl(d_0)$  is the free-space path loss at the reference distance of  $d_0 = 1$  m,  $\alpha$  denotes the path loss exponent and  $d_{AP,MS}$  is the distance between transmitter and receiver in meters.

Using the WLAN card to measure the RSS at different distances from an access point, we can determine the path loss exponent in Equation III.1 for both indoor environments in Section III.A.1. A small measurement experiment is done by using our own RSS measurement program to collect 30 samples of RSS per location. The average RSS is calculated for each location and plotted against  $10 \times \log_{10}(d_{AP,MS})$ .

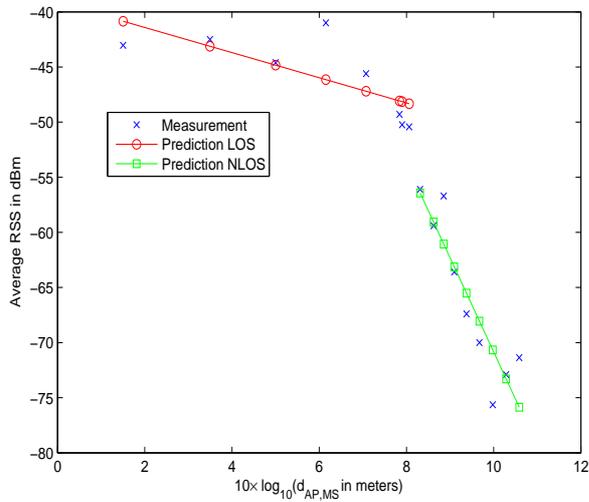


Figure 30: Path loss propagation of SIS401 in Scenario 1

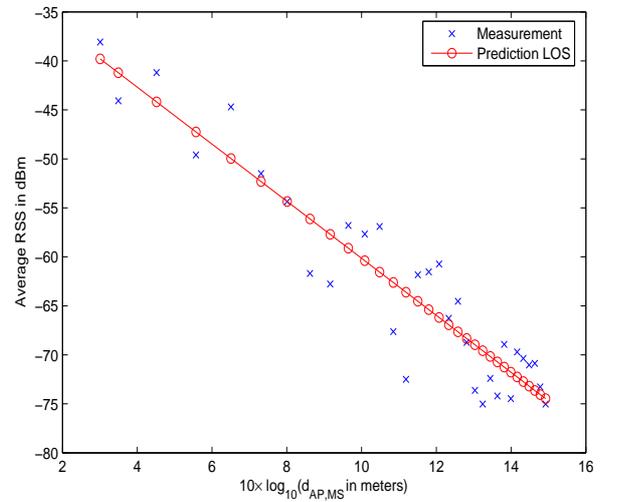


Figure 31: Path loss propagation of hl2-b-card1 in Scenario 2

Figure 30 shows the relationship between the RSS and the logarithm of the distance in a small office environment from 17 locations in Scenario 1. The signal is measured from the AP SIS410 in Figure 5. The first eight locations have direct line-of-sight (LOS) between the AP and the IBM laptop, while the rest of the locations do not have direct line-of-sight (NLOS). Therefore, we divide the regression analysis into two sections: the LOS and the NLOS parts. The path loss exponent  $\alpha$  for LOS locations is 1.14 and the path loss exponent for NLOS locations is 8.55. Note that the indoor path loss exponent is reported in [62] to be in between 1 and 6. The  $Pt - Pl(d_0)$  for LOS is -39.13 dBm and for NLOS is 14.68 dBm.

Figure 31 depicts the relationship between the RSS and the logarithm of distance in a large hall environment from 32 locations in Scenario 2 where there is no obstruction between the AP (hl2-b-card1) and the IBM laptop at all locations. Using linear regression analysis, we found that the  $P_t - P_l(d_0)$  is approximately -31.03 dBm and  $\alpha$  is 2.91.

The results in Figure 30 and Figure 31 depict the difference in the environment. For the open space environment where there is little indoor obstruction, the attenuation is similar over all distance and area. Thus, the location detection will have the same level of error almost everywhere. For the small office environment where there are several indoor obstructions, areas near APs (LOS portion) which have small attenuation will have more location detection errors than the areas farther away from APs (NLOS portion).

## E. SUMMARY OF ANALYSIS RESULTS

The analysis results in this chapter reveal characteristics of RSS and its patterns beyond the general knowledge of the traditional wireless communications. The experiments in this study are different from other indoor RF experiments such as in [63] because the WLAN card is not a proper tool for accurate signal measurement as a vector signal analyzer. In particular, the vendor-specific RSS measurable range is the key to understand the shape of the RSS distribution. Important characteristics summarized in this section will be used to justify our realistic mathematical model of location fingerprint in the next section.

- The average RSS is usually modeled by a lognormal distribution which is symmetric around a mean value [12], but our measurement results show that the distributions are often left-skewed. However, some distributions with a weak mean RSS could be approximated by the lognormal distribution. Distributions of the signal from the same AP can have different shapes for different average values as shown by the skewness in Figure 15 and Figure 16. Signals with weak power often have symmetric histograms while signals with strong power often have highly left-skewed histograms.
- The standard deviation or variance of RSS can be different for signals from different APs within the same building. The standard deviation of signals from the same AP also varies

with the location as shown in [APPENDIX B](#). The measurement results suggest that the signal from APs at locations with direct line-of-sight (LOS) often has a large standard deviation. On the other hand, the signal at non-line-of-sight (NLOS) locations often has smaller standard deviations. In large building environments such as in Scenario 2, the average of the standard deviation<sup>4</sup> is smaller than in small building environments such as in Scenario 1. We found that in Scenario 2 the average standard deviation of signals from all APs are close together, while in Scenario 1 the average standard deviations are quite different.

- When we consider the RSS at a location as a random process, we found that the random process is typically non-stationary. Although the mean usually stays around the same value, the variance could shift over long periods of time such as different hours of the day. Moreover, the autocorrelation (autocovariance) function does not have the same shape for each separate time-series. Changes in environment such as a human’s movement or furniture relocation could also change the mean RSS. These non-stationary properties indicate the difficulty in modeling RSS fingerprints for indoor positioning systems.
- Signals from different APs within the range of reception can be considered as independent because the correlation coefficient between any pair of signals is often small or trivial. The interference between the signals using the same radio frequency does not have any strong correlation, thus the interference by the co-channel signals may have little effect on the formation of the location fingerprint. The independence of signals is most likely a result of the collision avoidance mechanism of the medium access control protocol in 802.11.
- The RSS patterns for a given location usually cluster around one or more values near center of patterns that have high frequency of occurrence as shown in [Figure 26](#). This confirms that a vector of average values of RSS can be used to represent a location fingerprint or a vector of means as done by RADAR system [[13](#)].
- There are two ways to improve the separation of RSS patterns: increase the distance between two locations or add additional access points into the pattern consideration.

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<sup>4</sup>Although average of standard deviation should not be calculated as a summary statistics, this study does so in order to find a representative value to model the whole scenario.

## F. IMPLICATION ON MODELING OF LOCATION FINGERPRINT

To model the indoor positioning system based on location fingerprints, we need basic mathematical assumptions for location fingerprints. This section lists the set of assumptions that are derived from the above analyses. These assumptions are proposed for their mathematical tractability while still reflecting real RSS patterns to the extent possible.

- First of all, we assume that the random RSS is a stationary process. This is done to eliminate time dependency properties of the RSS. We have seen that the mean is mostly invariant over time. While the standard deviation will affect the performance, using larger standard deviations will keep our performance results conservative.
- Each RSS element  $\rho_i$  in the location fingerprint  $\mathcal{F} = (\rho_1, \rho_2, \dots, \rho_N)^T$  is assumed to be a lognormally distributed random variable which can be described by a mean and a variance. Although measurement results show that the majority of RSS distributions are non-symmetric and left-skewed, there are no well-known left-skewed distributions available in the literature. Thus, it is difficult to construct mathematical analysis based on a left-skewed distribution. When each RSS is assumed to be a lognormally distributed random variable, the location fingerprint becomes a multivariate lognormal random variable or vector of  $N$  random variables from  $N$  APs. We will see in the next chapters that this assumption gives a reasonable approximation of the performance.
- The (sample) mean  $\rho_n$  of each RSS (lognormal distribution) is assumed to be constant for each location and corresponding fingerprint.
- The (sample) standard deviation  $\sigma_i$  of each RSS (lognormal distribution) is assumed to be constant for each AP's signal but can be different from one AP to another AP,  $\sigma_i \neq \sigma_j$ . This assumption will cause our model to have less error in location detection because we did not model the location-dependent standard deviation as described in Figure 24. For further simplification, we also assume that the sample standard deviation is identical for all locations and all APs in order to study the effect of one variable for the whole system.
- All AP's signals are assumed to be independent. However, the correlation between each pair of AP's signal can be represented by a covariance  $\sigma_{ij}$  in a covariance matrix  $\mathcal{C}$  which

is constant and does not shift over time for all locations,  $\mathcal{C} = E\{(\mathcal{S} - \mathcal{F})(\mathcal{S} - \mathcal{F})^T\}$ . This can be used to capture the small effects of correlation.

- The location fingerprint vector  $\mathcal{F}$  is the vector of the true mean of the multivariate Gaussian random variable  $\mathcal{S}$  or sample RSS pattern which is measured during the on-line phase,  $\rho_i = E\{s_i\}$  from access point  $i$ . We assume that the mean vectors do not shift over time.

## G. CONCLUSIONS

We presented an initial analysis of the RSS values reported by an 802.11b NIC commonly used in indoor location systems based on location fingerprinting. We pointed out that the user's presence should be taken into account when collecting the location fingerprint. In some cases, the RSS value from one AP may be missing from the RSS vector just because of the user's orientation. The effect of user's orientation is significant and the orientation should be recorded in the database. The make of the wireless card which has a better range and smaller signal variation should be selected for indoor positioning systems. The same wireless card should be used for fingerprint collection and location detection.

We also analyzed the statistical properties of the RSS and we found that the RSS process is non-stationary. The mean and the variance of the RSS could be shifted by a change in environment which is uncontrollable as shown in Figure 20. The distribution of the RSS is not lognormal or Gaussian, it is often left-skewed and the standard deviation varies according to the signal level. We also considered the time dependency and the outage of the RSS. However, more data analysis would require to make concrete conclusion about the time dependency and temporal outage of RSS. It is clear from our measurement that signals from multiple APs are mostly independent and the interference from the AP using the same frequency does not have a significant impact on the RSS pattern. The visual presentations of the RSS pattern in Section III.D.2 shows that the fingerprint can be grouped together as a set of clusters. More than one cluster may represent one location. Since the RSS pattern at a particular location may have more than one cluster, using a simple Euclidean distance

as in [13] to determine the location may classify some patterns into a wrong location easily. This causes the poor performance of the Euclidean distance technique. The next chapter will consider modeling the distribution of the RSS and understanding how it impacts position location.

## IV. MODELING OF THE POSITIONING SYSTEM

The review of literature in Chapter II pointed out that there was little interest in finding theoretical explanations for the performance of indoor positioning systems. This study realizes the necessity of a mathematical framework that can be used to explain, model and/or predict the performance of indoor positioning systems based on the location fingerprinting technique. In particular, efficiently designing such systems requires a more detailed understanding of the relationship between system parameters and the system performance. Using the results from extensive measurements in Chapter III, this chapter develops a model that closely approximates a real positioning system. This chapter presents the analysis of indoor positioning systems using this model and focuses on how we can describe the indoor positioning system based on location fingerprinting technique. The discussion of both analytical and simulation models in this chapter will serve as a framework for providing a set of design guidelines in Chapter V.

First, Section IV.A starts with the basic analysis of classification of location fingerprints which is a form of a statistical decision problem (deciding which RSS pattern belongs to which locations). We point out the difficulty of modeling the classification of real location fingerprints. Then, we apply assumptions from the previous chapter to model the location fingerprints and the system as a whole to understand the performance. In Section IV.B, we describe the expressions that can be used to approximate the precision performance of indoor positioning system. Then, with mathematically tractable assumptions, we present a performance evaluation of an indoor positioning system based on the Euclidean distance algorithm in Section IV.C. The exact probability of returning the correct location for a simplified two-location system is derived. We extend the results to approximate the same probability for a system with a larger number of locations. Because the analysis is limited

to the probability which is a form of location precision, we suggest the use of a simulation model in Section IV.D to plot the error distance distribution to enable the estimation of both accuracy and precision performance. Finally, we discuss the limitations of our analytical and simulation tools and compare them with a real system in Section IV.E.

### A. MODEL FROM PATTERN CLASSIFICATION

Assume we have a simple indoor positioning system with only two locations denoted as  $k$  and  $l$ . The locations are separated by an actual physical distance of  $d$  meters. The location fingerprint corresponding to each location can be described using unknown distributions with a mean vector  $\mathcal{F}$  and a covariance matrix  $\mathcal{C}$ . The description of the mean vector and covariance matrix are explained in Chapter II. With  $N$  access points serving these two locations, the mean vectors and the covariance matrices ( $\mathcal{F}_k$ ,  $\mathcal{F}_l$ ,  $\mathcal{C}_k$ , and  $\mathcal{C}_l$ ) have dimensions of  $[N \times 1]$  and  $[N \times N]$ , respectively.

During the online (location determination) phase, the positioning system determines the probability of whether a sample RSS vector  $\mathcal{S}$  belongs to one of the two locations. If the sample RSS vector  $\mathcal{S}$  has a higher probability of belonging to one location than another location, the system will report the location with larger probability as the estimated location. The probability density functions (PDF) for the sample RSS vector  $\mathcal{S}$  when it is measured at location  $k$  and  $l$  are denoted as  $p(\mathcal{S}|\mathcal{F}_k)$  and  $p(\mathcal{S}|\mathcal{F}_l)$ , respectively. These two PDFs are likelihood functions and the ratio of these two functions is called likelihood ratio,  $\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)}$ . The likelihood ratio can be used to determine if the sample is more likely to belong to one of the two locations.

To determine whether the location detection is correct or not, the likelihood ratio is compared with a threshold. This threshold is the ratio between a priori probabilities  $p(\mathcal{F}_k)$  and  $p(\mathcal{F}_l)$ . The a priori probability is the probability that a mobile device may dwell in any one of these two locations. The threshold is defined as  $\left(\frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)}\right)$  [34].

Note that we assume a zero-one or symmetric loss function<sup>1</sup> here. For indoor positioning

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<sup>1</sup>Loss function specifies the loss associated with the decision of a classifier when choosing one pattern

with two locations, it is reasonable to assume the zero-one loss function because choosing the correct location incurs no loss and choosing any incorrect locations incurs the same loss. The comparison of the likelihood ratio and the threshold is a Bayes minimum error classifier [34]:

$$\left(\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)}\right) > \left(\frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)}\right). \quad (\text{IV.1})$$

The location *accuracy performance* can be derived from the probability that the positioning algorithm misclassifies one location fingerprint for another. For a two-location system, the accuracy can be calculated from two error probabilities: the probability of misclassifying a pattern as belonging to fingerprint  $k$  when it comes from  $l$ ,  $p\left(\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)} > \frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)} \mid \mathcal{F}_l\right)$ , and the probability of misclassifying a pattern as belonging to fingerprint  $l$  when it comes from  $k$ ,  $p\left(\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)} < \frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)} \mid \mathcal{F}_k\right)$ . Using these two probabilities, the probability of returning the correct location is [34]:

$$\begin{aligned} \mathcal{P}_c &= 1 - \mathcal{P}_e \\ &= 1 - \left[ p(\mathcal{F}_k) p\left(\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)} < \frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)} \mid \mathcal{F}_k\right) + p(\mathcal{F}_l) p\left(\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)} > \frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)} \mid \mathcal{F}_l\right) \right] \end{aligned} \quad (\text{IV.2})$$

We have learned from the previous chapter that most of the PDFs of the RSS cannot be characterized by any well-known or standardized distribution. The RSS's distribution often exhibits left-skewness and varies according to its average value or its location. It becomes even more difficult to find the joint probability density function for the  $N$ -variate RSS random variable (even if the distributions are assumed to be independent). Therefore, the probability in Equation IV.2 cannot be determined if we do not use well-known distributions. Fortunately, a portion of the analysis results in Section III.C.1 suggests that we could approximate some of the RSS distribution with a lognormal distribution (normal distribution in dBm). This enables us to model the indoor location fingerprint with the nice mathematical structure of the normal or Gaussian distribution. The elements inside the sample RSS vector  $\mathcal{S} = [s_1, s_2, \dots, s_N]^T$ , the mean vector  $\mathcal{F} = [\rho_1, \rho_2, \dots, \rho_N]^T$  and the covariance matrix  $\mathcal{C}$  of the location fingerprint can have the following assumptions based on our analysis in Section III.F.

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( $k$ ) over another pattern ( $l$ ). Zero-one loss function  $L_{kl}$  is defined as 1 when  $k \neq l$  and 0 when  $k = l$ . The positive loss represents a true loss while the zero loss represents a gain [34].

- The random variables  $s_i$  (in dBm) for all  $i$  are mutually independent.
- The random variables  $s_i$  are lognormally (or Gaussian in dBm) distributed.
- The true mean of the random variable  $s_i$  or  $E\{s_i\}$  is denoted as  $\rho_i$  (in dBm).
- The (sample) standard deviation of all the random variables  $s_i$  is assumed to be identical and denoted by  $\sigma$  (in dBm). The variance on the diagonal of covariance matrix are all  $\sigma^2$ .
- Since the random variables  $s_i$  are mutually independent, the covariance elements in the off-diagonal of the covariance matrix are all zeroes.

Note that the comparison of the probabilities that a sample vector  $\mathcal{S}$  belongs to one of the location fingerprints is the key mechanism used by positioning systems with probabilistic approach discussed in Chapter II. In practice, the real RSS distribution is estimated or collected with histograms. The performance of the probabilistic approach using the real RSS distribution will be better than our model here owing to the asymmetry in the distributions as discussed in Chapter III.

## 1. Probabilistic Approach with Lognormal Assumption

The system model of indoor positioning with the simplified assumptions becomes a system of a Bayes classifier for normal patterns [34]. We can derive the probability of returning a correct location for the two-location positioning system by starting from two probability density functions of the sample RSS vector  $\mathcal{S}$ . The PDFs of  $\mathcal{S}$  when it is measured at location  $k$  and  $l$  can be written as [34]:

$$p(\mathcal{S}|\mathcal{F}_k) = \frac{1}{2\pi^{N/2}|\mathcal{C}_k|^{1/2}} \cdot e^{[-\frac{1}{2}(\mathcal{S}-\mathcal{F}_k)^T\mathcal{C}_k^{-1}(\mathcal{S}-\mathcal{F}_k)]}, \quad \text{and} \quad (\text{IV.3})$$

$$p(\mathcal{S}|\mathcal{F}_l) = \frac{1}{2\pi^{N/2}|\mathcal{C}_l|^{1/2}} \cdot e^{[-\frac{1}{2}(\mathcal{S}-\mathcal{F}_l)^T\mathcal{C}_l^{-1}(\mathcal{S}-\mathcal{F}_l)]}. \quad (\text{IV.4})$$

Equation IV.3 and Equation IV.4 are likelihood functions. We can apply the logarithm function to simplify the analysis of the exponential form of the likelihood ratio as [34]:

$$\ln\left(\frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)}\right) = \left[-\frac{1}{2}(\mathcal{S}-\mathcal{F}_k)^T\mathcal{C}_k^{-1}(\mathcal{S}-\mathcal{F}_k)\right] + \ln\left(\frac{|\mathcal{C}_l|^{-1/2}}{|\mathcal{C}_k|^{-1/2}}\right) - \left[-\frac{1}{2}(\mathcal{S}-\mathcal{F}_l)^T\mathcal{C}_l^{-1}(\mathcal{S}-\mathcal{F}_l)\right]. \quad (\text{IV.5})$$

Since the covariance matrices are assumed to be the same for all location fingerprints,  $\mathcal{C} = \mathcal{C}_k = \mathcal{C}_l \ \forall k, l$ . With the assumption of independence between any pair of access points, the off-diagonal values of the covariance matrix become all zero. Thus, the covariance matrix is  $\sigma^2 \mathbf{I}$  where  $\mathbf{I}$  is the identity matrix. Applying these two assumptions into Equation IV.5 to simplify the analysis, we have the log-likelihood ratio denoted as  $\eta_{kl}$  and can be written as:

$$\begin{aligned} \eta_{kl} &= \ln \left( \frac{p(\mathcal{S}|\mathcal{F}_k)}{p(\mathcal{S}|\mathcal{F}_l)} \right) \\ &= \left[ -\frac{1}{2}(\mathcal{S} - \mathcal{F}_k)^T \mathcal{C}^{-1}(\mathcal{S} - \mathcal{F}_k) \right] - \left[ -\frac{1}{2}(\mathcal{S} - \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{S} - \mathcal{F}_l) \right]. \end{aligned} \quad (\text{IV.6})$$

The right-hand side terms in Equation IV.6 can be expanded and manipulated as follows:

$$\begin{aligned} \eta_{kl} &= -\frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1} \mathcal{S} + \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1} \mathcal{F}_k + \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{S} - \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_k + \\ &\quad \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1} \mathcal{S} - \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1} \mathcal{F}_l - \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{S} + \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_l \\ &= \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1} \mathcal{F}_k + \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{S} - \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_k - \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1} \mathcal{F}_l - \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{S} + \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_l \\ &= \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) + \frac{1}{2}(\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1} \mathcal{S} - \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_k + \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_l. \end{aligned} \quad (\text{IV.7})$$

Applying the identity  $\mathbf{a}^T \mathcal{C}^{-1} \mathbf{b} = \mathbf{b}^T \mathcal{C}^{-1} \mathbf{a}$  where  $\mathbf{a}$  and  $\mathbf{b}$  are vectors and  $\mathcal{C}$  is a positive definite matrix into Equation IV.7 and then adding  $\frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_l$  and subtracting  $\frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_l = \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_k$  in Equation IV.7, we have:

$$\begin{aligned} \eta_{kl} &= \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) + \frac{1}{2}\mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_k + \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_l \\ &= \mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_k + \left( \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1} \mathcal{F}_l - \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_k \right) + \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1} \mathcal{F}_l \\ &= \mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}\mathcal{F}_k^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}\mathcal{F}_l^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) \\ &= \mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}(\mathcal{F}_k + \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l). \end{aligned} \quad (\text{IV.8})$$

The result on the right-hand side of Equation IV.8 is a summation transformation of the  $N$  Gaussian random variables in the vector  $\mathcal{S}$  which results in another Gaussian random variable [34]. This new Gaussian random variable can be described by its mean  $E_k[\eta_{kl}]$

and its variance  $Var_k[\eta_{kl}]$  with respect to the location fingerprint  $\mathcal{F}_k$ . The mean of the log-likelihood ratio is [34]:

$$\begin{aligned}
E_k[\eta_{kl}] &= E_k[\mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}(\mathcal{F}_k + \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l)] \\
&= \mathcal{F}_k^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \frac{1}{2}(\mathcal{F}_k + \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) \\
&= \frac{1}{2}(\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l).
\end{aligned} \tag{IV.9}$$

The variance of the log-likelihood ratio is [34]:

$$\begin{aligned}
Var_k[\eta_{kl}] &= E_k[(\eta_{kl} - E_k[\eta_{kl}])^2] \\
&= E_k[(\mathcal{S}^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l) - \mathcal{F}_k^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l))^2] \\
&= E_k[(\mathcal{S} - \mathcal{F}_k)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l)(\mathcal{S} - \mathcal{F}_k)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l)] \\
&= E_k[(\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{S} - \mathcal{F}_k)(\mathcal{S} - \mathcal{F}_k)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l)] \\
&= (\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l).
\end{aligned} \tag{IV.10}$$

Note that the last line is the result of the covariance matrix expression which is  $\mathcal{C} = E_k[(\mathcal{S} - \mathcal{F}_k)(\mathcal{S} - \mathcal{F}_k)^T]$ . The common term  $(\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l)$  in both the mean and the variance is similar to Equation II.6 described in Chapter II. This term is called the Mahalanobis distance between the two densities of the location fingerprints  $p(\mathcal{S}|\mathcal{F}_k)$  and  $p(\mathcal{S}|\mathcal{F}_l)$ . If we denote the Mahalanobis distance as  $H_{kl} = (\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1}(\mathcal{F}_k - \mathcal{F}_l)$ , the mean and the variance of the log-likelihood ratio  $\eta_{kl}$  when the pattern  $\mathcal{S}$  corresponds to the location fingerprint  $\mathcal{F}_k$  are [34]:

$$E_k[\eta_{kl}] = \frac{1}{2}H_{kl}, \quad \text{and} \tag{IV.11}$$

$$Var_k[\eta_{kl}] = H_{kl}. \tag{IV.12}$$

The total probability of error for a two-location system can be derived from two conditional distributions that compare between log-likelihood ratio  $\eta_{kl}$  and the logarithm of the threshold denoted as  $\gamma = \ln\left(\frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)}\right)$ . First, the distribution of  $p(\eta_{kl} > \gamma | \mathcal{F}_k)$  is  $\mathcal{N}\left(\frac{1}{2}H_{kl}, H_{kl}\right)$  when the sample RSS vector  $\mathcal{S}$  comes from fingerprint  $\mathcal{F}_k$  [34]. Second, the distribution of

$p(\eta_{kl} < \gamma | \mathcal{F}_l)$  is  $\mathcal{N}(-\frac{1}{2}H_{kl}, H_{kl})$  when the sample RSS vector  $\mathcal{S}$  comes from fingerprint  $\mathcal{F}_l$  [34]. These two probabilities can be calculated as:

$$\begin{aligned} p(\eta_{kl} > \gamma | \mathcal{F}_k) &= \int_{\gamma}^{\infty} \frac{1}{\sqrt{2\pi H_{kl}}} \exp\left[-\frac{(\eta_{kl} + \frac{1}{2}H_{kl})^2}{2H_{kl}}\right] \\ &= 1 - \Phi\left(\frac{\gamma + \frac{1}{2}H_{kl}}{\sqrt{H_{kl}}}\right), \end{aligned} \quad (\text{IV.13})$$

and

$$\begin{aligned} p(\eta_{kl} < \gamma | \mathcal{F}_l) &= \int_{-\infty}^{\gamma} \frac{1}{\sqrt{2\pi H_{kl}}} \exp\left[-\frac{(\eta_{kl} - \frac{1}{2}H_{kl})^2}{2H_{kl}}\right] \\ &= \Phi\left(\frac{\gamma - \frac{1}{2}H_{kl}}{\sqrt{H_{kl}}}\right), \end{aligned} \quad (\text{IV.14})$$

where  $\Phi$  is defined as:

$$\Phi(\eta) = \int_{-\infty}^{\eta} \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{y^2}{2}\right) dy. \quad (\text{IV.15})$$

Substituting the results from Equation IV.13 and Equation IV.14 into Equation IV.2, we have:

$$\mathcal{P}_c = 1 - \mathcal{P}_e = 1 - p(\mathcal{F}_k) \Phi\left(\frac{\gamma - \frac{1}{2}H_{kl}}{\sqrt{H_{kl}}}\right) + p(\mathcal{F}_l) \left[1 - \Phi\left(\frac{\gamma + \frac{1}{2}H_{kl}}{\sqrt{H_{kl}}}\right)\right]. \quad (\text{IV.16})$$

When assuming that a priori probabilities are equal for every location  $p(\mathcal{F}_k) = p(\mathcal{F}_l)$ ,  $\forall k, l$ , the logarithm of the threshold  $\gamma = \ln\left(\frac{p(\mathcal{F}_l)}{p(\mathcal{F}_k)}\right) = \ln(1)$  becomes zero and for the two-location system  $p(\mathcal{F}_k) = p(\mathcal{F}_l) = \frac{1}{2}$ . The probability of returning the correct location can be calculated by:

$$\mathcal{P}_c = 1 - \mathcal{P}_e = 1 - \frac{1}{2} \Phi\left(-\frac{1}{2}\sqrt{H_{kl}}\right) - \frac{1}{2} \left[1 - \Phi\left(\frac{1}{2}\sqrt{H_{kl}}\right)\right] \quad (\text{IV.17})$$

For a positioning system with more than two locations, the positioning algorithm based on the probabilistic approach has to calculate the discriminant function according to the Bayes's decision rule for all location fingerprints:  $d_l(\mathcal{S}) = p(\mathcal{S} | \mathcal{F}_l) p(\mathcal{F}_l)$ ,  $l = 1, 2, \dots, M$ . By taking the natural logarithm of this decision function and substituting Equation IV.4 into the decision function, we have a decision function that minimizes the average cost of missclassification:

$$d_l(\mathcal{S}) = \ln p(\mathcal{F}_l) - \frac{N}{2} \ln 2\pi - \frac{1}{2} \ln |\mathcal{C}| - \frac{1}{2} [(\mathcal{S} - \mathcal{F}_l)^T \mathcal{C}^{-1} (\mathcal{S} - \mathcal{F}_l)] \quad (\text{IV.18})$$

Since we assume that all locations are equally likely, the a priori probabilities  $p(\mathcal{F}_l)$  are equal and can be dropped from consideration. Moreover, the second and the third terms on the right-hand side of Equation IV.18 do not depend on the location in this model and they can be dropped from our consideration. The last term is the Mahalanobis distance between a sample pattern and a location fingerprint that is used to determine the estimated location.

$$D_l(\mathcal{S}) = [(\mathcal{S} - \mathcal{F}_l)^T \mathcal{C}^{-1} (\mathcal{S} - \mathcal{F}_l)] \quad (\text{IV.19})$$

This result indicates that the Mahalanobis distance could be used as an alternative to the Euclidean distance to determine the location in indoor positioning systems. The improvement provided by the Mahalanobis distance lies in its use of the covariance matrix to adjust the distance metric. Thus far, the Mahalanobis distance has not been used by any indoor positioning systems based on location fingerprint technique. We will show in the next chapter that the Mahalanobis distance can be used to improve the performance of indoor positioning systems.

## 2. Euclidean Distance with Lognormal Assumption and Identity Covariance

The above analysis of the probability of returning the correct location degenerates into the Euclidean distance based system when identity covariance matrices are assumed for all location fingerprints. Given a location fingerprint vector  $\mathcal{F}_l = [\rho_1, \rho_2, \dots, \rho_N]^T$  for  $l = 1, 2, \dots, L$  where  $L$  is the total number of locations, a sample RSS vector  $\mathcal{S} = [s_1, s_2, \dots, s_N]^T$ , and the identity covariance matrix  $\mathcal{C} = \mathbf{I}_{(N \times N)}$ , Equation IV.19 is reduced to the square of Euclidean distance:

$$\begin{aligned} D_{E,l}^2(\mathcal{S}) &= [(\mathcal{S} - \mathcal{F}_l)^T \mathbf{I}^{-1} (\mathcal{S} - \mathcal{F}_l)] \\ &= ([s_1, s_2, \dots, s_N] - [\rho_1, \rho_2, \dots, \rho_N]) \times ([s_1, s_2, \dots, s_N] - [\rho_1, \rho_2, \dots, \rho_N])^T \\ &= \sum_{i=1}^N (s_i - \rho_i)^2 = \sum_{i=1}^N q_i^2. \end{aligned} \quad (\text{IV.20})$$

The smallest such distance among all locations is used to indicate the estimated location by the positioning algorithm.

Interestingly, the Euclidean distance metric in Equation IV.20 can provide insight into the underlying mechanism of the indoor positioning systems better than the probabilistic approach in previous section. First of all, it can be categorized into two types of random variables based on the mean value of each random component  $q_i$  (in dB). The random variable  $q_i$  has a zero mean when each of the elements in the sample RSS vector  $\mathcal{S}$  has the same mean value as the corresponding element in the average RSS vector  $\mathcal{F}$ . This corresponds to the signal distance between the sample RSS vector at the location and the *true* fingerprint corresponding to this location. The random variable  $q_i$  has a non-zero mean when the the sample RSS vector is compared with a location fingerprint of another position on the grid. We will consider the characteristics of the random variable  $X = D_E^2$  to obtain some insights into the effects of radio propagation on the design of the positioning systems.

If the RSS is normally distributed as assumed in Section IV.A.1, the random variable  $X$  has a *central chi-squared distribution* with  $N$  degrees of freedom [64] when the sample RSS vector has its true mean recorded in the average RSS vector. That is  $E\{s_i\} = \rho_i$  or the mean of the measured RSS is exactly the true mean in the database. Thus, the distance-squared component  $q_i$  is a zero mean Gaussian random variable. The random variable  $X$  is the square of the distance between the sample RSS vector and the average RSS vector and has a probability density function (PDF):

$$p_{X_N^2}(x) = \frac{1}{\sigma^N 2^{N/2} \Gamma(N/2)} e^{-x/(2\sigma^2)} x^{(N/2)-1}, \quad (\text{IV.21})$$

where  $x \geq 0$ .

Note that the variance of each Gaussian component in  $X$  is  $\sigma^2$  and  $N$  represents the number of access points that are visible. Figure 32 depicts the effect of  $\sigma$  and  $N$  on the PDF of the the random variable  $X$ . Table 19 summarizes the effects.

If the sample RSS vector is compared to a location fingerprint in the database that does not correspond to the correct location, the random variables  $q_i$  will have a non-zero mean equal to  $\mu_i = E\{s_i\} - \rho_i$ . In this case, the distribution of the square of the distance between  $\mathcal{F}$  and  $\mathcal{S}$  given by  $X = D_E^2$  has a non-central chi-squared distribution with non-centrality parameter  $\lambda = \sum_{i=1}^N \mu_i^2$  and  $N$  degrees of freedom. The non-centrality parameter is a measure of grid spacing because it is a function of the difference of the means of the

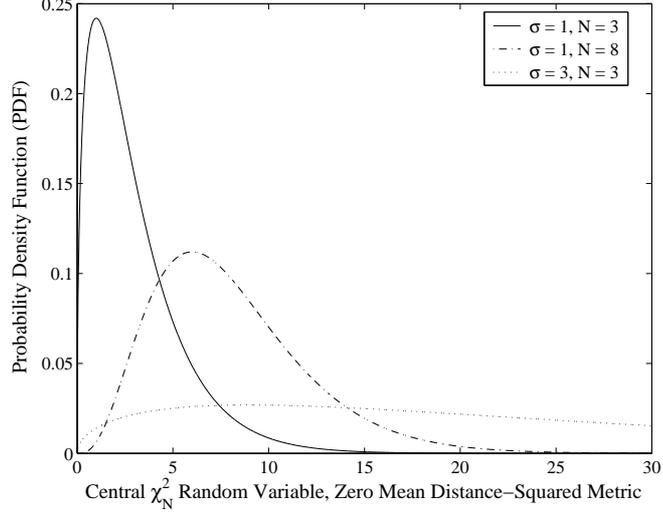


Figure 32: PDF of central chi-squared distribution

received signal strengths at two points on the grid. The points on the grid are at different distances from different APs. The farther apart the points on the grid are, the more will be the difference in the mean received signal strengths at these locations. Thus, a larger  $\lambda$  means a larger physical distance between two points on the grid. The PDF of the non-central chi-squared distribution is given by:

$$p_{x;N,\lambda} = e^{-\frac{(\lambda+x)}{2\sigma^2}} \frac{1}{2\sigma^2} \left(\frac{x}{\lambda}\right)^{\frac{(N-2)}{4}} I_{\frac{(N-2)}{2}} \left(\frac{\sqrt{\lambda x}}{\sigma^2}\right), \quad (\text{IV.22})$$

where  $x \geq 0$ .

Table 19: Parameters of central chi-squared distribution

| Parameter                             | Effect of Larger Parameter |
|---------------------------------------|----------------------------|
| $\sigma$ - STD. of Gaussian component | $X$ is larger.             |
| $N$ - Number of access points         | $X$ is larger.             |

Here,  $I_\alpha(x)$  is the  $\alpha$ th-order modified Bessel function of the first kind. Figure 33 shows the effects of  $\lambda$ ,  $\sigma$ , and  $N$  on the PDF of this signal distance metric. Table 20 summarizes the implication of the parameters  $\lambda$ ,  $\sigma$ , and  $N$  on the non-zero mean signal distance metric.

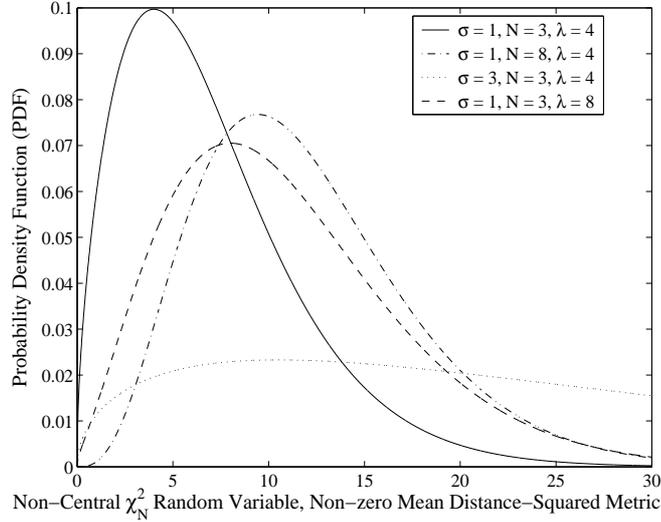


Figure 33: PDF of non-central chi-squared distribution

Table 20: Parameters of non-central chi-squared distribution

| Parameter                             | Effect of Larger Parameter |
|---------------------------------------|----------------------------|
| $\sigma$ - STD. of Gaussian component | $X$ is larger.             |
| $N$ - Number of access points         | $X$ is larger.             |
| $\lambda$ - Non-centrality parameter  | $X$ is larger.             |

Figure 34 compares the PDFs of central chi-squared and non-central chi-squared distributions. Notice that the non-central chi-squared distribution shifts to the right for a large value of the non-centrality parameter. A larger value of the non-centrality parameter will cause the sample values of the non-central chi-squared distribution to be mostly larger than the corresponding central chi-squared distribution. A larger standard deviation  $\sigma$  causes the two distributions to get closer to one another. For example, as the standard deviation of each of the normal RSS variables becomes as large as  $\sigma = 15$  dBm, the difference between the two distributions reduces. Both distributions are almost the same and the PDFs are

nearly identical as shown in Figure 35. Note that we have kept the non-centrality parameter to a large value ( $\lambda = 20$ ) and still see the similarity of the distributions.

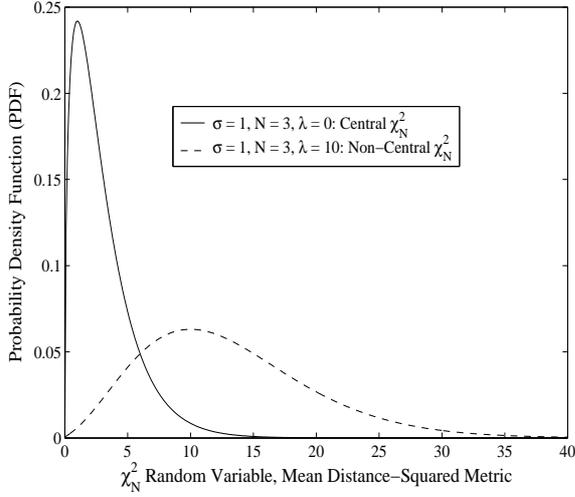


Figure 34: Comparison of the PDFs of central and non-central chi-squared distributions

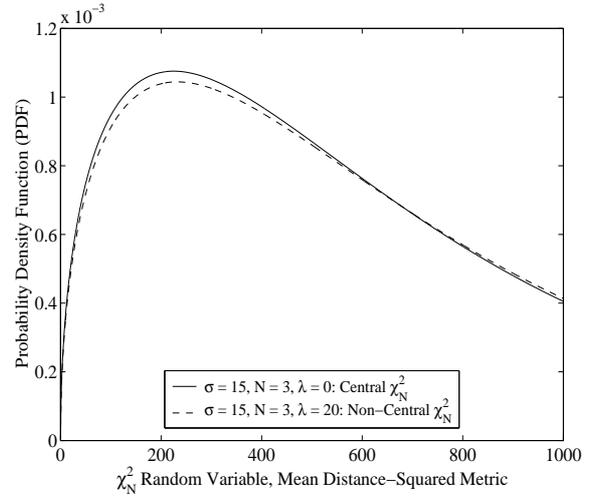


Figure 35: Central and non-central chi-squared PDFs with large standard deviation

We can now make some qualitative comments on the impact of some of the parameters on the design of location fingerprinting based positioning systems based on the visual results presented so far. The distance between the sample RSS vector and any location fingerprint in the database is a random variable because the received signal strengths from APs measured by the MS are all random variables. Consequently, it is possible to pick a location fingerprint in the database as being *closest* to the sample RSS vector even though it is not the location fingerprint of the correct location of the MS. This is very likely to happen if the standard deviation of the RSSs are high. Intuitively this makes sense. The location fingerprint consists of the mean values of the RSSs. If the RSSs have a large standard deviation, the probability of the sample being close to the mean is small. In fact, if the RSS has a uniform distribution, any RSS value is equally likely so that the location fingerprint that is returned as *closest* to the sample RSS vector could correspond to any point on the grid. Ideally then, we would like the RSSs to have a small standard deviation. Also, as the non-centrality parameter increases, the probability that an incorrect location fingerprint is returned as the closest (smaller distance) to the sample RSS vector decreases because the central chi-squared random variable has a

peak in its PDF at smaller values than the corresponding non-central chi-squared random variable. In the following sections, we look at the actual probability of returning a correct location fingerprint.

The insights based on the characterization of distance metrics above provide the theoretical explanation for our measurement analyses in Chapter III. For example, we argued in Section III.E that a larger number of access points can improve the separability of location fingerprints. This effect can be described by the non-centrality parameter  $\lambda$ , which in turn grows larger as the number of APs increases. The confusion between the two distance metrics becomes less as the non-central chi-squared random variable (distance metric) gets larger. Even though we did not use the left-skew distribution in this model, the underlying mechanism of the system can be explained with the same mathematical structure.

Using the interpretation of the pattern classification on the indoor positioning above, we show in the next section how to determine the probability of returning the correct location. We point out that this probability can be considered as a measure of location precision performance of the indoor positioning system at zero-meter accuracy. We extend the analysis from the case of two locations to cover multiple neighboring locations. Notice that although the probability in Equation IV.17 is available in the classical pattern classification study, no one has interpreted it as the precision performance for indoor positioning systems. Later in Section IV.C, we study the sensitivity of number of access points, RSS standard deviation, path loss exponent, and grid spacing on the probability of returning the correct location (precision performance).

## B. PROBABILITY OF RETURNING THE CORRECT LOCATION

Consider the probability of returning the correct location or correctly classifying a sample RSS vector,  $\mathcal{S}$ , over two locations ( $k$  and  $l$ ) in Equation IV.17. We rewrite it here as:

$$\mathcal{P}_c = 1 - \mathcal{P}_e = 1 - \frac{1}{2}\Phi\left(-\frac{1}{2}\sqrt{H_{kl}}\right) - \frac{1}{2}\left[1 - \Phi\left(\frac{1}{2}\sqrt{H_{kl}}\right)\right], \quad (\text{IV.23})$$

where,  $H_{kl} = (\mathcal{F}_k - \mathcal{F}_l)^T \mathcal{C}^{-1} (\mathcal{F}_k - \mathcal{F}_l)$  is the Mahalanobis distance between the two distribution of location fingerprint  $\mathcal{F}_k$  and  $\mathcal{F}_l$ . The relationship between the probability  $\mathcal{P}_c$  and the Mahalanobis distance is plotted in Figure 36. We can see that the performance improvement of classification is very significant for the Mahalanobis distance between 1 and 11. To maintain a high precision performance for any indoor positioning system, we need to ensure that the Mahalanobis distance between any two location fingerprints is as large as possible.

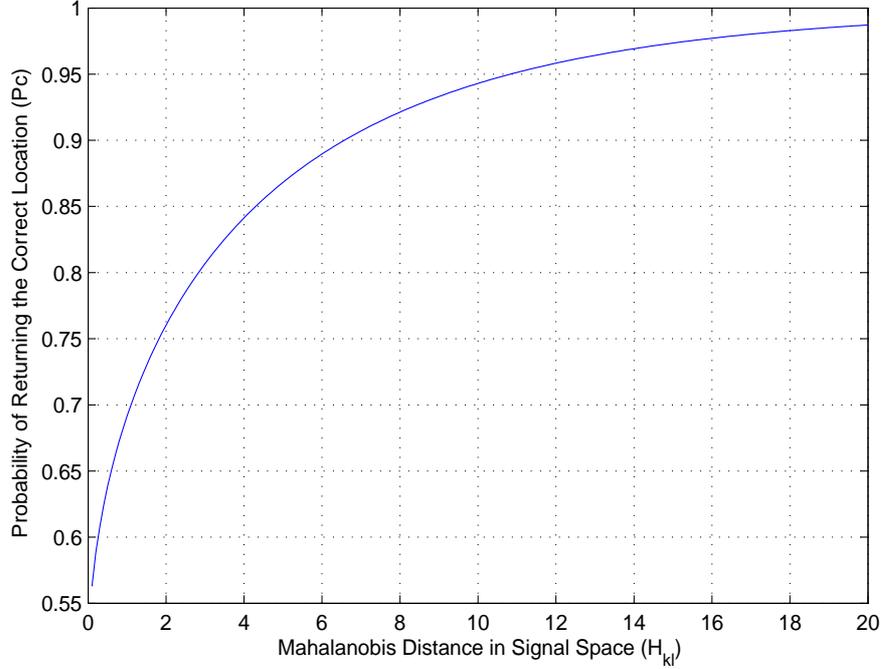


Figure 36: Effect of Mahalanobis distance on probability

We observe that the calculation of the probability does not depend on the sample RSS vector  $\mathcal{S}$  at all. Only the mean vectors and the covariance matrix are needed. It is obvious that the separation of location fingerprints (mean vectors) and the magnitude of variance of the RSS (or standard deviation of RSS) are the two main factors that dominate the performance of indoor positioning systems. To improve the accuracy performance, we would like to have the mean vectors located as far apart as possible in the signal space and have the magnitude of the variance to be as small as possible. However, to gain detailed insight on how to improve the precision performance, we consider an alternative calculation of the the precision performance in the next subsection. The results of Equation IV.17 and the

alternative calculation are extended to describe the positioning system with more than two locations in Section IV.B.2.

## 1. Alternate Calculation of Probability with the Euclidean Distance

Here we present an alternative approach to interpret and calculate the probability of returning the correct location. With this alternative interpretation, it is more obvious than the probabilistic approach, how the number of access points will affect the system performance. The analysis here provides more insight to better improve the precision performance. The derivation of the probability is based on the use of two chi-squared distance metrics discussed in Section IV.A.2.

Although it is tempting to directly compare the central chi-squared random variable to the non-central chi-squared random variable, we cannot do so directly due to the dependency of the two random variables which are transformations of the same random vector  $\mathcal{S}$  (the sample RSS vector). However, we can perform the following analysis to determine the probability of returning the correct fingerprint as the estimate of the location when a MS reports a sample RSS vector.

Let  $\mathcal{A}$  be the square of the distance between the sample RSS vector  $\mathcal{S} = (s_1, s_2, \dots, s_N)^T$  and the average RSS vector of the true location  $\mathcal{F} = (\rho_1, \rho_2, \dots, \rho_N)^T$ . Let  $\mathcal{B}$  be the square of the distance between the sample RSS vector  $\mathcal{S}$  and the location fingerprint  $\mathcal{F}' = (\rho'_1, \rho'_2, \dots, \rho'_N)^T$  of a neighboring point on the grid. We then denote  $\{\mathcal{A} < \mathcal{B}\} = \{\mathcal{A} \leq \mathcal{B}\}$  as the event that the distance between the sample RSS vector and the correct location fingerprint is smaller than the distance between the sample RSS vector and the incorrect neighboring location fingerprint. We can determine the probability of this event. Firstly, we evaluate  $\{\mathcal{A} \leq \mathcal{B}\}$  as follows:

$$\begin{aligned} & \mathcal{A} \leq \mathcal{B} \\ \Rightarrow & \sum_{i=1}^N (s_i - \rho_i)^2 \leq \sum_{i=1}^N (s_i - \rho'_i)^2 \\ \Rightarrow & \sum_{i=1}^N (s_i - \rho_i)^2 - \sum_{i=1}^N (s_i - \rho'_i)^2 \leq 0 \end{aligned}$$

$$\begin{aligned}
&\Rightarrow \sum_{i=1}^N (s_i^2 - 2s_i\rho_i + \rho_i^2) - \sum_{i=1}^N (s_i^2 - 2s_i\rho'_i + \rho_i'^2) \leq 0 \\
&\Rightarrow 2 \sum_{i=1}^N s_i(\rho'_i - \rho_i) + \sum_{i=1}^N (\rho_i^2 - \rho_i'^2) \leq 0 \\
&\Rightarrow 2 \sum_{i=1}^N s_i\beta_i + \sum_{i=1}^N \Gamma_i \leq 0, \tag{IV.24}
\end{aligned}$$

where  $\Gamma_i = (\rho_i^2 - \rho_i'^2)$  and  $\beta_i = (\rho'_i - \rho_i)$ .

To determine the probability of the event in Equation IV.24, we first apply the properties of the sum of multiple independent Gaussian random variables [65]. The left-hand side of Equation IV.24,  $\theta = 2 \sum_{i=1}^N s_i\beta_i + \sum_{i=1}^N \Gamma_i$ , is a new Gaussian random variable when all  $s_i$  are Gaussian. The random variable  $\theta$  has following mean and variance

$$\begin{aligned}
\mu_\theta &= 2 \sum_{i=1}^N \rho_i\beta_i + \sum_{i=1}^N \Gamma_i, \\
\sigma_\theta^2 &= \sum_{i=1}^N (2\beta_i\sigma_i)^2. \tag{IV.25}
\end{aligned}$$

Therefore, the probability that the system returns the correct location when it compares just two location fingerprints to the sample RSS vector is given by:

$$\begin{aligned}
Pr\{\theta \leq 0\} &= \int_{-\infty}^0 \frac{1}{\sqrt{2\pi}\sigma_\theta} e^{-\frac{(\theta-\mu_\theta)^2}{2\sigma_\theta^2}} d\theta \\
&= \frac{1}{2} \frac{2}{\sqrt{\pi}} \int_{-\infty}^{-\frac{\mu_\theta}{\sqrt{2}\sigma_\theta}} e^{-t^2} dt \\
&= \frac{1}{2} + \frac{1}{2} \operatorname{erf}\left(\frac{-\mu_\theta}{\sqrt{2}\sigma_\theta}\right). \tag{IV.26}
\end{aligned}$$

Note that the result in Equation IV.26 is equivalent to the result of Equation IV.17 and is a special case of Equation IV.16. Our mathematical analysis described thus far does not explain why the probabilistic approach is better than the Euclidean distance approach as concluded in the literature. The superiority of the probabilistic approach as discussed in Chapter II is due to its ability to capture the actual distribution of RSS. In this model, we assume a simplified mathematical model of Gaussian distribution; thus, the benefit of the real distribution is not present.

From Equation IV.25 and Equation IV.26, we observe that the mean and variance of the new distribution are influenced by two parameters of the positioning system: the number of access points  $N$  and the standard deviation  $\sigma_i$  of the normally distributed RSS variables. This insight provide more details than the one given previously by Equation IV.17. The parameters  $\beta_i$  and  $\Gamma_i$  do not have any explicit meaning but are related to the non-centrality parameter  $\lambda$  discussed earlier. That is, they both depend on the difference between the mean RSS at the two locations that is determined by the path loss of the signal. In turn, the path loss of the signal depends on the site and the physical distances of the locations from the  $N$  access points and indirectly to the physical distance between the locations. As observed in Chapter III, in certain location area with NLOS the path loss exponent is quite large, small physical distance separation between any two locations will result in large mean RSS vector separation in signal space. This observation suggests that in a large path loss exponent environment the positioning system can operate with better precision. We evaluate the effects of these parameters ( $N$  and  $\sigma$ ) together with the grid spacing ( $g$ ) and the path loss exponent ( $\alpha$ ) on the precision performance in Section IV.C.

## 2. Extension to Multi-Location Systems

In a real positioning system, the database contains several entries depending on the size of the office floor and the grid spacing. The positioning system makes comparisons between the sample RSS vector and all of these location fingerprints. The database may be arranged hierarchically. In the first phase, the APs seen by the MS are matched. Then the location fingerprints corresponding to these APs alone are compared with the sample RSS vector. In any case, each comparison depends on the same sample RSS vector. Therefore, to be able to calculate the probability of returning a correct location, we will need to know the joint probability density function (PDF) of all random variables of the form  $p(\mathcal{S}|\mathcal{F}_1, \mathcal{F}_2, \dots, \mathcal{F}_l)$  for substituting in Equation IV.1 or the complex form of  $\theta$  in Equation IV.26. Deriving an analytical model can be quite cumbersome where there may be tens or hundreds of location fingerprints being compared.

To simplify our analysis, we consider a model with only a simple approximation of the

probability of returning the correct location system. Without loss of generality, we use the Euclidean distance approach for our explanation. The result described next is applicable to the probabilistic approach since the calculation of the probability of returning the correct location in Equation IV.17 and Equation IV.26 are equivalent.

Let  $\theta_k = \sum_{i=1}^N (s_i - \rho_i)^2 - \sum_{i=1}^N (s_i - \rho'_{k,i})^2$  be the comparison variable. The variable  $\theta_k$  compares the distance between the sample RSS vector  $\mathcal{S}$  and (a) the correct location fingerprint  $\mathcal{F}$  and (b) the  $k$ -th incorrect location fingerprint  $\mathcal{F}'_k$ . The index  $k$  runs from 1 to  $K$  excluding the correct location denoted by the index  $c$ . The variable  $K$  corresponds to the number of entries in the database. Then, we can write the probability of correct decision as:

$$\begin{aligned} \text{Prob}\{\text{Correct Estimation}\} &= \mathcal{P}_c \\ &= P\{\theta_1 \leq 0, \dots, \theta_{c-1} \leq 0, \theta_{c+1} \leq 0, \dots, \theta_K \leq 0\} \end{aligned} \quad (\text{IV.27})$$

Under the assumptions stated in Section IV.A, the sampled RSS vector can be viewed as a fingerprint vector that has Gaussian noise adding to it around the mean of each RSS element. This is analogous to a *signal constellation* used in traditional digital signal modulation representation. To compute this probability, we need the joint distribution of the random variables  $\theta_k$ . Alternatively, we can evaluate an approximation to the probability of returning a correct location  $\mathcal{P}_c$  by using the union bound technique [66]. In this case, the RSS elements from multiple access points may not form a regular grid. We can also not represent them in only two dimensions like Quadrature Amplitude Modulation (QAM). Determining the union bound becomes cumbersome as well. To avoid the cumbersome calculation of the joint distribution or union bound, we make the assumption that they are independent. In such a case:

$$\text{Prob}\{\text{Correct Estimation}\} = \mathcal{P}_c = \prod_{\substack{k=1 \\ k \neq c}}^K Pr\{\theta_k \leq 0\}. \quad (\text{IV.28})$$

Equation IV.28 is an approximation to the probability of returning the correct location for an indoor location system when the correct position is  $c$  and there are  $K$  location fingerprint entries in the database. While this assumption is not correct, we compare the results of this assumption with simulation results to see how close the results are. As we shall see, the assumption of independence provides a reasonable approximation.

When there are a large number of locations or  $K$  is large, a simpler approximation can be calculated with the  $Pr\{\theta_k \leq 0\}$  of the nearest neighboring fingerprints of any correct location. For a 2-D grid of positions, the largest number of nearest neighbor fingerprints is eight. Assuming that the set of nearest neighboring fingerprints is denoted as  $M_n$ , The  $\mathcal{P}c$  and  $\mathcal{P}e$  can be approximated as:

$$\mathcal{P}c \approx \prod_{j \in M_n} Pr\{\theta_j \leq 0\}, \text{ and} \quad (\text{IV.29})$$

$$\mathcal{P}e \approx 1 - \prod_{j \in M_n} Pr\{\theta_j \leq 0\}. \quad (\text{IV.30})$$

### C. PERFORMANCE EVALUATION

The major performance metrics of interest for indoor positioning systems are the accuracy and the precision in estimating a location. In this section, we investigate how the path loss and RSS characteristics influence the precision. As a measure of precision at zero-meter accuracy, we look at the probability of making a correct estimation of the location. A better measure of accuracy and precision is the distribution of the error in the location estimate which will be presented in Section IV.D. We use Equation IV.28 as the analytical approximation of the measure of precision and also compare this with simulations. We use a simple grid in this work as described below.

#### 1. System Model Setup

Figure 37 illustrates an indoor positioning system with  $L^2 = 25$  points on the grid. The grid at the center is labeled with a  $\star$  and is assumed to be the current position of the mobile station reporting the sample RSS vector. The neighboring positions with location fingerprints recorded in the database during the site-survey are labeled with  $\circ$ . There are 8 neighboring positions in this system. The total number of positions within this system and in the database is  $K = 9$ . The outer most positions are labeled with  $\square$  and reserved for placing access points only.

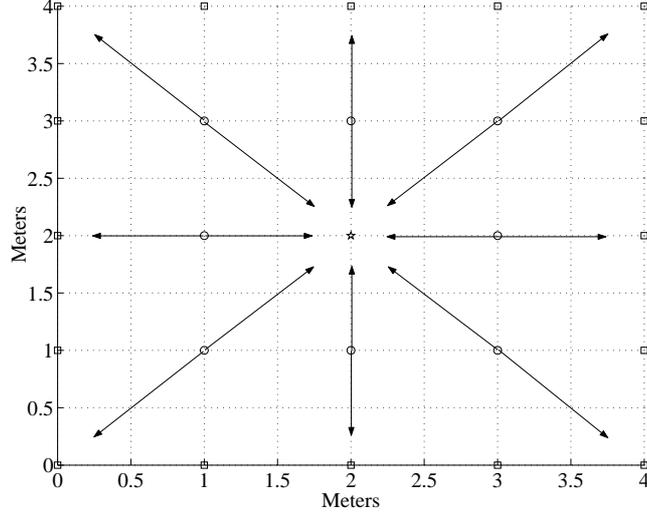


Figure 37: A grid space for an indoor positioning system

Initially, we place four access points at the four corners of the grid. The positions of the access points are  $AP1 = (0, 0)$ ,  $AP2 = (4, 4)$ ,  $AP3 = (0, 4)$ , and  $AP4 = (4, 0)$ . The position of the mobile station is  $(2, 2)$ . Suppose that the physical distance of the  $k$ -th point on the grid from the  $j$ -th AP is  $d_{j,k}$  meters. The true mean  $\rho_j$  or expected value of  $s_j$  for that point on the grid can be calculated from the mean path loss given by [61]:

$$Pl(d_{j,k}) = Pl(d_0) + 10 \cdot \alpha \cdot \log_{10}(d_{j,k}), \quad (\text{IV.31})$$

Here  $Pl(d_0)$  is the free-space path loss at the reference distance of  $d_0 = 1$  m (this is 41.5 dBm for line-of-sight propagation (LOS) and for 37.3 dBm non-line-of-sight propagation (NLOS) for reported measurements in [67]). The variable  $\alpha$  denotes the path loss exponent, which for indoor locations at a carrier frequency of 2.4 GHz is reported to be 2 for LOS propagation and 3.3 for NLOS propagation [67]. Under other circumstances, the indoor path loss exponent  $\alpha$  can be between 1 and 6 [62].

Our path loss propagation analysis in Section III.D.3 also reports the path loss exponents of 1.14 (LOS) and 8.55 (NLOS) in Scenario 1, and 2.91 (LOS) in Scenario 2 which are on the same order as those reported in the literature. For demonstration purposes, the current

analysis will use the propagation parameter from our LOS environment in Scenario 2. Using our estimated results of  $Pt - Pl(d_0) = -31.03$  dBm from Section III.D.3, the mean received signal strength,  $E\{\rho_j\}$ , can be found as:

$$E\{\rho_j\} = Pt - Pl(d_{j,k}) = Pt - Pl(d_0) - 10 \cdot \alpha \cdot \log_{10}(d_{j,k}), \quad (\text{IV.32})$$

where  $Pt$  is the transmit power of the access point which specified in the WLAN standards of IEEE 802.11b as 15 dBm. The standard deviation of the RSS for this indoor positioning system is assumed to be  $\sigma = 3.3$  dBm which is the worst case value found in our experiment of Scenario 2 environment in Section III.C.2. Other values reported in the literature for  $\sigma$  are 2.13 dB in [32] or 4 dB for different indoor radio propagation conditions [61]. A more accurate path loss prediction model could be used instead of the simple path loss model in Equation IV.31. An inclusion of wall and floor attenuation factor is suggested in [13]. However, based on our path loss model estimation in Section III.D.3, the simple model in Equation IV.32 is sufficient for our analysis purpose as shown by our validation results in Section V.C. A recently proposed empirical path loss propagation model incorporates two path loss exponents with breaking point distance, angle-dependent wall and floor attenuation factors, and diffraction phenomenon into the same equation. Such a model is suitable for Scenario 1 where there are two path loss exponents that change after a certain breaking point distance. In our path loss estimation in Scenario 1, the breaking point distance is approximately 6.4 meters. In summary however, the path loss equation provides us with the mean received signal strength value. We use Equation IV.31 and Equation IV.32 here for estimation purposes. It is possible to plug in different parameters for different environments without changing the framework here.

Initially we assume that the grid spacing is 1m (3 feet). We also look at the effect of grid spacing on the accuracy with the following grid spacing values: 0.25, 0.5, 0.75, 1, 1.25, 1.5, and 1.75 meters. Note that the positions of the center of the grid and the access points are fixed for all scenarios. As an example of the database of location fingerprints, we show a sample in Table 21 that contains the location fingerprints of all coordinates within the system when the grid spacing is set to 1m. If only one access point is present, the fingerprints, as listed in the second column, may not be unique. this happens when two points on the grid are

at the same distance from the access point. Additional access points make the fingerprints unique.

Table 21: RSS fingerprints of indoor location

| <b>Access Point</b> | <b>AP1 (dBm)</b> | <b>AP2 (dBm)</b> | <b>AP3 (dBm)</b> | <b>AP4 (dBm)</b> |
|---------------------|------------------|------------------|------------------|------------------|
| <b>Coordinate</b>   | <b>(0,0)</b>     | <b>(4,4)</b>     | <b>(0,4)</b>     | <b>(4,0)</b>     |
| <b>(2,2)</b>        | -44.1700         | -44.1700         | -44.1700         | -44.1700         |
| <b>(1,1)</b>        | -35.4100         | -49.2942         | -45.5800         | -45.5800         |
| <b>(1,2)</b>        | -41.2000         | -47.2379         | -41.2000         | -47.2379         |
| <b>(1,3)</b>        | -45.5800         | -45.5800         | -35.4100         | -49.2942         |
| <b>(2,1)</b>        | -41.2000         | -47.2379         | -47.2379         | -41.2000         |
| <b>(2,3)</b>        | -47.2379         | -41.2000         | -41.2000         | -47.2379         |
| <b>(3,1)</b>        | -45.5800         | -45.5800         | -49.2942         | -35.4100         |
| <b>(3,2)</b>        | -47.2379         | -41.2000         | -47.2379         | -41.2000         |
| <b>(3,3)</b>        | -49.2942         | -35.4100         | -45.5800         | -45.5800         |

## 2. Results of the Probability of Returning the Correct Location for a Single Neighbor

Based on the model above, we calculate the precision at zero-meter accuracy in terms of the probability of returning the correct position. Initially we consider only one neighboring point on the grid (we compare the location fingerprints at the positions (2,2) and (2,1)). For this, we can simply use the analytical expression from Equation IV.26.

We first look at the impact of the number of access points deployed by varying this number from one to 16 according to the system in Figure 37. The first four access points are installed at the four corners and the rest are located at the following coordinates:  $AP5 = (2,0)$ ,  $AP6 = (4,2)$ ,  $AP7 = (2,4)$ ,  $AP8 = (0,2)$ ,  $AP9 = (1,0)$ ,  $AP10 = (4,1)$ ,  $AP11 = (3,4)$ ,  $AP12 = (0,3)$ ,  $AP13 = (3,0)$ ,  $AP14 = (4,3)$ ,  $AP15 = (1,4)$ , and  $AP16 = (0,1)$ . Figure 38 shows the results of using Equation IV.26 when the number of access points increases. The label “Ana” indicates calculation from the analytical equations discussed earlier. A higher number of access points improves the precision but the probability does not increase significantly for  $N > 5$ . We also see that a larger standard deviation of the RSS results in poorer precision especially for a smaller number of APs. For instance, if the standard deviation of the RSS changes from 1 to 4, the probability of returning the correct

location drops from nearly 1 to 0.75 for three access points.

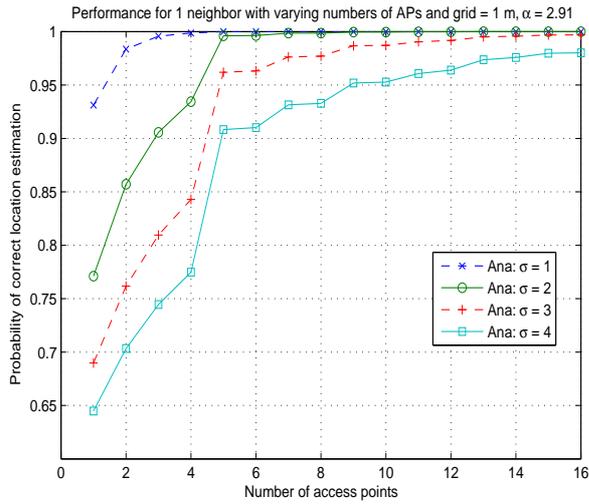


Figure 38: Effect of number of access points on probability

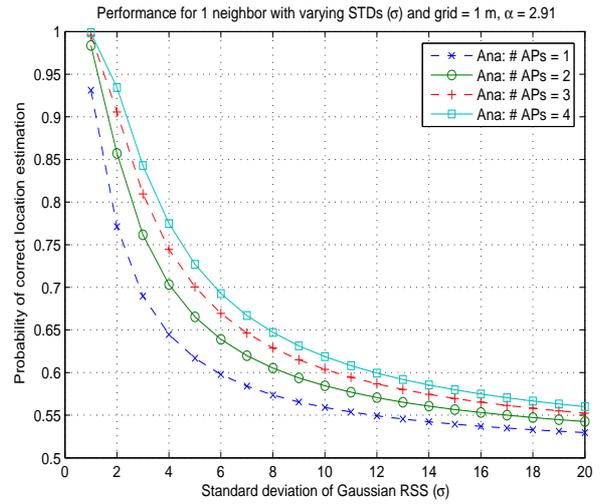


Figure 39: Effect of RSS standard deviation on probability

We next consider the impact of the standard deviation  $\sigma$  of the RSS in Figure 39. Clearly, the larger values of  $\sigma$  degrade the precision dramatically. Figure 39 plots the probability of returning the correct location versus the standard deviation. The results suggest that the lower the value of standard deviation, the better the precision for any number of access points. However, this value is difficult to control because it depends on the environment. One way of improving this is to consider many RSS samples. This could contribute to the delay in obtaining the location. Another way is to use wireless cards that have small standard deviations such as those cards compared in Figure 10. The results indicate that reducing the standard deviation to somewhere between 2 and 4 may be sufficient.

The second parameter that depends on the environment and cannot be controlled is the path loss exponent  $\alpha$ . Results for the probability of returning the correct location as a function of  $\alpha$  are shown in Figure 40.  $\mathcal{P}_c$  improves as the path loss exponent increases. This can be intuitively explained as follows. If the signal is attenuated greatly with distance, even a small shift in the distance can result in large differences in the mean RSS. Thus, the average RSS vectors between two coordinates become easily distinguishable. This will also be the case if there are intervening obstacles like walls or floors although we have not included them in this model. Our measurement result in Figure 30 supports this conclusion

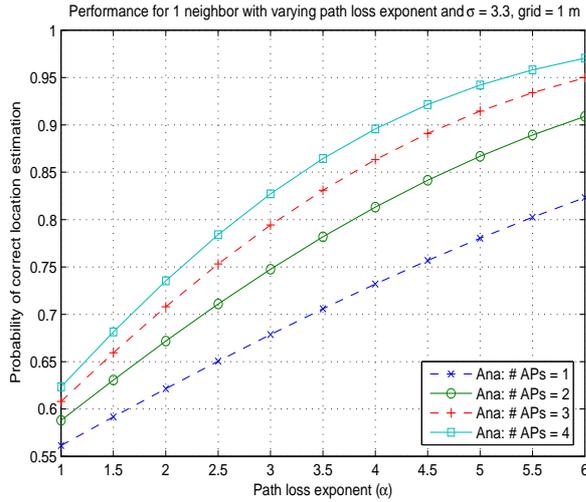


Figure 40: Effect of path loss exponent on probability

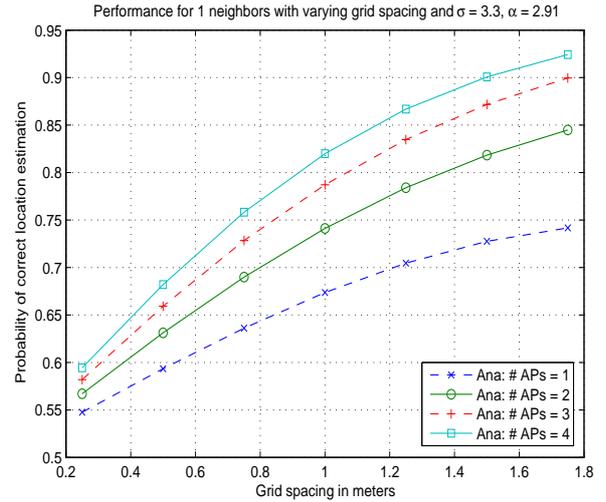


Figure 41: Effect of grid spacing on probability

that the faraway locations from access points often have larger path loss exponent due to the non-line-of-sight effect.

The last system parameter affecting the probability of returning the correct location is the grid spacing. The grid spacing can be selected during the site-survey. A large grid spacing will provide poor accuracy or granularity of the location information. On the other hand, a too small grid spacing may reduce the positioning precision. The measurement results in Section III.D.2 visualize the problem of small grid spacing by showing significant overlapping of RSS patterns measured from two different locations at one-meter distance separation. The analytical results in Figure 41 indicate that a small grid spacing reduces the precision greatly. For a standard deviation  $\sigma = 3.3$  dBm, path loss exponent  $\alpha = 2.91$ , and three access points, a grid spacing of 1 m results in a 79% probability of returning the correct location.

We have validated the analytical results presented so far (using Equation IV.26) with simulations. The simulations were conducted by generating a random vector of Gaussian random variables to represent the sample RSS vector with the mean values corresponding to the average RSS vector at the mobile stations correct location (the  $\star$  in Figure 37). One million sample RSS vectors were simulated for each data point. For each sample RSS vector

generated, the Euclidean distances between the sample RSS vector and the two average RSS vectors (location fingerprints) in the system were calculated and then compared. The validation results confirmed that our analytical calculations exactly match the simulations.

### 3. Results of the Probability of Returning the Correct Location for Multiple Neighbors

In this section, we look at the probability of returning the correct location when a comparison is made not just with one neighboring fingerprint, but with the eight neighbors described previously. The MS is once again located at the center of the grid. In practice, only a few APs are visible in any WLAN configuration. This number is anywhere between 2 and 5. The results in the previous section indicate that  $N = 5$  APs is sufficient for good accuracy. Therefore, we consider a maximum of four access points in this subsection.

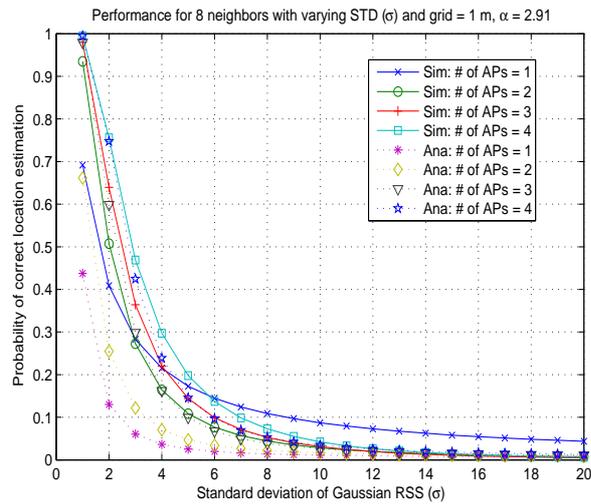


Figure 42: Effect of RSS standard deviation on probability

Figure 42 compares simulation results with the approximation in Equation IV.28 based on the range of standard deviation of RSS  $\sigma$  between 1 and 20 dBm. Note that the simulation results are labeled with “Sim”. The results follow the same trend as in the case of comparing only one neighboring fingerprint. However, the lowest value of probability has been driven down to around 0.1 when compared to the previous analysis in Figure 39 that is on the order

of 0.6. The analytical approximation is found to be close to the simulation results when the number of access points is three and four. Once again, keeping the standard deviation to somewhere between 2 and 4 dBm appears to be sufficient when 4 APs are visible. The approximation in Equation IV.28 is pessimistic compared to the simulation results due to the assumption of independence between each comparison pair. This is to be expected. For example, if the sample RSS vector is close to the correct location, it is also true for most comparisons.

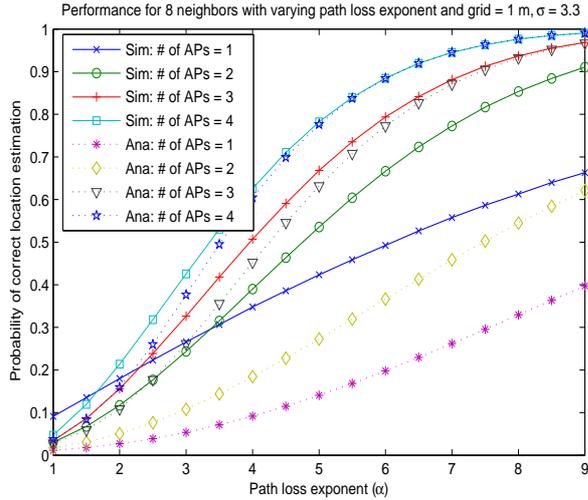


Figure 43: Effect of path loss exponential probability

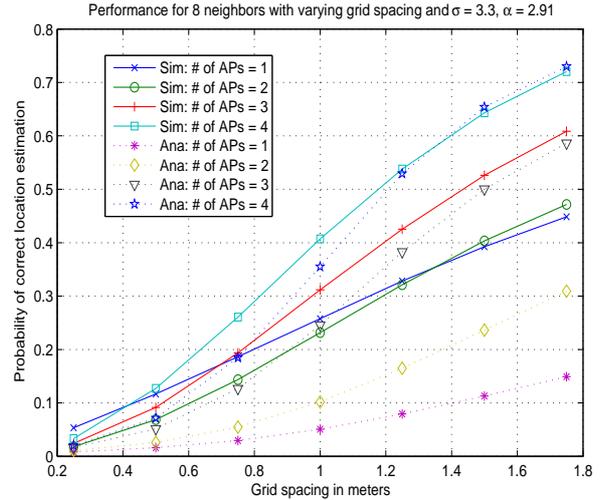


Figure 44: Effect of grid spacing on probability

Similar trends are observed for simulation and analytical results for  $\mathcal{P}_c$  as a function of the path loss exponent  $\alpha$  and the grid spacing  $g$  as shown in Figure 43 and Figure 44. Larger values of the path loss exponent and grid spacing improve the precision. It is also clear from both figures that as the number of access points increases, the analytical approximation and simulation results are very close especially for larger values of  $\alpha$  and  $g$ .

#### D. ERROR DISTANCE DISTRIBUTION

Thus far, the performance evaluation expressions in previous sections only provide the probability of returning the correct location. This probability is in fact a precision performance of

zero-meter accuracy of the indoor positioning system. In practice, the performance usually reports some acceptable accuracy that is larger than zero meters. With the analytical expression of Equation IV.28 alone, we cannot analyze the precision performance at any other accuracy.

An important point that needs to be emphasized is that the accuracy and the precision are closely coupled parameters. A system designer can choose to report good accuracy with a poor precision or poor accuracy with good precision from the exact same positioning system. Therefore, to compare any two indoor positioning systems we need to either fix the accuracy or fix the precision and compare the other performance metric. The error distance distribution enables a better performance comparison between any two positioning systems. For instance, the best positioning system should have most of its error distance concentrated closer to the actual position (or zero-meter accuracy) to the extent possible.

Using Monte Carlo simulations, we can predict the error distance distribution which can report both the accuracy and the precision information. However, additional positions (that is, more than the nine locations in previous model) are needed to provide different error distances. Assuming the same assumptions for the distribution of the location fingerprint, the simulation is performed by generating multivariate Gaussian random vectors for sample RSS  $\mathcal{S}$  using the mean vector of the known location fingerprint  $\mathcal{F}$  and the covariance matrix  $\mathcal{C}$  with identical variance of  $\sigma^2$  along the diagonal elements and zero variance for all other off-diagonal elements. Next, the positioning algorithm uses the sample RSS vector to calculate the decision function, which could be either the Euclidean distance or the Mahalanobis distance, for all location fingerprints in the radio map. Finally, the simulation compares the decision results with the correct location and records any error distance that occurs by each random vector. Both accuracy and precision performance for the indoor positioning system can be shown in a plot between the error distance and the cumulative probability of returning the distance up to that error distance. This plot is called the *error distance distribution*.

To demonstrate the use of the simulation model based on our assumption of multivariate Gaussian location fingerprint described in Section IV.A, we conducted a small simulation of a positioning system with 49 locations in the same LOS environment as in Section IV.C.

That is the path loss exponent  $\alpha$  is 2.91 and average standard deviation of RSS  $\sigma$  is 3.3. The number of access points is in between one and four and located at the each corner of the service area. We assume that the correct location is in the middle of the grid of locations. Figure 45 illustrates an indoor positioning system with  $L^2 = 49$  locations on the

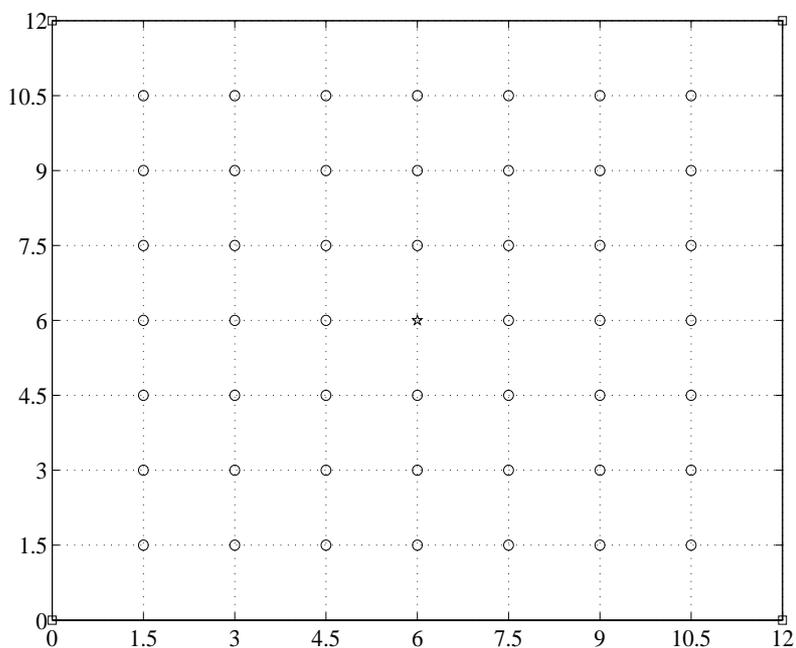


Figure 45: A grid space for an indoor positioning system with 49 locations

grid. MATLAB technical program tool is used to generate random vectors of multivariate Gaussian distribution with 100,000 samples per data points and perform the performance analysis by recording the error distance result of each sample using the Euclidean distance metric.

Figure 46 shows four different distributions of error distance from the simulation with a fixed grid spacing of 1.5 m based on different numbers of APs. The physical distances between all neighboring and the correct positions are marked on the abscissa in each sub-graph. These distances can be viewed as the location accuracy while the location precision can be found by calculating the cumulative error distance distribution. For instance, for four APs one can report 2.12 m accuracy with 97% precision and the average error distance of 1.1 m. Observing that with only one AP the error distances are distributed almost uniformly while

the performance improves dramatically with three and four APs. Calculating the cumulative distribution with  $N = 4$  from the simulation data, one can report an accuracy of 2.12 m with a precision of 70%, 1.5 m with 47%, and 0 m with 13%. The average error distance is 2 m. For  $N = 3$ , accuracy and precision data are 2.12 m with 56%, 1.5 m with 36.5%, 0 m with 9.3% and average of 2.49 m.

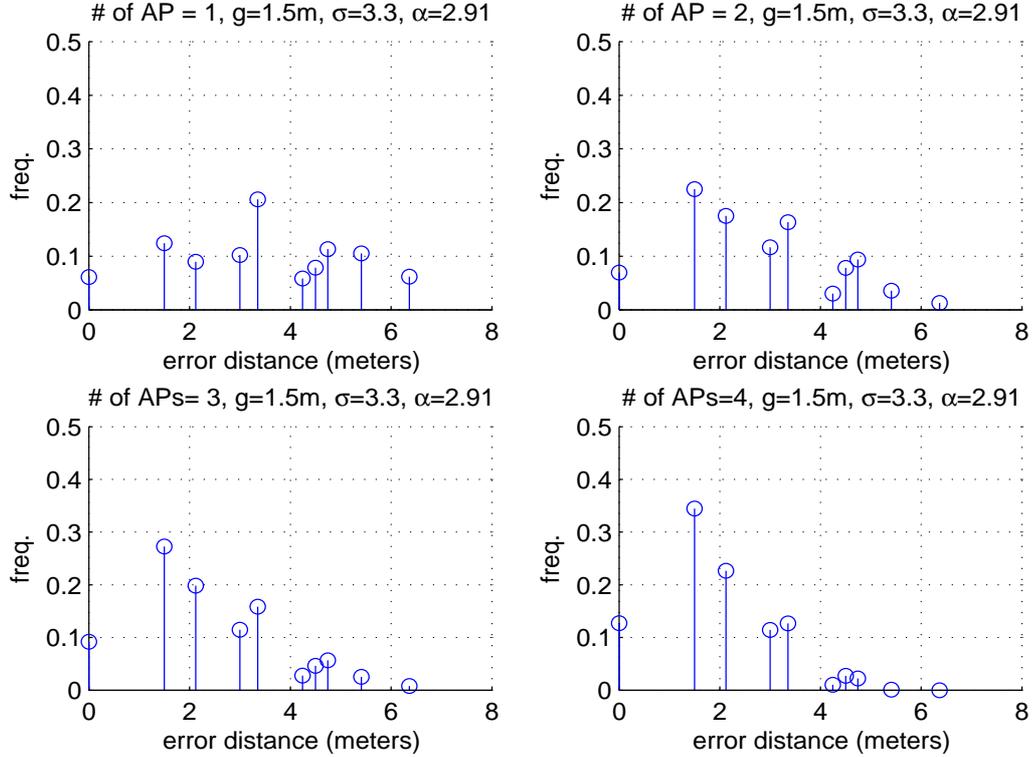


Figure 46: Comparison of error distance distributions using simulation

## E. GOODNESS OF ANALYTICAL AND SIMULATION MODELS

The analytical model is efficient because it allows quick calculation of precision performance, but it cannot report the precision performance at other accuracy levels beyond zero meters. However, the analytical model provides insights for the improvement of the system performance through the analysis in Section IV.C. Although our model is based on conservative

assumptions, it provides a framework for further creating more complex mathematical models and representations of indoor positioning system. For instance, we could use different values for the standard deviation of the RSS value from each access point.

The simulation model is another tool which provides more insights on the distribution of the error distance. It enables a system designer to approximate both precision and accuracy performance other than the precision at zero-meter. One advantage of the simulation model is that it can incorporate non-standardized distributions to model location fingerprint for analysis of indoor positioning performance. We will demonstrate this point in the next Chapter. However, the disadvantage of the simulation model is that it may require more time than the analytical approach. In both cases, our models require a good approximation of the actual environment parameters such as the path loss exponent, the standard deviation of the RSS in that environment, and a good prediction of the location fingerprints. The goodness of our system analysis will depend greatly on the system parameters and the location fingerprint prediction.

In comparing our mathematical model to a real system, we can identify three major differences based on the infrastructure, the survey, and the real position. The following subsections discuss these difference and argues the goodness of our models.

## 1. Missing Signals

First, in real systems, the access points are installed opportunistically and a MS may not receive signals from all access points in the building. A subset of access points may be present in the MS's view at a particular time. Our measurements in Chapter III indicate that some APs are never seen for significant durations of time at a location, but suddenly become visible at other times because of the environmental changes especially in multi-floor environments. This affects the performance of positioning systems, but we have not incorporated this in the mathematical model.

This limitation is due to the time dependent property of the RSS, which is difficult to model. Note that our model assumes a stationary random process. The missing RSS could occur both during the off-line phase and online phase of the indoor positioning system. To

modify the model to taking into account the missing signal, we might have to incorporate time dependency into the model and make the RSS distributions vary over time. However, the proposed model is still valid for the system where the service area has good coverage. We can assume that the location detection is performed only on those signals from APs that are available all the time.

## 2. Non Uniform Grid Spacing

Our model also assumes a very simple grid. Combined with a generic path loss propagation model, the location fingerprints used as examples in our analytical model are nicely separated. In practice, during the site-survey the grid spacing may not be strictly uniform due to the inaccessible parts of the environment such as walls, partitions, and office furniture. Even when the grid spacing is uniform as in Figure 47 which is used in Scenario 1.

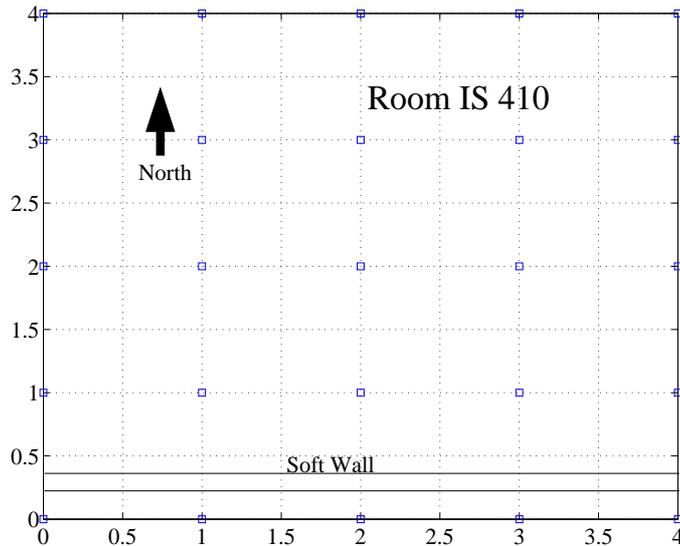


Figure 47: A uniform grid space of 25 positions

From the experiment of Scenario 1 in Chapter III, we found that the actual location fingerprints are not always nicely separated as shown in Figure 48. In this figure, we plotted the average RSS from two APs at each location and labeled each location with corresponding standard deviations ( $\sigma_{SIS410}$  and  $\sigma_{SIS501}$ ). From the visual inspection of the fingerprints, we

can see that they are almost random and sometimes not well separate. Some locations that should be closer together in physical distance may not be close together in signal space. Other cases that appear to be separated in physical space may be close in signal space. This phenomenon is difficult to model because the relationship between physical distance does not perfectly correspond to signal space distance.

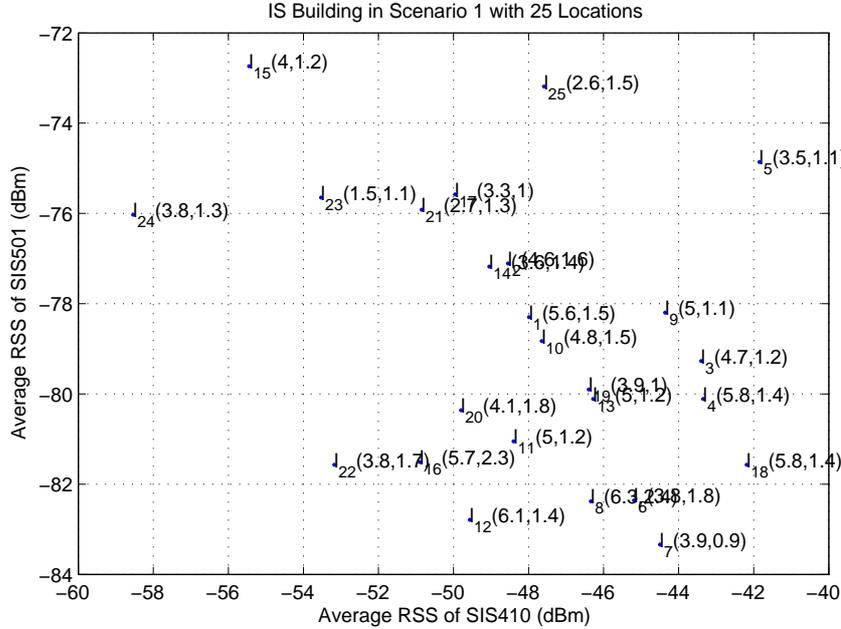


Figure 48: Location fingerprints of a uniform grid space of 25 positions

However, by comparing Figure 48 and Figure 47 closely, we can see that the neighboring structure of fingerprints is still apparent. For instance, location 13 is in the middle of the physical uniform grid and it is also in the middle of real location fingerprints. Here we might argue that our mathematical model can still imitate the real world fairly well. Moreover, our conjecture that we considered only the closest neighbors in the uniform grid for our performance approximation is sufficient.

### 3. Continuity of Real Location

The actual MS positions are not limited to the grids defined in the database. Therefore the sampled RSS vector measured by the MS may not have the same mean RSS as recorded

in the database. This is actually not the problem specific only to the analytical model. The real indoor positioning system also does not record continuous positions. A real system also reports the closest location on the grid that the mobile device is at. To imitate the real system, we could change the model to allow the sample RSS vectors to be generated based on the mean of locations that are not on the predefined grid. Then, we could simulate the system to find the error distance distribution. It can be expected that some of the error distances could be cut into half of the grid spacing because the error distance now corresponds to a location in the middle of two calibrated locations. However, the precision reported at zero-meter error distance will be less or none. We believe this is not a significant limitation of the model since the random variation of the RSS implies that any position not on a calibrated grid point will likely have a fingerprint that is very close to or almost identical to those of one of the neighboring grid points.

## F. CONCLUSIONS

By looking at the system from the distance comparison approach, this chapter provides a framework for theoretical explanation and insights about the indoor positioning system. Equivalent analysis could be done based on the probabilistic approach. Similar results could be obtained from both approaches because of our simplified mathematical structure (Gaussian assumption). Given a set of system parameters and radio propagation characteristics, which are the number of access points, the grid spacing, the path loss exponent, and standard deviation of RSS, the accuracy of the positioning system can be determined in terms of the probability of returning the correct location with the model presented in this chapter. The analysis results could lead to a guideline on the parameters to consider in designing and deploying an indoor positioning system. Monte Carlo simulation of location fingerprints can be used to create error distance distributions which form a valuable tool to compare different indoor positioning systems. We will explore the design and deployment of indoor positioning in the next chapter using the knowledge gained from previous and current chapters.

## V. SYSTEM DESIGN AND DEPLOYMENT

While prototypes and commercialized products of WLAN based indoor positioning systems have been available for quite some time, each system has its own design choice with little benchmarking and no research focusing on system performance, design and deployment issues. The study in this chapter discusses design trade-off and performance improvement conditions. First, Section [V.A](#) points out a number of high level design and deployment's choices which we derive from experience in the literature and our own measurement analysis results. Second, Section [V.B](#) discusses low level design issues which include the selection of positioning algorithms and the performance of positioning system. Utilizing our proposed model, we demonstrate the use of our model to justify the performance improvement which in turn could provide guideline to improve real system. Third, we summarize a set of design guidelines in Section [V.D](#) which consists of a design checklist and performance improvement recommendations. Finally, we present our own software-based indoor positioning prototype based on the Euclidean distance algorithm in Section [V.C](#). We use this prototype to consider our design decision and validate our model presented in previous chapters.

### A. HIGH LEVEL SYSTEM DESIGN

This section identifies a set of design decisions which are relevant to location fingerprinting types of systems. The top level issues that a designer should consider are application, complexity, performance, and security. These issues will dictate where a system component (such as sensing point and positioning algorithm) should be placed within the indoor positioning systems. The result of the discussion in this section is a design checklist which is shown in

## 1. System Design Issues

The following list briefly describes the design considerations for indoor positioning system. A system designer must decide or determine these issues before implementing and deploying the actual positioning system.

**Type of Applications** is the first design decision that must be determined. The location fingerprinting technique is generally aimed to provide locating and tracking services to users inside buildings [13]. Thus far, the best accuracy performance result of such systems (summarized in Table 4) is still on the order of a few meters of actual location. Due to the randomness of the RSS as discussed in Chapter III, the WLAN based indoor positioning systems will not be able to provide centimeter-level accuracy. Therefore, this kind of positioning system is suitable for the applications that require one or more meters of accuracy. Fortunately, there are a number of emerging location-based services for this level of accuracy for indoor environment such as location determination for home automation [68].

**Size of service area** influences how many locations are needed to be calibrated. The area size affects the time required to collect the location fingerprint, and how many access points are necessary to cover the service area. We also have to make sure that every location in the service area has sufficient number of different AP's signals for positioning purposes.

**Location resolution and grid spacing** can be determined based on the type of services the positioning system will provide. Some applications are sufficiently served with an accuracy commensurate with the size of an office room size (i.e. 5 m.), while other applications require an order of a couple meters of location granularity (i.e. cubicle size of 3 m  $\times$  3m). Collecting too dense location fingerprints can lead to wasting of time and effort without gaining improvement in performance. The decision on these parameters can dictate how scalable the fingerprinting system will be for that particular choice of grid spacing. We can use the proposed model in Chapter IV to determine suitable grid

spacing to meet any required performance goal.

**Number of locations** depends greatly on the size of service area, the grid spacing and the actual floor plan. Furniture and inaccessible areas will reduce the number of locations to perform the fingerprint collection. To decide on number of locations, the help of floor plan and the results of previous two decisions are required.

**Number of access points** is the key to improving the performance as we pointed out in our mathematical description in Chapter IV. Our proposed model in Chapter IV can help a system designer to estimate the number of access point that could achieve required performance goal. For indoor positioning purposes, we just need the beacon signal from the access points for location detection. The access point does not require to be fully connected to the internet [21]. Fortunately, the price of IEEE 802.11b access points has been coming down to less than a hundred dollars. Increasing the number of APs does not cost very much. Although the number of non-overlapping frequencies of IEEE 802.11b is limited, we can place them close together without much worry about the interference if data communications is not the main service. Moving access points around to improve the signal in the area can be easily accomplished as well.

**Number of users** is another issue that must be considered because it can contribute significant traffic and work load on the WLAN network infrastructure and positioning server. For example, if the number of users is large, the location sensing function should be on the mobile devices rather than on the access points.

**User's Orientation Consideration** should be included in the radio map; however, practical implementation and feasibility of the deployment may force the system designer to avoid the inclusion of orientation. An example commercial software [21] instructs the user to turn around 360 degree at each location during the collection of location fingerprints which takes around 20 seconds. This shows an example of averaging out the fingerprint across all orientations. If the orientation is decided to be included, the designer must also decide how many orientations should be included. The more the number of orientations the larger the number of data must be collected for all combinations of locations and orientations.

**Environment parameters** such as the path loss exponent and standard deviation of RSS

should be determined by conducting a small site survey. They are required if we want to predict the performance of positioning system without laborious measurements.

**Number of samples for location fingerprinting** influences the performance of the system. We discussed this number in Chapter III. This number should be determined and tuned to achieve the required performance goal. Our model did not include this issue and assume that the statistics in any given location fingerprint from the path loss model is sufficient.

**Fingerprint collection** is the most time consuming procedure in WLAN based indoor positioning system. Without assistance of any signal propagation model to create the location fingerprint, the measurement period and the number of required samples should be selected such that the system can be efficiently deployed over large areas. There is a tradeoff between the performance and the time required to collect the fingerprints. The shortest data collection period per location should be used to scale the deployment of such systems as the number of points required to collected is multiplied per area.

**Number of samples for detecting location:** As pointed out by [13], the average value of multiple samples could improve the performance of location detection slightly. They indicated that 3 samples provided almost as good as 20 samples in their experiment. However, in our model and prototype above we use only one sample to detect the location.

**Location sensing side** can be determined by the application, required security and privacy, and the complexity limitation. Both mobile devices (client-side) and access points (network-side) can transmit beacon signals and perform RSS measurement. Note that The accuracy performance seems not to be significantly affected by the decision of where to put the location sensing due to very little asymmetry of signal strength measurement in [13]. This little impact on accuracy performance is true if the hardware comes from the same vendor on both the client-side and network-side. For user locating and tracking applications, it is a logical decision to have a WLAN network perform location sensing. If the number of users for particular application becomes larger, the location sensing function should be distributed to the mobile devices to reduce the load and complexity on a positioning server and access points in network. If the location-based service is in the form of a resource locator, all the location sensing can be done at the client-side. The

client-side RSS measurement can provide more security and privacy for the user. The system will be more complex for the network-side measurement because the system will require the cooperation and synchronization of all mobile devices and access points [13].

**Positioning calculation side** is the issue that may be limited by the computing power and energy of the mobile device. For applications of home and small office with small number of mobile devices or user locating and tracking applications, the positioning calculation is more suitable to be done at a centralized server [68]. In some applications like resource locator, location calculation can be done on the mobile devices with necessary information pre-loaded on the mobile devices themselves.

## B. LOW LEVEL SYSTEM DESIGN

### 1. Algorithm Selection

Comparison of WLAN based positioning algorithms was presented in [22], but little discussion was made regarding their implication on design decisions of the positioning system. In [22], the authors estimated the complexity of the off-line phase, the on-line phase and Vapnik-Chervonenkis dimension<sup>1</sup> for weighted  $k$  nearest neighbors, probabilistic approach, neural networks, and statistical learning theory approach or support vector machines (SVMs). The Vapnik-Chervonenkis dimension is generally used to compare learning algorithms under statistical learning theory. Our scope of study does not include the neural network and statistical learning theory; therefore, the VC dimension will not be considered here. This work will only consider design implications of the distance based approach and probabilistic approach. The Euclidean and the Mahalanobis distance will be analyzed for the distance based approach, while the probabilistic approach is based on the algorithm used by [18].

Given an equal set of  $R$  training patterns for all algorithms, we estimate the complexity

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<sup>1</sup>Vapnik-Chervonenkis dimension is a measure of any machine learning algorithm on its ability to classify patterns [42]. It is defined as the maximum number of points that can be arranged so that the machine learning algorithm can separate them.

requirement during the off-line and the on-line phases. Comparison criteria are construction of the fingerprint database, memory space requirement of the database and complexity of the algorithm. Assume that the location information in all techniques are the same and contain  $d$  units (e.g. bytes) of dimensions and orientation data. There are total of  $l$  locations in the location-based service (LBS) area and a total of  $N$  access points which could be measured at all locations within the LBS area.

First, we consider the running time requirement to construct fingerprint databases during the off-line phase. For the Euclidean distance, each location fingerprint requires a calculation of an average RSS vector of size  $N$  that is mapped into a vector of  $d$  elements for location information. For the Mahalanobis distance, an additional calculation is needed to find the covariance matrix of size  $N \times N$  and its inverse for each location. The probabilistic approach requires counting of unique RSS patterns for the histogram at each location. The number of bins in the RSS histogram could vary depending on the variation of RSS from each AP and the duration of measurement. The computation of the histogram could be varied but from our analysis the number of bins are on the order of 100 or more for a measurement of approximately 1,200 samples over five minutes. Thus, the memory space requirement is important because the fingerprint database could become very large for a large LBS area. We will use a constant  $u$  to represent the average number of unique patterns at each location. The memory space requirement for each technique increases as the number of locations increases but the probabilistic approach tends to need more space to store the histograms. Table 22 compares the off-line phase requirement. The memory space requirement to maintain the fingerprint database for the probabilistic approach is the largest among the three techniques.

Table 22: Comparison of off-line phase and on-line phase

| Technique     | Off-line Phase    |                                 |                      | On-line Phase    |                               |                         |
|---------------|-------------------|---------------------------------|----------------------|------------------|-------------------------------|-------------------------|
|               | Computation       | Memory Space                    | Search Space         | Computation      | Searching                     | Sorting                 |
| Euclidean     | $\mathcal{O}(RN)$ | $l \times (N + d)$              | $l$ entries          | $\mathcal{O}(l)$ | n/a                           | $\mathcal{O}(l \log l)$ |
| Mahalanobis   | $\mathcal{O}(RN)$ | $l \times (N + N^2 + d)$        | $l$ entries          | $\mathcal{O}(l)$ | n/a                           | $\mathcal{O}(l \log l)$ |
| Probabilistic | $\mathcal{O}(RN)$ | $l \times u \times (N + d + 1)$ | $l \times u$ entries | $\mathcal{O}(l)$ | $\mathcal{O}(l(\log(u) + 1))$ | $\mathcal{O}(l \log l)$ |

The complexity during the on-line phase is also considered in Table 22. Sorting and searching operations are two common operations for the location fingerprinting technique.

For the Euclidean and the Mahalanobis distances, distance calculations for all locations are done first. The estimated complexity is shown under the computation column. Then, a sorting is used to determine the location with the smallest distance. We assume that a quick sort algorithm is used. For the probabilistic approach, first, the searching (assume a binary search) for a matching RSS pattern is performed first over the histogram bins in each location. Next, the posterior probability calculation may be required for all locations. Finally, a sorting is used to determine the location with the maximum likelihood probability. The probabilistic approach could require more time to find all matching patterns than the other two schemes.

Based on the analysis above, the distance based approach requires less memory space to keep the radio map. The shorter search time of the distance based approach is also another advantage. However, the probabilistic approach can provide better accuracy and precision performance because of the extra information in its radio map. Note that in practice the probabilistic approach require larger number of training samples ( $R$ ) in order to accurately approximate the real distribution of location fingerprints; thus, it will take more time to perform the off-line phase. These issues on design's tradeoff were not considered previously in the literature because most of the mobile devices used in the prototypes were powerful laptop computers. These issues will become important if the radio map and computation are placed on mobile devices with limited power and computation capabilities. This design decision on performance tradeoffs should be considered when the computation and the maintaining of radio map are on the mobile device itself. If a positioning server on the network-side is dedicated for maintaining the radio map and performing location calculations, the memory space and computation capability may not be an important design decision.

**a. Performance Comparison of Different Positioning Algorithms** We analyze trade-offs of positioning algorithms on the performance by using real location fingerprints from 25 locations in Scenario 1 of Chapter III. Three APs' signals (SIS410, SIS401, and SIS501) were recorded in each fingerprint. We created three different fingerprint databases or radio maps for the following algorithms: Euclidean distance, Mahalanobis distance, and probabilistic approach with histogram. The radio map of Euclidean distance contains only

the mean RSS vectors. The radio map of the Mahalanobis distance contains both mean and variance vectors of RSS (assuming independent among signals from different APs). For the probabilistic approach, the entire histogram is maintained in radio map. *Note that the Mahalanobis distance has not been used in prior literature. This is the first work that has suggested its use and compared its performance with the other approaches.*

To compare the positioning performance, we used the histogram obtained to create empirical distributions of RSS patterns at each location for the probabilistic approach. Then, we used the simulation modeling approach suggested in Chapter IV with the empirical distribution to randomly generated a new data set of 100,000 patterns for each location as input to all three algorithms. For each random pattern and its corresponding location estimate, we determined the error distance and collected the statistics of each error. The accuracy and the precision performance are reported simultaneously using the error distance distribution in Figure 49 where we average cumulative error distance distributions from the performance results of all 25 locations and each algorithm.

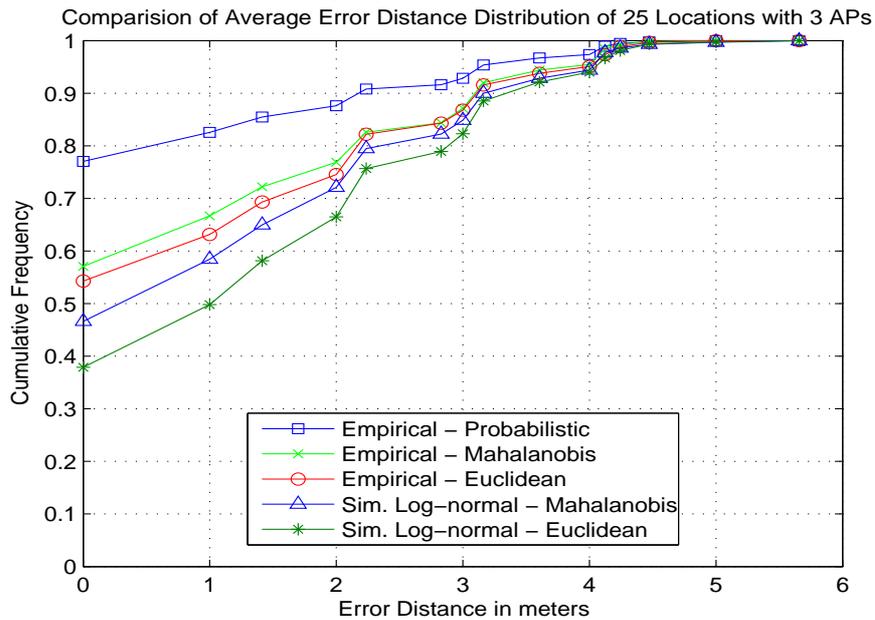


Figure 49: Comparison of average cumulative distribution of error distance

The performance results are labeled as Empirical followed by their corresponding algorithms in Figure 49. The probabilistic approach has the best performance (77% at 0 m) of all

algorithms due to the larger information maintained in the fingerprint database (at the price of largest memory space requirement and search complexity). The Mahalanobis distance (57% at 0 m) improves the average precision by 3 percent over the Euclidean distance (54% at 0 m). Knowing the properties of the RSS patterns such as its variance and applying the Mahalanobis distance, we could slightly improve the performance of positioning algorithms with small increments in memory space requirement. Notice that at 3-meter accuracy, the precision performance of all algorithms are comparable. Therefore, depending on the performance requirement of LBS applications, the probabilistic approach may not be necessarily the best to implement.

The last two curves are the performance results based on the use of a lognormal model as suggested in Chapter III. The simulation model generates sample RSS patterns with the mean vectors and covariance matrices estimated from real location fingerprints in Table 29. The average covariance matrix used in these two curves was

$$\mathcal{C} = \begin{bmatrix} 20.2944 & 0.1492 & -0.0288 \\ 0.1492 & 5.0564 & -0.1100 \\ -0.0288 & -0.1284 & 1.9788 \end{bmatrix}. \quad (\text{V.1})$$

The results suggest that the lognormal model can provide a lower bound approximation of system performance. Although at zero-meter accuracy the lognormal model has 16% worse performance than the empirical model with the Euclidean distance, at larger accuracy values (says 3 meters) the lognormal model could approximate the performance of the empirical distribution. Similar results are true for the lognormal model with Mahalanobis distance.

## 2. Performance Achieving Design

To efficiently design indoor positioning systems that cater to location-based services (LBSs) and meet required accuracy and precision performance, system designers can apply our proposed modeling technique and design guidelines to approximate the performance of the system. In this section, we first provide a general guideline on which direction a designer can take to improve the performance of the system. Then, we demonstrate an example of

how to use our simulation model to create the error distance distribution curves for different system scenarios.

**a. Design Examples Using Proposed System Model** The location fingerprint collection and performance tuning may not be a tedious task for a small LBS area, but for a large area or large number of locations it could become very time consuming. Instead of adding more access points later or changing the minimum distance between two locations and re-collecting the location fingerprint to improve the performance, it could be more efficient if we predict positioning performance before actual deployment. To accomplish this task, we investigate the use of the model proposed in Chapter IV to estimate the system performance when changing the minimum distance between two locations and adding new access points to the positioning system. The study can be divided into two scenarios.

In first scenario for grid spacing, we created a Monte Carlo simulation that generates multivariate Gaussian random vectors for a 25-location positioning system similar to our actual experiment in Scenario 1 of Chapter III. There are three access points in this first scenario. For the three APs, we have  $\sigma_{AP1}^2 = 20.29$ ,  $\sigma_{AP2}^2 = 5.06$ , and  $\sigma_{AP3}^2 = 1.98$  which are obtained from Table 29 in APPENDIX C. We assume that all access points are placed outside and around the grid of locations only. Suppose the physical distance of the  $k$ -th point on the grid from the  $j$ -th AP is  $d_{j,k}$  meters. We use the mean path loss for LOS of Scenario 1 estimated in Section III.D.3 to determine the expected value of RSS element  $\rho_j$  for the location fingerprint at each location.

$$E\rho_j = Pt - Pl(d_0) - 10 \cdot \alpha \cdot \log_{10}(d_{j,k}) \quad (\text{V.2})$$

Here  $Pt - Pl(d_0)$  was estimated as -39.13 dBm for LOS region in Scenario 1. Note that  $Pt$  is the transmit power and  $Pl(d_0)$  is the free-space path loss at the reference distance of  $d_0 = 1$  m. The variable  $\alpha$  denotes the path loss exponent, which was 1.14 for LOS region in Scenario 1. Since most of 25 locations in our model do not have any obstruction between them, the use of LOS parameters is suitable for our example of path loss calculation.

Figure 50 shows the results of simulations to predict average cumulative distributions of the error distance from all 25 locations in the model. We generated 10,000 samples for

each location and averaged out the probability of correctly returning each location. Two curves in the middle of Figure 50 labeled with 1 m provide a comparison between the use of the Mahalanobis distance and the Euclidean distance. The Mahalanobis distance shows a better precision performance than the Euclidean distance up to almost 10%. Our model results show performance results different from Figure 49 because the real and the simulated location fingerprints are different.

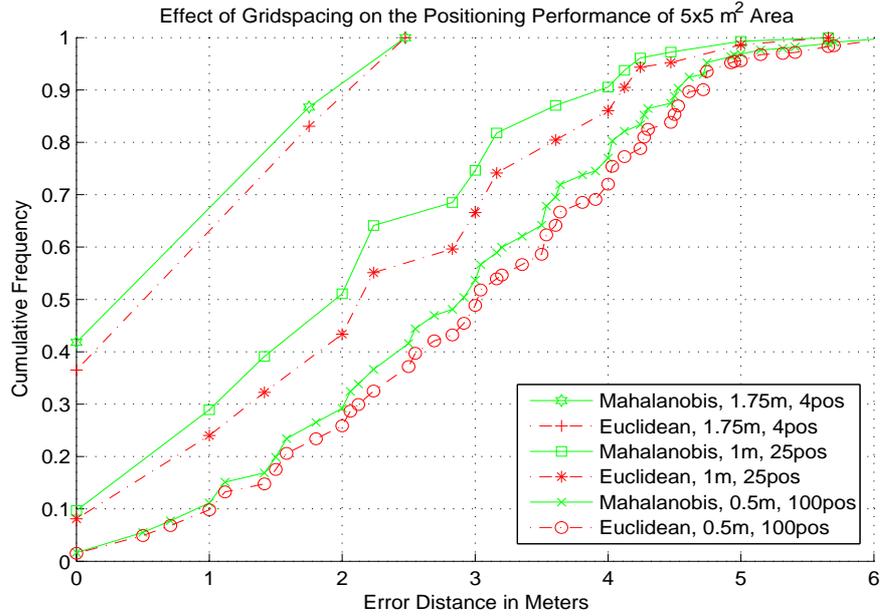


Figure 50: Effect of grid spacing on the probability of returning correct location

Because the performance modeling technique estimates conservative performance of indoor positioning system as shown in Figure 49, we could use this model to study the sensitivity of the two interesting system parameters: the grid spacing and the number of APs. Figure 50 compares the probability of returning the correct location for different grid spacing values (0.5m, 1m, and 1.75m) from our model. Assume that the LBS area is  $5\text{m} \times 5\text{m}$ ; therefore, a larger grid spacing will result in smaller number of positions (less fingerprint collection time) per area. Although the precision performance result is improved as we increase the grid spacing, the location granularity or resolution is reduced accordingly. In a real positioning system, the mobile’s location is not limited to locations in the fingerprint database. The granularity of the correct location is within half the grid spacing.

As we pointed out earlier, additional access points in the RSS pattern add dimensions and improve separability among the location fingerprints. There is a diminishing return on the increment of number of access points [69]. However, this diminishing return also depends on the number of the locations in the area needed to be identified. A larger number of locations can affect the number of required APs. Depending on the choice of using existing access points or installing extra access points for positioning purposes, the location of the access point could improve the performance of the system. The AP that is far away from the LBS area and has small signal variation can improve the precision performance better than the one with larger signal variation.

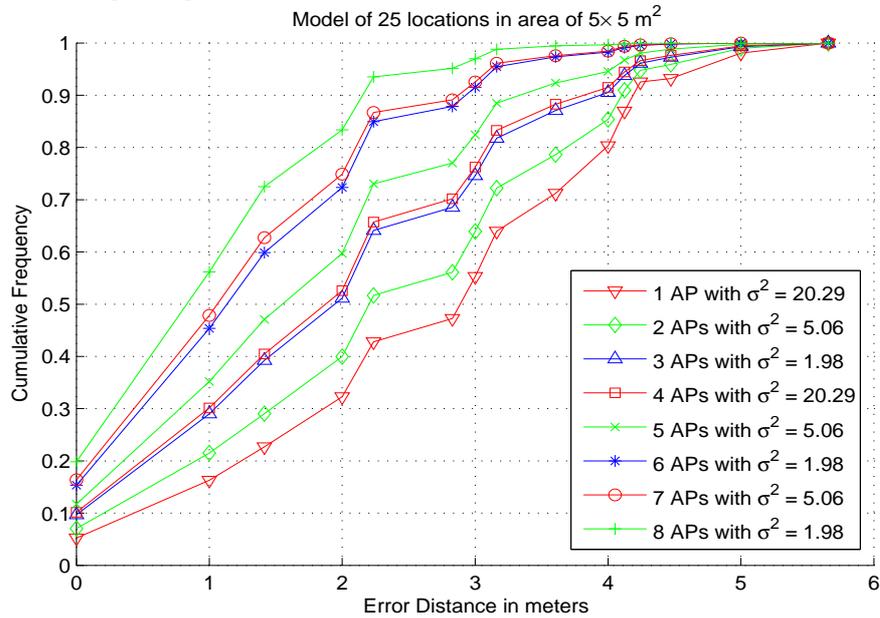


Figure 51: Effect of number of access point on the error distance distribution

Figure 51 illustrates the performance improvement to the model of 25 locations when the number of APs is increased while the grid spacing is fixed at 1 m. In this second scenario of increasing number of access points, we used the same number of samples as the first scenario in Figure 50 (10,000 samples) per location and average out the the probability of correctly returning each location. In this scenario, we assume that the 4th to 6th APs have the same variance as the 1st to 3rd APs which are 20.29, 5.06, and 1.98, respectively. The 7th and the 8th APs have the same covariance matrix as the 2nd and the 3rd APs which is 5.06 and

1.98. All APs are evenly spaced around the LBS area as shown in Figure 52. Notice that the performance improvement of the 4th AP and 7th AP are small due to the larger signal variation. The access points with smaller standard deviations can be viewed as the ones with no line-of-sight even though we did not use the NLOS path loss exponent for those access points in this model. Therefore, the placement of a new AP farther away or at a location with no line-of-sight can have a greater impact on the performance improvement.

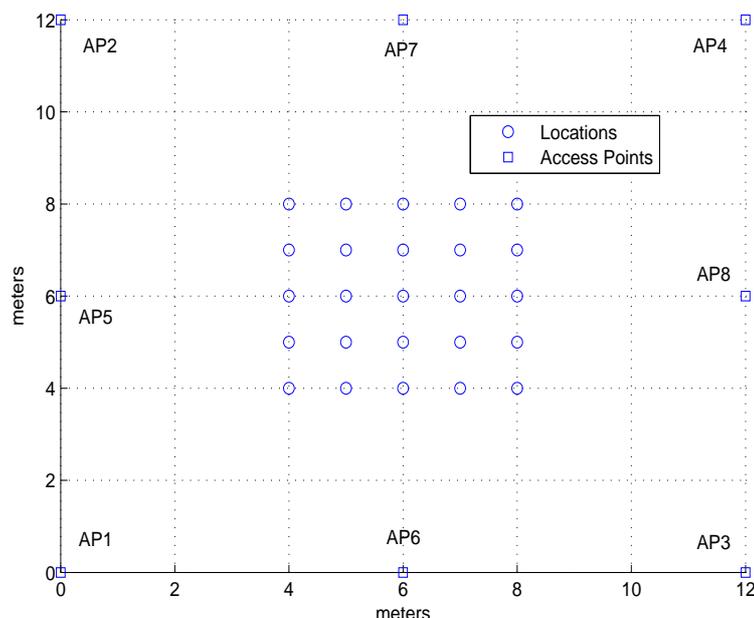


Figure 52: Locations of eight access points around the grid of 25 locations

### C. PROTOTYPE OF INDOOR POSITIONING SYSTEM

A software-based indoor positioning system is developed for this work. The prototype is divided into two programs. The first program is called *posoffline.exe* which is used for collecting the location fingerprint. The second program is called *posonline.exe* used for estimating the user location and testing the performance of the prototype. These programs are developed from the examples of NDIS connection-less protocol driver called *wiotest.c* in

Windows Microsoft’s Windows XP driver development kit (DDK) [50] and *WRAPI.cpp* in the University of California’s Wireless Research API (WRAPI) [51]. The hardware requirement for both programs are a laptop with an EZ Connect SMC2635W’s IEEE 802.11b wireless card. Before starting the program, the sample NDIS protocol driver must be installed and started using the command: *net start ndisprot* at the Windows XP’s shell prompt. Details on how to install this protocol driver can be found in the help file of the driver development kit. We list our design decisions for this prototype in Table 23.

Table 23: System design of the prototype

| Design Decision        | Choices                    | Comments                                 |
|------------------------|----------------------------|--|
| Application            | User locating and tracking | Self locating                            |
| Sensing & measurement  | Mobile/Client side         | Measurement done at mobile               |
| Location estimation    | Mobile/Client side         | Calculation done in mobile               |
| Security concerns      | User’s privacy             | Mobile can locate itself                 |
|                        | Security of location data  | Only mobile’s user can access the data   |
| User orientation       | Record orientation         | Only one orientation per location        |
| Data collection time   | Short period (30 samples)  | Suitable for mean only but more scalable |
| Hardware vendor        | Heterogeneous hardware     | Lucent’s APs but SMC’s WLAN card         |
| Wireless card          | Range of measurable RSS    | SMC has shorter range than Lucent        |
|                        | Standard deviation         | Approximately 2 dBm                      |
| Algorithm selection    | Distance metric approach   | Euclidean for simplicity                 |
| Memory constraint      | Large memory               | IBM Laptop with 384MB of memory          |
| Power constraint       | Limited power              | Laptop is a mobile device                |
| Computation complexity | Offline phase              | Mean only for Euclidean approach         |
|                        | Online phase               | Euclidean approach                       |
| Performance goal       | Accuracy                   | Specify tolerable error distance         |
|                        | Precision                  | Specify probability of correctly detect  |

Two flowcharts in APPENDIX G describe the detailed operations of online and offline programs. Inside the online program, we use recursive estimations of the mean and variance according to the following equations [34].

$$\mu(N + 1) = \frac{1}{N + 1} (N\mu(N) + \mathbf{x}_{N+1}), \quad (\text{V.3})$$

and

$$\begin{aligned} \mathcal{C}(N + 1) &= \frac{1}{N + 1} (N\mathcal{C}(N) + N\mu^2(N) + \mathbf{x}_{N+1}^2) \\ &\quad - \frac{1}{(N + 1)^2} (N\mu(N) + \mathbf{x}_{N+1})^2, \end{aligned} \quad (\text{V.4})$$

where  $\mu$  is the estimated mean of RSS,  $\mathcal{C}$  is the estimated variance,  $\mathbf{x}_{N+1}$  is the latest RSS sample, and  $N$  is the number of samples used in the previous estimation.

## 1. Performance Evaluation of Prototype

We performed an evaluation on a set of 28 locations that are located along a straight line on the north corridor of the fourth floor of the School of Information Sciences building as shown in Figure 53. Each location is separated by a physical distance (grid spacing) of 1 m. At each location, the user was facing east only during the measurement. A total of 30 samples per AP scanning operation were collected with a sampling period of approximately 1 second. Each location takes 30 seconds to collect data. Approximately 14 minutes were used to create the radio map. Three access points, which were SIS410, SIS418, and SIS501, could be heard in all of these 28 locations. The radio map was constructed by *posoffline.exe* and saved as a file called *radiomap*.

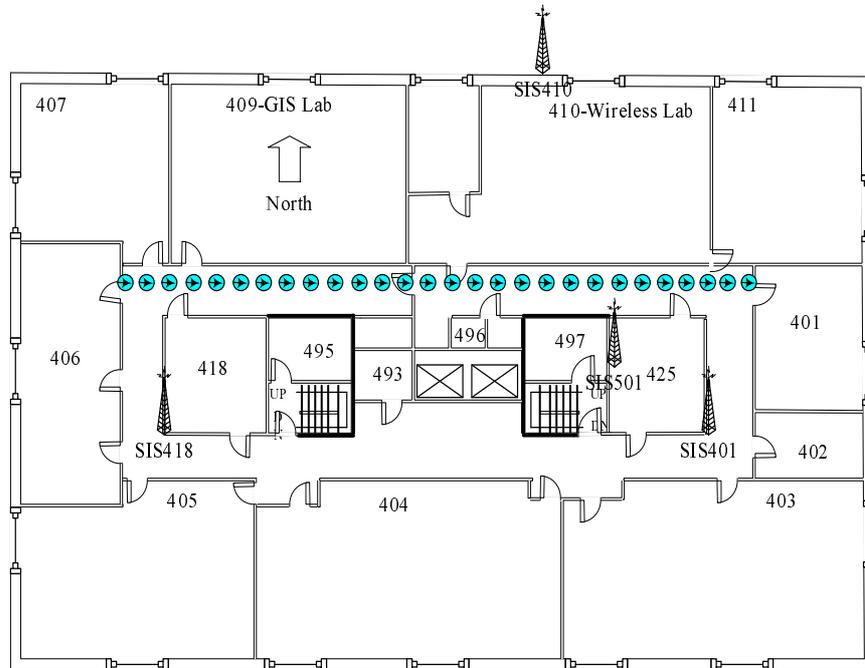


Figure 53: Positions in Prototype System

The content of the radio map for the three access points are summarized in APPENDIX H. Note that we encountered the problem of missing signals as briefly discussed in Section III.C.4.a that caused some data missing from AP SIS418 and SIS501 in Table 46. We found that the average of the estimated variance for all RSS data is 6.77. which results

in an average standard deviation of 2.6 dBm. Using the data in the appendix, the path loss exponent for each AP is calculated and we have  $\alpha_{SIS410} = 1.36$ ,  $\alpha_{SIS418} = 1.81$  and  $\alpha_{SIS501} = 1.52$ . Thus, the average of the path loss exponent from these three APs is 1.56 which is a fairly small value.

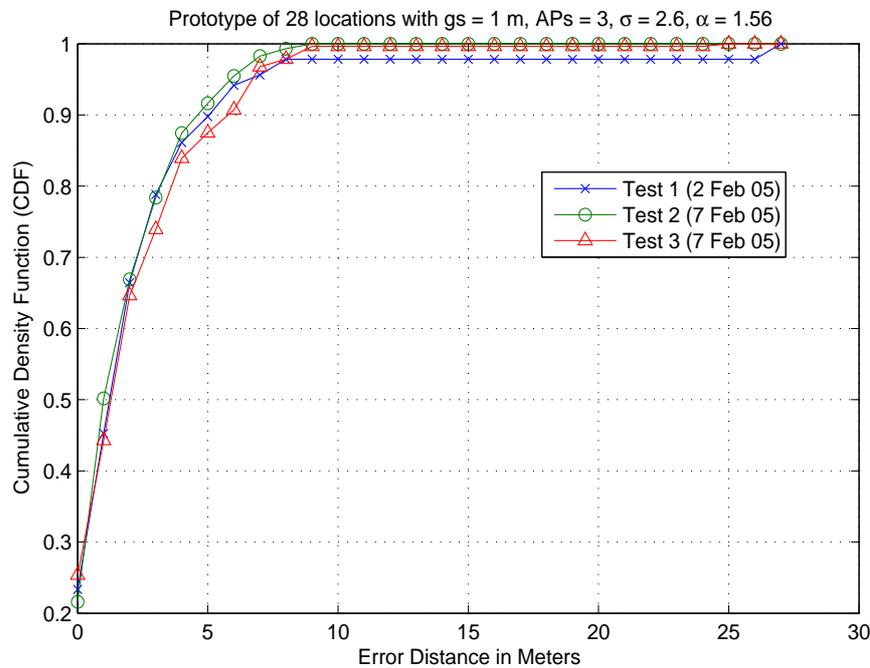


Figure 54: Error distance distribution (CDF) of prototype

We tested the performance of the prototype by running the *posonline.exe* which required the radio map as input. Then, we moved to each of the locations while facing only the east direction and sampled the data 4 to 5 times at that particular location. Each sample is used to determine the Euclidean distance with all of the location fingerprints in the radio map. The result of the location determination with Euclidean distance is compared with the user input information of current location (we knew the correct locations in this case). The error in location detection is calculated and saved into a file called *statistics*. After analyzing the statistics file, we plot the performance of the prototype using the error distance distribution as a CDF in Figure 54. Note that we conducted three different tests at three different time using the same radio map. The results indicated that the time dependency effect did not cause major problem for the positioning system.

The results of the experiments from our prototype in Figure 54 show that the system can achieve approximately 90% precision at approximately 5 meters accuracy. When we consider the zero-meter accuracy in Figure 54, we find that the precision performance at zero meter is approximately 24%. Then, we compare this result with our analytical model in Equation IV.28 by using parameters  $\sigma = 2.6$  dBm and  $\alpha = 1.56$  for different spacings of points on the grid. Assuming that the maximum number of neighboring locations is eight (even though this is a straight line configuration), that is, we consider at most eight nearest neighbors, we expect the worst case performance from our analytical model. Figure 55 shows the results of our precision performance approximation. The result at a grid spacing of 1 meter predicts the precision at zero-meter accuracy for three APs as 11%. Our analytical model is about 13% worse than the real prototype performance. This conservative result is expected from our analytical expression. Although this validation is only a preliminary refinement, the results indicate that we might be able to approximate the performance of real system using our proposed model with additional model adjustment.

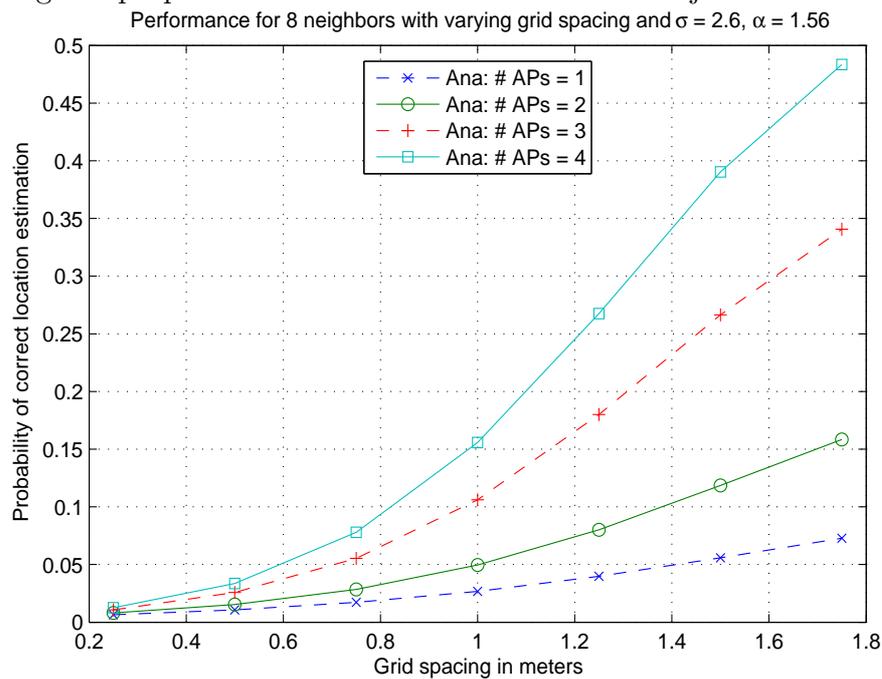


Figure 55: Analytical prediction of precision on effect of grid spacing

The plot in Figure 55 can be used to predict changes in the performance when we adjust

the number of access points and the grid spacing. This capability of the model enables us to make a better design choice without going through the real measurement process. However, the analytical model is limited to the zero-meter of accuracy. Next, we compare the prototype results with the simulation results based on our proposed model in Section IV.D.

To validate our proposed simulation model, we simulated the same topology of locations similar to Figure 53 and used the same system parameters for path loss exponent of 1.56 and standard deviation of RSS of 2.6. The results of our simulation are plotted in Figure 56. The result for three APs shows a good estimation of the prototype system with 5 m of accuracy at a precision of 90%. Note that we used the lognormal distribution for the simulations.

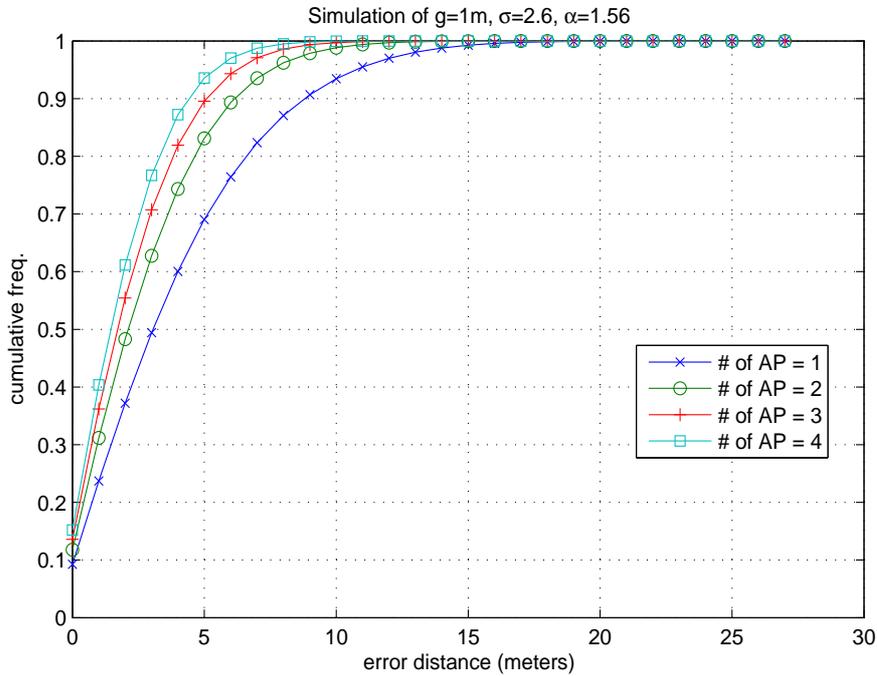


Figure 56: Error distance distribution (CDF) of simulation

Additional comparison using error distance distribution can be done using a plot of density function. Figure 57 and Figure 58 compare the performance at different accuracy and precision values. The result of precision at 1 meter of accuracy shows a good approximation of our simulation model where prototype's accuracy is 22% while simulation's accuracy is 23%. The shapes of both error distance distributions also suggest a good approximation.

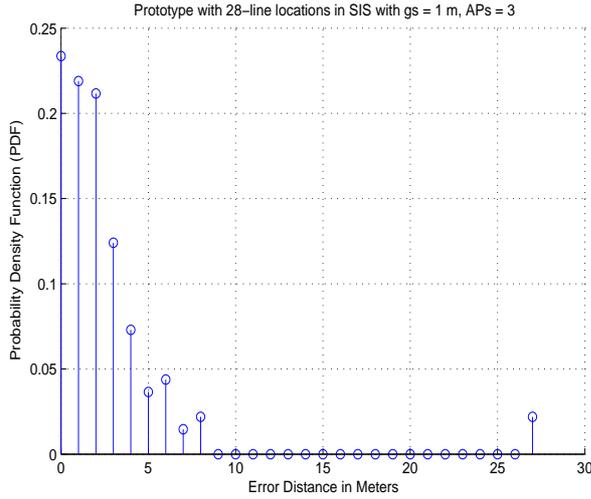


Figure 57: Error distance distribution (PDF) of prototype

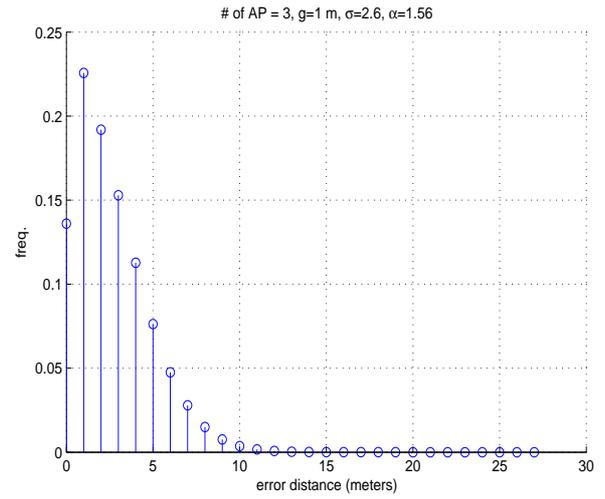


Figure 58: Error distance distribution (PDF) of prototype

## 2. Performance Improvement Guidelines for Prototype System

In this section we apply the result in previous subsection to create a design guideline which suggest directions of performance improvement for positioning system. Based on our model and simplified system analysis and simulations in Chapter IV, we can summarize the effect of system parameters on the precision performance and recommend a range of parameters to improve the precision in indoor positioning systems. This provides us with a performance guideline on how to improve the system which is summarized in Table 24. We expect that this guideline will be useful in general (which includes the circumstance when the indoor positioning system is added on top of the existing WLAN infrastructure). As position location services gain more attention, depending on the application requirements and environmental characteristics, a WLAN infrastructure must incorporate additional changes to its infrastructure improve the accuracy and the precision. For example, additional APs may be placed so that locations where higher accuracy and precision are desired can “hear” at least 4 APs. The guideline provided in Table 24 may not be applicable to every indoor positioning system. More details about the environment and accurate path loss models with wall and floor at-

tenuation could result in a more realistic recommendation for the deployment process using our framework proposed here.

Table 24: Recommended values for location system parameters

| Parameters                            | Value Increased                         | Desired Range                           |
|---------------------------------------|---|---|
| $\sigma$ - STD. of Gaussian component | precision decreases & accuracy decrease | $\sigma < 3$                            |
| $N$ - Number of access points         | precision increases & accuracy increase | $N \geq 4$                              |
| $\alpha$ - Path loss exponent         | precision increases & accuracy increase | $\alpha > 3.5$<br>(better in NLOS area) |
| $g$ - grid spacing                    | precision increases & accuracy decrease | $g > 1.25$ meter                        |

## D. HIGH LEVEL DESIGN GUIDELINES

### 1. Design Decision Guidelines

Table 25 summarizes all design decisions in Section V.A. The table provides brief comments on each design choice which can help decide during the high level design phase. We demonstrated the use this design guideline to assist the development of the prototype of software-based indoor positioning system in the previous section. This table serves as a first step of our set of design guidelines.

## E. CONCLUSIONS

This chapter is the most crucial contribution for the indoor positioning system because the currently deployment of indoor positioning based on location fingerprinting is expected to be very time-consuming for the off-line phase. That is the system designer must perform the data collection first and then test for the positioning performance. If we could predict the performance of the system without exhaustive real measurement and testing, the deployment of this system will be much easier and faster. A system design guideline given in this chapter is the final contribution of this research.

Table 25: System design checklist

| <b>Design Decision</b> | <b>Choices</b>             | <b>Comments</b>   |
|------------------------|----------------------------|---|
| Application            | User location and tracking | Suitable for centralized management                             |
|                        | Service/Resource Locator   | Suitable for distributed management                             |
| Sensing & measurement  | Network side               | Higher load to network & more complex & need synchroization     |
|                        | Mobile/Client side         | Possible battery life limitation                                |
| Location estimation    | Network/Server side        | Centralized calculation & has higher computing power            |
|                        | Mobile/Client side         | Distributed calculation & less computing power and battery life |
| Security concerns      | User's privacy             | Mobile can locate itself  |
|                        | Security of location data  | Who can access the data   |
| User orientation       | Record orientation         | Higher number of record in radio map                            |
|                        | Average out orientation    | Less accurate but smaller radio map                             |
| Data collection time   | Short period               | Suitable for mean only but more scalable                        |
|                        | Long period                | Need for probabilistic approach                                 |
| Hardware vendor        | Homogeneous hardware       | Possible allowing best performance                              |
|                        | Heterogeneous hardware     | Possible degradation of performance                             |
| Wireless card          | Range of measurable RSS    | As wide as possible   |
|                        | Standard deviation         | As small as possible  |
| Algorithm selection    | Distance metric approach   | Simple, less memory requirement                                 |
|                        | Probabilistic approach     | Best performance, more memory requirement                       |
| Memory constraint      | Limited memory             | Small device such as PDA  |
|                        | Large memory               | Laptop or server  |
| Power constraint       | Limited power              | Mobile device such as PDA & laptop                              |
|                        | Unlimited power            | Server or desktop PC  |
| Computation complexity | Offline phase              | Prefer to be less complex                                       |
|                        | Online phase               | Depend on the algorithm   |
| Performance goal       | Accuracy                   | Specify tolerable error distance                                |
|                        | Precision                  | Specify probability of correctly detect                         |

## VI. CONCLUSIONS AND FUTURE WORK

While empirical results and performance studies of positioning systems based on location fingerprinting have been presented in the literature, analytical models that can be used as a framework for efficiently designing the positioning systems are not available. This dissertation has developed an analytical model as a design tool and recommends a set of design guidelines for such positioning systems in order to expedite the deployment process. A system designer can use this framework to strike a balance between the accuracy, the precision, the location granularity, the number of access points, and the location spacing.

The location fingerprint based on the received signal strength was investigated extensively in Chapter III. A systematic study was used to analyze the location fingerprint and discover its unique properties. We found that the RSS is random, with primarily a left-skewed distribution irrespective of the make of the WLAN card. In some cases, it is possible to approximate the RSS as being normally distributed. We also found that while the RSS random process is non-stationary, the mean is more or less constant and could be used as the fingerprint of a location. Based on exhaustive measurements, we analyzed these and other properties of the RSS and came up with a mathematically tractable set of assumptions that enable us to create a model to predict the performance of the system in Chapter III. In Chapter IV, we used these assumptions to develop a model that can be used with analytical expressions to determine the probability of correctly estimating the position location of a mobile device with a single RSS sample vector (precision at zero-meter accuracy). We also suggested the use of simulation model to predict the distribution of distance error in the estimate of the position.

We applied the system model proposed in Chapter IV to create a design framework as discussed in Chapter V. The design framework and guideline suggested in Chapter V provides

insights on how to efficiently deploy the indoor positioning system. Accuracy and precision are the two major performance metrics in this study. Given a set of performance requirements such as  $X$  meters of accuracy and  $Y\%$  of precision and radio propagation parameters such as  $\alpha$  path loss exponent, the design framework can provide necessary system design guidelines such as the necessary number of access points and the minimum distance between two closest positions that can achieve those requirements.

The sensitivity analysis among the system parameters and the performance metrics were done using the proposed model as showed in Chapter IV. For instance, our study found that increasing the number of access points could improve the performance of the system, but there is a diminishing return on the improvement up to certain number of access points. The effect of radio propagation represented by path loss model suggests that a higher attenuation in the environment may actually improve the separation of the location fingerprints. The minimum distance between two adjacent positions also effects the positioning performance. If two positions are nicely separated, the likelihood that two location fingerprints will be confused with each other is small. On the other hand, too small a spacing between any two physical locations will not improve the system performance much. This is due to the randomness of RSS patterns induced by the ever changing indoor environment. Given the average standard deviation of the location fingerprints, a designer could identify the minimum distance separation between two positions that could achieve required performance criteria as showed in Section V.C.1.

## A. CONTRIBUTIONS

This section list the major contribution of this thesis:

- I. Extensive measurement analyses of RSS were presented where we confirmed several properties of the RSS. We used visualization to understand the RSS and its patterns in a greater detail. We summarized the key properties of the RSS and used it to support our mathematical model. Although we found that the distribution of RSS has a unique left-skew property, some distributions could be approximated as lognormal. Because the

randomness of the RSS is the cause of difficulty in location determination, we learn that there are ways to mitigate its effect such as separating the locations apart and increasing the number of access points.

- II. We proposed both analytical and simulation models which are based on assumptions that are backed by our extensive measurement campaign. We interpreted the underlying mechanism of indoor positioning system based on location fingerprint using the distance based approach. We identified major parameters that contribute to the performance of indoor positioning system based on location fingerprinting.
- III. We provided an example of a design guideline which will be useful for approximating the performance of indoor positioning without doing the real measurement evaluation of the whole system.
- IV. We developed a prototype of indoor positioning system which runs on Windows XP and is easy to use. It was used to validate our analytical model. We found that our analytical model could provide sufficient performance approximation to a real system.

## B. FUTURE RESEARCH WORK

The research in this thesis provides a ground work for the future study of efficient design of indoor positioning systems. For instance, we could consider an analytical model that can approximate the precision performance at any other accuracy performance beyond the zero-meter accuracy. The non-standard left-skew distribution of RSS could be modeled by the combination of two different Gaussian distributions to provide a more accurate mathematical model. The problem of WLAN access point placement which is aimed to provide indoor positioning system has not been explored in great detail.

The proposed framework still has limitations. First, it did not model the time dependency of the location fingerprints. Thus, the future research study should address this issue. Second, the indoor positioning system in this study depends greatly on existing infrastructure of WLAN which has its main purpose for communications. The design guidelines provided by this study may create a conflict to the design of WLAN. A possible research topic based

on the placement of access points to provide both communications and position location service should be explored in the future. Third, the relationship between the grid spacing and the quantization of the wireless cards still requires further investigation. It might be possible to estimate the number of unique locations in indoor positioning system based on the knowledge of quantization level of a wireless card and the number of access points. Fourth, to improve the performance of the system we need to find a solution to deal with those incomplete or missing data during the off-line and the on-line phases. Fifth, the movement of user has not been considered in this study. The consideration of location fingerprints of slow mobility could provide an additional level of understanding of location fingerprinting systems.

Three more interesting research paths are possible. First, the unified performance evaluation methodology for all indoor positioning system based on location fingerprint is needed to allow a fair comparison among variety of emerging indoor positioning systems. So far, the accuracy and the precision are the only performance metrics used for comparison. Second, a study of the indoor positioning system on with multi-floor and three-dimensional coordinates is not available. The impact of multiple floors is not yet known. Finally, there is a possible improvement of the location fingerprinting technique with the recent introduction of multicarrier modulation called orthogonal frequency division multiplexing (OFDM) which is used by IEEE 802.11a [70] and g [71]. This new modulation technique opens an opportunity for the location fingerprint to exploit the fingerprint in frequency domain, based on the assumption that frequency selective fading effect might be dependent on the location as well. However, to the best of our knowledge, there is currently no software device driver that can collect the received signal levels of multiple carriers from IEEE 802.11a NIC. To prove the earlier assumption, we could use an electrical network analyzer to measure the dependency between the frequency selective radio channel and the location. The major benefit from this new scheme is that location fingerprinting could possibly be done with high accuracy and precision using only one WLAN access point.

## APPENDIX A

### FIGURES OF RECEIVED SIGNAL STRENGTH DISTRIBUTION

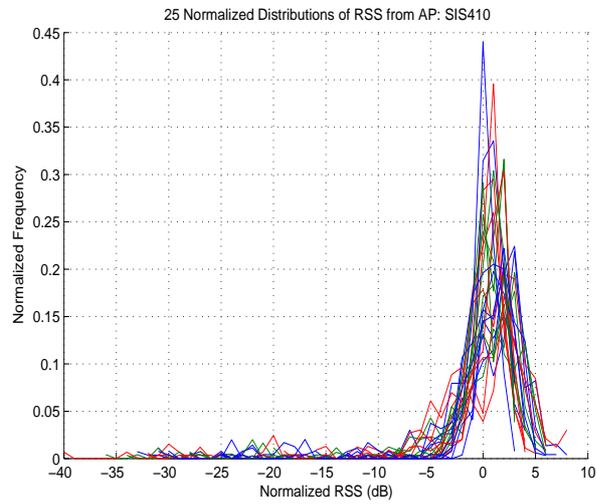


Figure 59: Distribution of AP: SIS410

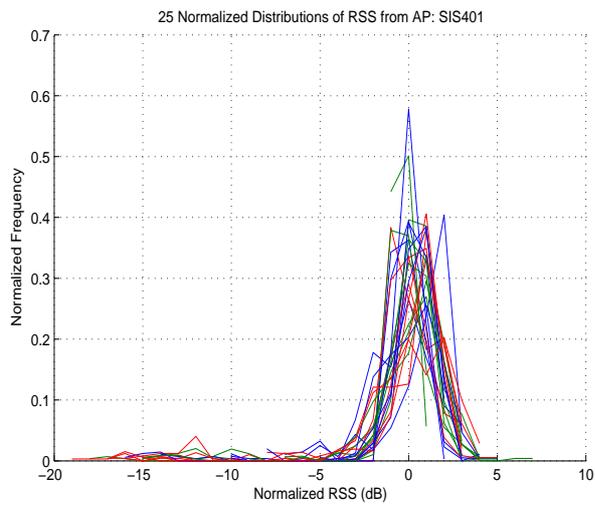


Figure 60: Distribution of AP: SIS401

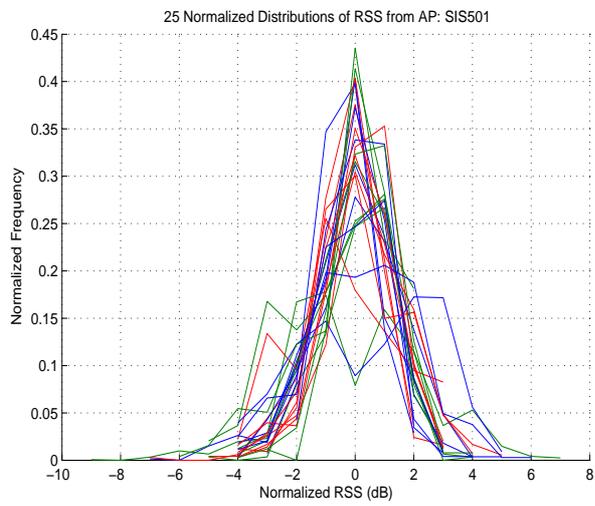


Figure 61: Distribution of AP: SIS501

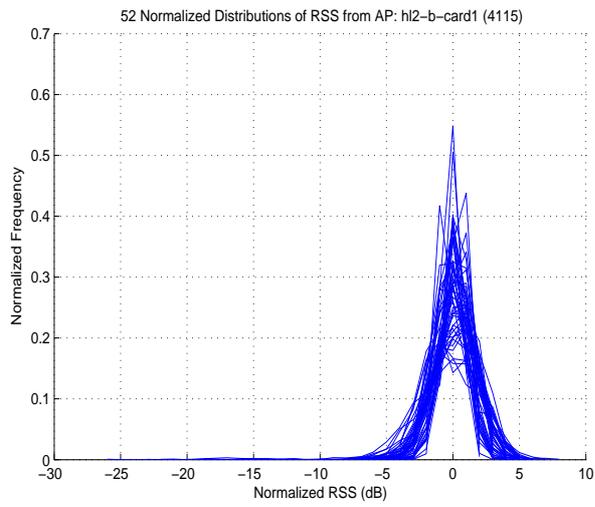


Figure 62: Distribution of AP: hl2-b-card1 (4115)

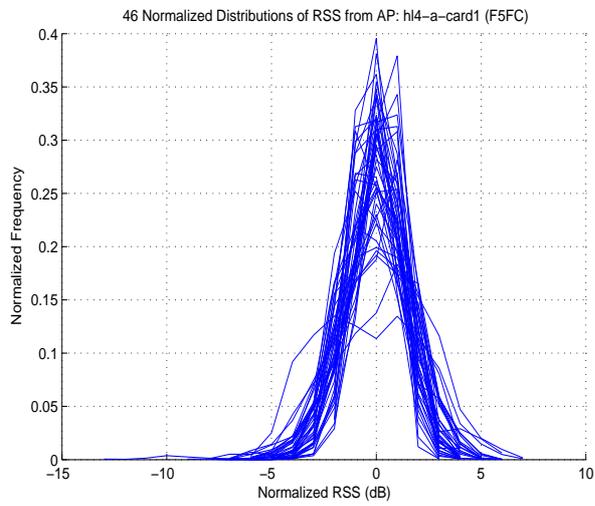


Figure 63: Distribution of AP: hl4-a-card1 (F5FC)

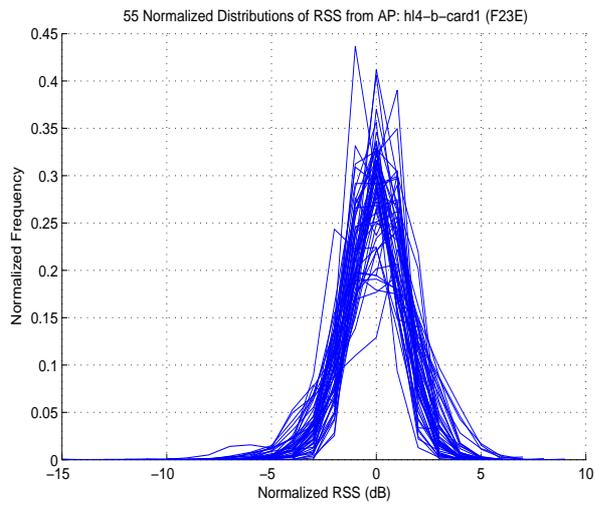


Figure 64: Distribution of AP: hl4-b-card1 (F23E)

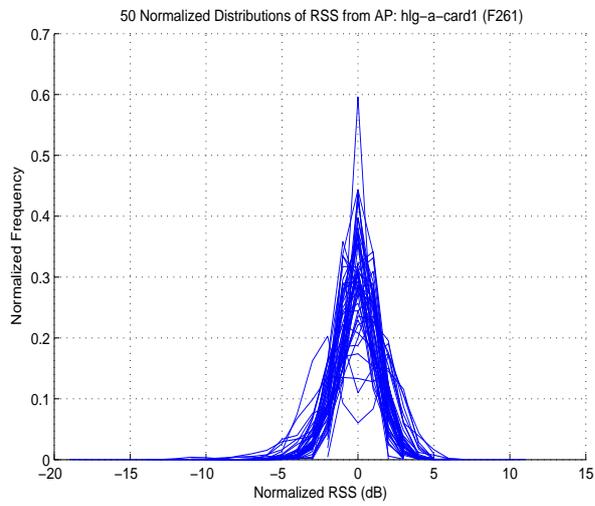


Figure 65: Distribution of AP: hlg-a-card1 (F261)

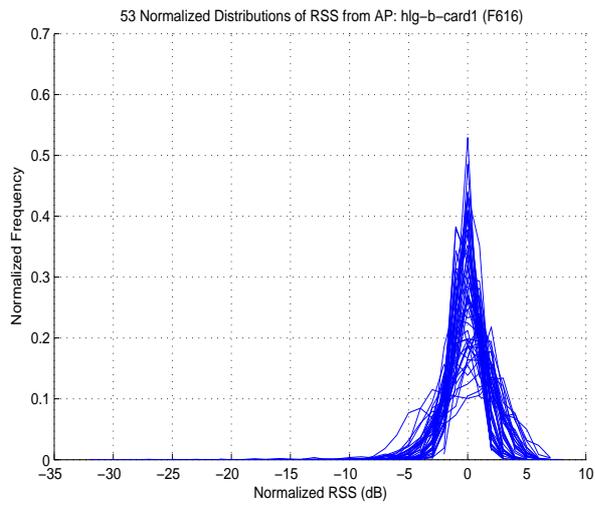


Figure 66: Distribution of AP: hlg-b-card1 (F616)

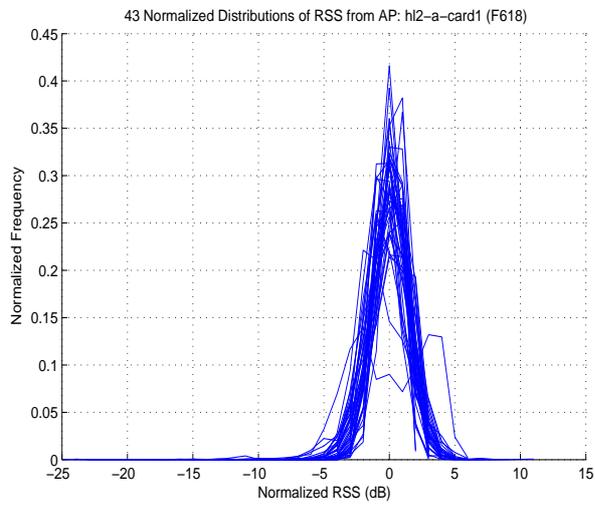


Figure 67: Distribution of AP: hl2-a-card1 (F618)

## APPENDIX B

### TABLES OF STANDARD DEVIATION

Table 26: Standard Deviation from Scenario 1

| <b>Location</b> | <b>SIS410</b> | <b>SIS401</b> | <b>SIS501</b> |
|-----------------|---------------|---------------|---------------|
| L01             | 5.59          | 1.93          | 1.45          |
| L02             | 4.58          | 2.15          | 1.64          |
| L03             | 4.68          | 2.56          | 1.23          |
| L04             | 5.81          | 2.89          | 1.41          |
| L05             | 3.53          | 2.22          | 1.11          |
| L06             | 3.77          | 1.39          | 1.82          |
| L07             | 3.88          | 1.61          | 0.94          |
| L08             | 6.29          | 3.22          | 2.37          |
| L09             | 5.00          | 2.53          | 1.05          |
| L10             | 4.84          | 0.95          | 1.49          |
| L11             | 5.01          | 1.19          | 1.24          |
| L12             | 6.14          | 1.69          | 1.39          |
| L13             | 5.04          | 1.55          | 1.17          |
| L14             | 3.61          | 1.41          | 1.38          |
| L15             | 4.01          | 2.63          | 1.22          |
| L16             | 5.65          | 1.61          | 2.27          |
| L17             | 3.28          | 0.59          | 1.01          |
| L18             | 5.78          | 2.55          | 1.44          |
| L19             | 3.88          | 1.58          | 0.97          |
| L20             | 4.14          | 2.55          | 1.78          |
| L21             | 2.74          | 3.95          | 1.33          |
| L22             | 3.78          | 2.84          | 1.71          |
| L23             | 1.47          | 2.50          | 1.13          |
| L24             | 3.83          | 3.41          | 1.33          |
| L25             | 2.61          | 0.69          | 1.47          |
| <b>Average</b>  | 4.36          | 2.09          | 1.41          |

Table 27: Standard Deviation from Scenario 2 Part I

| <b>Location</b> | <b>4115</b> | <b>F5FC</b> | <b>F23E</b> | <b>F261</b> | <b>F616</b> | <b>F618</b> |
|-----------------|-------------|-------------|-------------|-------------|-------------|-------------|
| L001            | 1.11        | 1.11        | 1.20        | 1.48        | 0.80        | -           |
| L002            | 1.67        | 1.01        | 1.26        | 2.42        | 0.93        | -           |
| L003            | 2.05        | 0.84        | 1.79        | 1.81        | 1.27        | -           |
| L004            | 2.06        | 0.91        | 1.70        | 1.97        | 0.86        | -           |
| L005            | 1.56        | 1.21        | 1.78        | 1.28        | 0.75        | -           |
| L006            | 1.23        | 1.23        | 1.31        | 1.84        | 0.90        | -           |
| L007            | 1.13        | 1.20        | 1.19        | 1.66        | 1.16        | -           |
| L008            | 1.39        | 1.14        | 1.33        | 1.43        | 0.60        | -           |
| L009            | 1.62        | 1.12        | 0.73        | 1.60        | 1.31        | -           |
| L010            | 1.43        | 1.12        | 1.35        | 1.59        | 1.54        | -           |
| L011            | 1.27        | 1.33        | 0.90        | 1.61        | 1.08        | -           |
| L012            | 1.54        | 1.84        | -           | 1.27        | 1.07        | -           |
| L013            | 1.09        | 1.58        | 0.00        | 2.03        | 0.98        | -           |
| L014            | 2.11        | 1.48        | -           | 2.43        | 0.80        | 1.69        |
| L015            | 1.17        | 0.99        | -           | 1.31        | 0.51        | -           |
| L016            | 1.43        | 1.59        | -           | 0.99        | 0.81        | 1.40        |
| L017            | 1.90        | 2.03        | -           | 2.08        | 0.75        | 1.59        |
| L018            | 1.16        | 1.52        | -           | 1.44        | 0.95        | 2.11        |
| L019            | 1.22        | 1.80        | -           | 2.07        | 1.05        | 1.14        |
| L020            | 1.10        | 1.42        | -           | 1.36        | 1.00        | 1.16        |
| L021            | 0.86        | 1.04        | -           | 1.37        | 0.69        | 1.16        |
| L022            | 1.20        | 1.88        | -           | 1.68        | 0.83        | 1.27        |
| L023            | 1.17        | 1.43        | 0.94        | 1.27        | 0.86        | 1.85        |
| L024            | 1.20        | 1.15        | 1.18        | 1.53        | 0.92        | 1.34        |
| L025            | 1.19        | 1.67        | 1.42        | 1.50        | 1.03        | 1.49        |
| L026            | 1.57        | 1.36        | 1.55        | 1.24        | 1.51        | 1.09        |
| L027            | 2.00        | -           | 1.16        | -           | 1.19        | 1.32        |
| L028            | 1.17        | -           | 1.61        | 0.65        | 1.82        | 1.47        |
| L029            | 1.32        | 0.85        | 1.16        | 1.28        | 0.97        | 1.26        |
| L030            | 2.36        | -           | 1.25        | 1.25        | 1.81        | 1.39        |
| L031            | 2.04        | -           | 1.80        | 1.17        | 0.96        | 1.54        |
| L032            | 1.77        | -           | 1.47        | 0.84        | 2.15        | 1.63        |
| L033            | 1.59        | -           | 1.71        | -           | 1.37        | 1.46        |
| L034            | 1.83        | -           | 1.28        | -           | -           | -           |
| L035            | 1.86        | -           | 1.98        | -           | -           | -           |
| L036            | 1.13        | -           | 1.39        | -           | -           | -           |

Table 28: Standard Deviation from Scenario 2 Part II

| <b>Location</b> | <b>4115</b> | <b>F5FC</b> | <b>F23E</b> | <b>F261</b> | <b>F616</b> | <b>F618</b> |
|-----------------|-------------|-------------|-------------|-------------|-------------|-------------|
| L037            | 1.29        | -           | 1.89        | -           | -           | -           |
| L038            | 1.39        | 1.38        | 1.23        | -           | -           | -           |
| L039            | 2.01        | 0.47        | 1.32        | -           | -           | -           |
| L040            | 1.88        | -           | 1.95        | -           | -           | -           |
| L041            | 1.13        | -           | 1.93        | -           | 1.96        | 1.38        |
| L042            | 1.12        | 0.59        | 1.43        | -           | 1.37        | 1.22        |
| L043            | 1.07        | 1.10        | 1.17        | 1.03        | 3.31        | 1.49        |
| L044            | 1.58        | 0.73        | 1.53        | 1.07        | 1.07        | 1.17        |
| L045            | 1.32        | -           | 2.27        | -           | 1.96        | 1.48        |
| L046            | 1.71        | -           | 0.99        | -           | 1.61        | 1.14        |
| L047            | 1.19        | 0.97        | 1.43        | 1.14        | 1.39        | 1.33        |
| L048            | 1.75        | 1.59        | 0.91        | 1.53        | 2.16        | 1.68        |
| L049            | 2.09        | 1.28        | 2.14        | 1.17        | 2.13        | 1.17        |
| L050            | 1.74        | 1.57        | 1.63        | 1.07        | 1.63        | 1.35        |
| L051            | 1.97        | 1.35        | 1.32        | 1.21        | 2.10        | 1.29        |
| L052            | 1.62        | 1.29        | 1.31        | 1.05        | 2.66        | 1.86        |
| L053            | -           | 1.23        | 1.39        | 0.75        | 1.29        | 1.33        |
| L054            | -           | 1.56        | 1.38        | 1.06        | 2.48        | 1.34        |
| L055            | -           | 1.64        | 1.60        | 1.11        | 2.41        | 1.19        |
| L056            | -           | 0.96        | 1.23        | -           | 1.49        | 1.20        |
| L057            | -           | 1.77        | 1.23        | 1.08        | 2.12        | 1.90        |
| L058            | -           | 1.53        | 1.24        | 1.37        | 1.19        | 1.11        |
| L059            | -           | -           | 1.58        | 1.04        | 1.64        | 2.91        |
| L060            | -           | -           | 1.60        | -           | 1.42        | 1.66        |
| L061            | -           | 1.28        | 1.60        | 0.82        | 1.95        | 1.41        |
| L062            | -           | 1.92        | 0.96        | 1.27        | 1.68        | 1.33        |
| L063            | -           | 2.32        | 1.33        | 1.37        | 2.72        | 1.56        |
| L064            | -           | 2.50        | 0.93        | 1.59        | 2.27        | 1.58        |
| L065            | -           | 1.89        | 1.83        | 1.11        | 1.53        | -           |
| L066            | -           | 1.61        | 1.31        | 1.40        | 2.15        | -           |
| L067            | -           | 1.18        | 1.23        | -           | 1.54        | -           |
| L068            | -           | 1.48        | 1.50        | 1.07        | 1.35        | -           |
| L069            | -           | 1.34        | 1.92        | -           | 2.17        | -           |
| L070            | -           | 1.41        | 1.06        | -           | 2.67        | -           |
| L071            | -           | 1.19        | 1.21        | -           | 2.48        | -           |
| <b>Average</b>  | 1.51        | 1.36        | 1.39        | 1.38        | 1.49        | 1.45        |

## APPENDIX C

### TABLES OF VARIANCE AND COVARIANCE

Table 29: Estimated Variance and Covariance in Scenario 1

| <b>Location</b> | $\sigma_A^2$ | $\sigma_B^2$ | $\sigma_C^2$ | $\sigma_{(A,B)}^2$ | $\sigma_{(A,C)}^2$ | $\sigma_{(B,C)}^2$ |
|-----------------|--------------|--------------|--------------|--------------------|--------------------|--------------------|
| L01             | 31.22        | 3.73         | 2.10         | -0.36              | 0.30               | -0.49              |
| L02             | 20.96        | 4.61         | 2.69         | 2.38               | 0.42               | 0.35               |
| L03             | 21.92        | 6.55         | 1.52         | -0.02              | -0.27              | -0.27              |
| L04             | 33.74        | 8.36         | 1.97         | -0.01              | -0.43              | -0.01              |
| L05             | 12.46        | 4.94         | 1.24         | -0.32              | -0.07              | -0.16              |
| L06             | 14.20        | 1.92         | 3.33         | 0.29               | 0.28               | -0.46              |
| L07             | 15.02        | 2.59         | 0.88         | -0.33              | -0.07              | 0.13               |
| L08             | 39.52        | 10.40        | 5.62         | -1.91              | -0.12              | -0.74              |
| L09             | 25.05        | 6.42         | 1.11         | 0.43               | 0.00               | 0.20               |
| L10             | 23.44        | 0.90         | 2.21         | -0.05              | -0.15              | 0.18               |
| L11             | 25.09        | 1.42         | 1.55         | 0.04               | -0.80              | 0.02               |
| L12             | 37.69        | 2.86         | 1.94         | -0.35              | -0.97              | 0.37               |
| L13             | 25.42        | 2.39         | 1.36         | 0.20               | 0.86               | -0.22              |
| L14             | 13.05        | 1.98         | 1.91         | 0.19               | -0.19              | 0.08               |
| L15             | 16.11        | 6.89         | 1.48         | 1.25               | 0.37               | -0.03              |
| L16             | 30.68        | 2.64         | 1.48         | 1.32               | -0.53              | -1.02              |
| L17             | 10.76        | 0.35         | 1.02         | 0.05               | 0.00               | -0.35              |
| L18             | 33.45        | 6.48         | 2.06         | -0.10              | -0.33              | -0.17              |
| L19             | 15.02        | 2.49         | 0.94         | 0.19               | -0.23              | 0.04               |
| L20             | 17.14        | 6.50         | 3.17         | 1.58               | 0.77               | 1.67               |
| L21             | 7.52         | 15.58        | 1.77         | 1.54               | 0.37               | -0.51              |
| L22             | 14.26        | 8.05         | 2.93         | -0.16              | -0.20              | -0.14              |
| L23             | 2.17         | 6.24         | 1.27         | -0.28              | -0.09              | 0.28               |
| L24             | 14.68        | 11.64        | 1.77         | -1.81              | 0.78               | -1.32              |
| L25             | 6.79         | 0.48         | 2.15         | -0.03              | -0.42              | -0.18              |
| <b>Average</b>  | 20.29        | 5.06         | 1.98         | 0.15               | -0.03              | -0.11              |

## APPENDIX D

### TABLES OF CORRELATION COEFFICIENT

Table 30: Correlation Coefficient from Scenario 1

| <b>Channel</b>  | 6 and 11         | 6 and 6          | 11 and 6         |
|-----------------|------------------|------------------|------------------|
| <b>Location</b> | (SIS410, SIS401) | (SIS410, SIS501) | (SIS401, SIS501) |
| 1               | -0.0337          | 0.0375           | -0.1734*         |
| 2               | 0.2421*          | 0.0561           | 0.0981           |
| 3               | -0.0014          | -0.0465          | -0.0859          |
| 4               | -0.0003          | -0.0528          | 0.0015           |
| 5               | -0.0408          | -0.0176          | -0.0641          |
| 6               | 0.0561           | 0.0409           | -0.1810*         |
| 7               | -0.0534          | -0.0195          | 0.0845           |
| 8               | -0.0944          | -0.0082          | -0.0970          |
| 9               | 0.0342           | -0.0007          | 0.0756           |
| 10              | -0.0113          | -0.0214          | 0.1265*          |
| 11              | 0.0073           | -0.1284*         | 0.0128           |
| 12              | -0.0336          | -0.1138*         | 0.1588*          |
| 13              | 0.026            | 0.1466*          | -0.1232*         |
| 14              | 0.037            | -0.0390          | 0.0428           |
| 15              | 0.1183*          | 0.0766           | -0.0091          |
| 16              | 0.1518*          | n/a              | n/a              |
| 17              | 0.0252           | -0.0008          | -0.5802*         |
| 18              | -0.0071          | -0.0401          | -0.0471          |
| 19              | 0.0317           | -0.0603          | 0.0239           |
| 20              | 0.1500*          | 0.1050*          | 0.3681*          |
| 21              | 0.1423*          | 0.1028*          | -0.0973          |
| 22              | -0.0148          | -0.0311          | -0.0290          |
| 23              | -0.0760          | -0.0555          | 0.0997           |
| 24              | -0.1381*         | 0.1538*          | -0.2903*         |
| 25              | -0.0185          | -0.1086*         | -0.1748*         |
| <b>Average</b>  | 0.019944         | -0.0040          | -0.0358          |

Table 31: Correlation Coefficient Scenario 2 (Ch.1 and Ch.6)

| <b>Channel</b>  | 1 and 6       | 1 and 6       | 1 and 6       | 1 and 6       |
|-----------------|---------------|---------------|---------------|---------------|
| <b>Location</b> | F5FC and 4115 | F261 and F23E | F261 and 4115 | F5FC and F23E |
| 1               | 0.0769        | -0.1208       | –             | –             |
| 2               | –             | 0.1581        | -0.3994*      | –             |
| 3               | –             | –             | -0.0471       | –             |
| 4               | –             | –             | -0.2390       | –             |
| 5               | –             | –             | 0.1931        | –             |
| 6               | 0.2352        | –             | 0.0541        | –             |
| 7               | 0.1673        | 0.1392        | 0.1079        | 0.0698        |
| 8               | -0.0550       | –             | 0.0625        | –             |
| 9               | -0.1261       | –             | 0.2397        | –             |
| 10              | -0.0655       | –             | 0.1332        | –             |
| 11              | 0.1490        | –             | 0.0374        | –             |
| 12              | -0.0711       | –             | -0.0116       | –             |
| 13              | 0.0347        | –             | 0.0684        | –             |
| 14              | -0.1223       | –             | 0.0244        | –             |
| 16              | 0.0616        | –             | -0.0774       | –             |
| 17              | -0.0136       | –             | -0.1535       | –             |
| 18              | 0.0345        | –             | 0.1621        | –             |
| 19              | -0.0465       | –             | 0.1287        | –             |
| 20              | -0.0195       | –             | 0.0152        | –             |
| 21              | 0.0251        | –             | -0.0518       | –             |
| 23              | -0.1399       | –             | –             | –             |
| 29              | –             | 0.0645        | 0.0857        | –             |
| 30              | –             | -0.036        | 0.0564        | –             |
| 48              | -0.1016       | –             | -0.1865       | –             |
| 52              | 0.0698        | –             | –             | –             |
| 53              | –             | –             | –             | 0.0611        |
| 55              | –             | –             | –             | 0.1970        |
| 57              | –             | –             | –             | 0.0088        |
| 58              | –             | –             | –             | -0.0332       |
| 61              | –             | –             | –             | -0.0775       |
| 65              | –             | –             | –             | -0.0458       |
| 69              | –             | –             | –             | -0.1625       |
| <b>Average</b>  | 0.0049        | 0.0410        | 0.0092        | 0.0022        |

Table 32: Correlation Coefficient Scenario 2 (Ch.1 and Ch.11)

| <b>Channel</b>  | 1 and 11      | 1 and 11      | 1 and 11      | 1 and 11      |
|-----------------|---------------|---------------|---------------|---------------|
| <b>Location</b> | F5FC and F616 | F261 and F616 | F5FC and F618 | F261 and F618 |
| 9               | -0.0306       | 0.1827        | –             | –             |
| 14              | –             | –             | 0.0998        | 0.0842        |
| 16              | –             | –             | -0.1085       | -0.1363       |
| 17              | –             | –             | 0.0744        | 0.1969        |
| 18              | –             | –             | 0.0415        | -0.0790       |
| 19              | -0.0585       | 0.0803        | 0.0674        | -0.0863       |
| 20              | 0.0332        | 0.0134        | -0.0205       | 0.0040        |
| 21              | –             | –             | 0.1601        | -0.0912       |
| 22              | –             | –             | -0.0230       | -0.0832       |
| 23              | –             | –             | –             | 0.1887        |
| 24              | –             | –             | –             | -0.0038       |
| 25              | –             | –             | –             | 0.0862        |
| 26              | 0.0102        | –             | –             | -0.1238       |
| 29              | –             | -0.0086       | –             | -0.0154       |
| 30              | –             | 0.1723        | –             | 0.0307        |
| 48              | -0.179        | -0.0107       | -0.0124       | -0.0313       |
| 52              | 0.0413        | –             | 0.0593        | –             |
| 53              | 0.0629        | –             | -0.0214       | –             |
| 55              | 0.2734        | –             | 0.1510        | –             |
| 57              | 0.1287        | –             | -0.1004       | –             |
| 61              | -0.0410       | –             | 0.2384        | –             |
| 62              | -0.0580       | –             | –             | –             |
| 64              | -0.0230       | –             | 0.1716        | –             |
| 65              | -0.0460       | –             | –             | –             |
| 66              | -0.1374       | –             | –             | –             |
| 67              | 0.0011        | –             | –             | –             |
| 70              | -0.0232       | –             | –             | –             |
| 71              | 0.0716        | –             | –             | –             |
| <b>Average</b>  | 0.0015        | 0.0716        | 0.0518        | -0.0040       |

Table 33: Correlation Coefficient Scenario 2 (Ch.6 and Ch.11)

| <b>Channel</b>  | 6 and 11      | 6 and 11      | 6 and 11      | 6 and 11      |
|-----------------|---------------|---------------|---------------|---------------|
| <b>Location</b> | 4115 and F616 | 4115 and F618 | F23E and F616 | F23E and F618 |
| 9               | 0.165         | –             | –             | –             |
| 14              | –             | 0.2387        | –             | –             |
| 16              | –             | 0.0704        | –             | –             |
| 17              | –             | -0.1923       | –             | –             |
| 18              | –             | -0.062        | –             | –             |
| 19              | 0.0825        | -0.0852       | –             | –             |
| 20              | 0.0569        | -0.0232       | –             | –             |
| 21              | –             | 0.0043        | –             | –             |
| 27              | -0.0198       | 0.0639        | 0.0582        | -0.0328       |
| 28              | 0.1948        | -0.0108       | 0.1747        | -0.0066       |
| 29              | 0.2919        | -0.0991       | -0.0167       | 0.0280        |
| 30              | 0.3923*       | 0.045         | 0.0768        | -0.0116       |
| 31              | 0.0397        | 0.0414        | 0.1348        | 0.2674        |
| 32              | 0.0316        | -0.0113       | -0.0769       | 0.0274        |
| 33              | 0.1590        | 0.0775        | 0.1259        | 0.1573        |
| 41              | -0.0328       | -0.0606       | -0.0818       | -0.0881       |
| 42              | 0.0133        | 0.1280        | 0.1600        | -0.0596       |
| 43              | 0.1612        | -0.0688       | 0.2027        | 0.0615        |
| 44              | -0.0153       | 0.0780        | 0.1822        | 0.2425        |
| 45              | -0.2547       | -0.0840       | -0.1944       | 0.0113        |
| 46              | -0.0219       | -0.0437       | 0.1554        | 0.0152        |
| 47              | -0.1588       | 0.2288        | -0.0736       | 0.1701        |
| 48              | 0.0693        | 0.0209        | –             | –             |
| 49              | -0.0674       | 0.0600        | –             | –             |
| 50              | 0.1983        | -0.0048       | –             | –             |
| 51              | 0.1552        | –             | –             | 0.1444        |
| 52              | 0.0374        | -0.0686       | –             | –             |
| 53              | –             | –             | 0.1650        | -0.0923       |
| 54              | –             | –             | -0.1089       | -0.1288       |
| 55              | –             | –             | 0.3962*       | 0.1810        |
| 56              | –             | –             | 0.1042        | –             |
| 57              | –             | –             | -0.0092       | -0.0533       |
| 59              | –             | –             | 0.0468        | -0.0069       |
| 60              | –             | –             | 0.0402        | 0.1270        |
| 61              | –             | –             | 0.0293        | 0.1989        |
| 65              | –             | –             | 0.0709        | –             |
| <b>Average</b>  | 0.0672        | 0.0100        | 0.0679        | 0.0524        |

Table 34: Correlation Coefficient Scenario 2: Interference Effect

| <b>Channel</b>  | 1 and 1       |
|-----------------|---------------|
| <b>Location</b> | F261 and F5FC |
| 6               | 0.0436        |
| 7               | 0.3159*       |
| 8               | 0.0834        |
| 9               | -0.0309       |
| 10              | -0.0166       |
| 11              | 0.0128        |
| 12              | 0.2184        |
| 13              | 0.0319        |
| 14              | 0.1474        |
| 15              | 0.0656        |
| 16              | 0.1536        |
| 17              | 0.0289        |
| 18              | 0.0716        |
| 19              | -0.2507       |
| 20              | 0.1268        |
| 21              | 0.0647        |
| 22              | 0.1016        |
| 48              | 0.0897        |
| <b>Average</b>  | 0.0699        |

Table 35: Correlation Coefficient Scenario 2: Interference Effect

| <b>Channel</b>  | 6 and 6       |
|-----------------|---------------|
| <b>Location</b> | F23E and 4115 |
| 2               | 0.0620        |
| 7               | 0.0431        |
| 27              | 0.0626        |
| 28              | 0.0514        |
| 29              | 0.05          |
| 30              | 0.1715        |
| 31              | 0.0822        |
| 32              | -0.0983       |
| 33              | 0.0928        |
| 34              | -0.0819       |
| 35              | 0.1499        |
| 36              | -0.0469       |
| 37              | -0.0035       |
| 38              | -0.1327       |
| 39              | 0.0997        |
| 40              | -0.0226       |
| 41              | 0.0583        |
| 42              | 0.0169        |
| 43              | -0.0636       |
| 44              | -0.0289       |
| 45              | 0.0760        |
| 46              | 0.0318        |
| 47              | 0.1656        |
| <b>Average</b>  | 0.0320        |

Table 36: Correlation Coefficient Scenario 2: Interference Effect

| <b>Channel</b>  | 11 and 11     |
|-----------------|---------------|
| <b>Location</b> | F616 and F618 |
| 19              | -0.0314       |
| 20              | -0.0620       |
| 27              | 0.0005        |
| 28              | 0.1616        |
| 29              | 0.0659        |
| 30              | 0.0064        |
| 31              | -0.0382       |
| 32              | -0.1992       |
| 33              | 0.0682        |
| 41              | -0.0842       |
| 42              | -0.0124       |
| 43              | 0.0353        |
| 44              | 0.1605        |
| 45              | 0.0899        |
| 46              | 0.1542        |
| 47              | -0.0488       |
| 48              | 0.0710        |
| 49              | 0.0091        |
| 50              | -0.0212       |
| 52              | 0.2324        |
| 53              | -0.1969       |
| 54              | 0.1906        |
| 55              | 0.1986        |
| 57              | -0.059        |
| 58              | -0.0636       |
| 59              | 0.2212        |
| 60              | -0.0036       |
| 61              | -0.0183       |
| 64              | 0.1334        |
| <b>Average</b>  | 0.0331        |

## APPENDIX E

### FIGURES OF CORRELOGRAMS

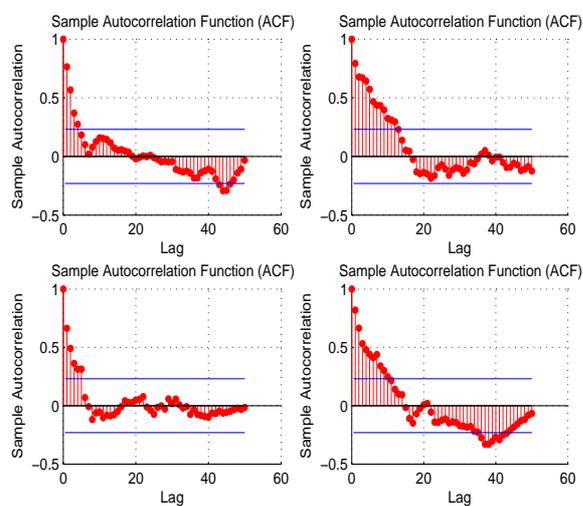


Figure 68: Correlogram of Cisco Card at Location 1 over 5 minutes on 1 Dec 04

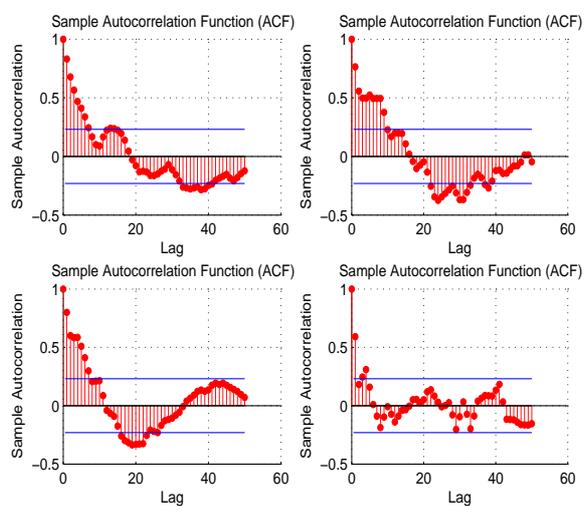


Figure 69: Correlogram of D-Link Card at Location 1 over 5 minutes on 1 Dec 04

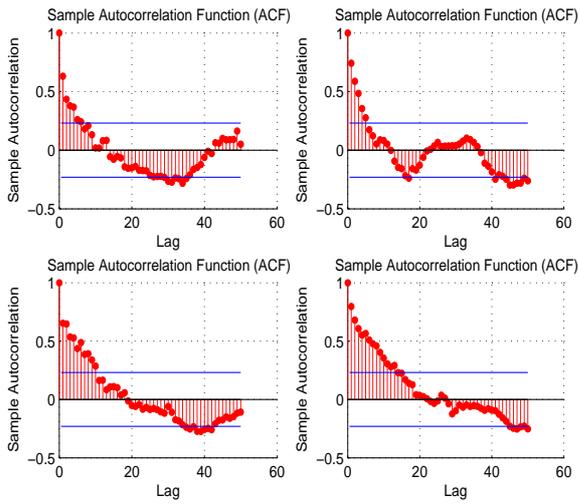


Figure 70: Correlogram of Lucent Gold Card at Location 1 over 5 minutes on 1 Dec 04

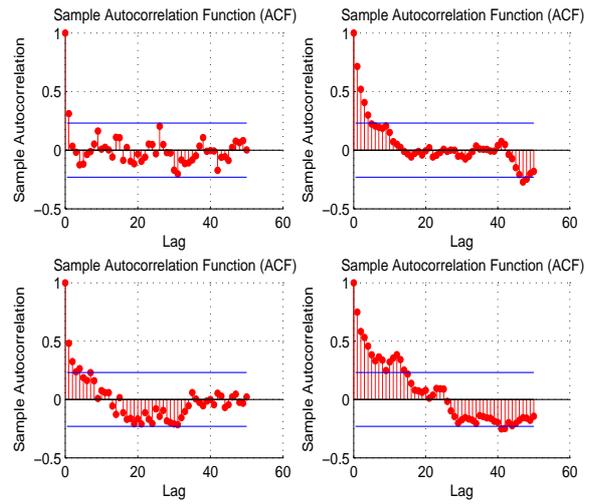


Figure 71: Correlogram of Lucent Silver Card at Location 1 over 5 minutes on 1 Dec 04

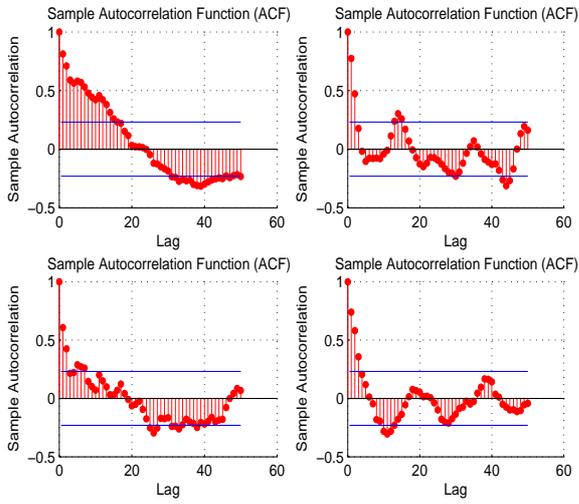


Figure 72: Correlogram of Proxim Card at Location 1 over 5 minutes on 1 Dec 04

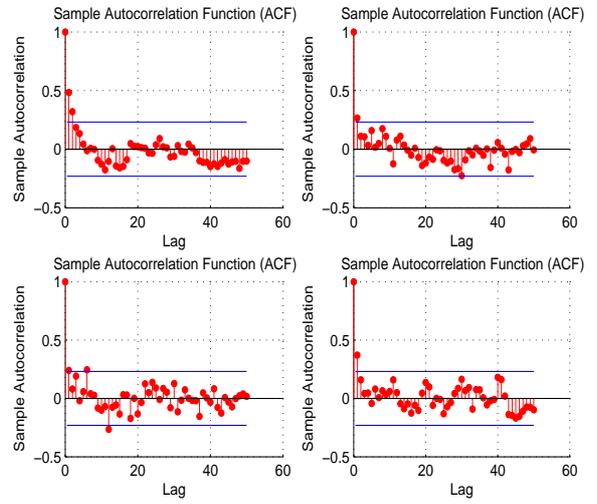


Figure 73: Correlogram of SMC Card at Location 1 over 5 minutes on 1 Dec 04

## APPENDIX F

### SUMMARY STATISTICS WITH DIFFERENT NUMBER OF SAMPLES

Table 37: Summary Statistics of SIS410's RSS at Location 20

| <b>Number of Samples</b>       | <b>30</b> | <b>50</b> | <b>100</b> | <b>150</b> | <b>200</b> | <b>300</b> | <b>1282</b> |
|--------------------------------|-----------|-----------|------------|------------|------------|------------|-------------|
| <b>Mean</b>                    | -49.2     | -49.72    | -50.06     | -50.01     | -50.315    | -50.98     | -49.80      |
| <b>Standard Error</b>          | 0.13      | 0.13      | 0.15       | 0.17       | 0.15       | 0.23       | 0.12        |
| <b>Median</b>                  | -49       | -50       | -50        | -50        | -50        | -50        | -49         |
| <b>Mode</b>                    | -49       | -50       | -50        | -50        | -50        | -50        | -48         |
| <b>Standard Deviation</b>      | 0.71      | 0.90      | 1.54       | 2.10       | 2.08       | 4.02       | 4.14        |
| <b>Sample Variance</b>         | 0.51      | 0.82      | 2.36       | 4.42       | 4.32       | 16.16      | 17.14       |
| <b>Skewness</b>                | 0.32      | 0.27      | -0.41      | -1.84      | -1.44      | -4.79      | -3.03       |
| <b>Kurtosis</b>                | -0.91     | -0.62     | -0.64      | 4.91       | 3.17       | 27.59      | 16.00       |
| <b>Range</b>                   | 2         | 3         | 5          | 11         | 11         | 30         | 34          |
| <b>Minimum</b>                 | -50       | -51       | -53        | -58        | -58        | -77        | -78         |
| <b>Maximum</b>                 | -48       | -48       | -48        | -47        | -47        | -47        | -44         |
| <b>Confidence Level(95.0%)</b> | 0.27      | 0.26      | 0.30       | 0.34       | 0.29       | 0.46       | 0.23        |

Table 38: Summary Statistics of SIS401's RSS at Location 2

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 1526   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -79.50 | -79.70 | -80.20 | -80.27 | -80.48 | -80.53 | -80.71 |
| Standard Error          | 0.09   | 0.09   | 0.11   | 0.08   | 0.17   | 0.14   | 0.05   |
| Median                  | -79    | -80    | -80    | -80    | -80    | -80    | -80    |
| Mode                    | -79    | -80    | -80    | -80    | -80    | -80    | -80    |
| Standard Deviation      | 0.51   | 0.65   | 1.08   | 1.03   | 2.39   | 2.44   | 2.15   |
| Sample Variance         | 0.26   | 0.42   | 1.17   | 1.07   | 5.73   | 5.94   | 4.61   |
| Skewness                | 0.00   | -0.38  | -0.81  | -0.74  | -4.56  | -4.14  | -3.53  |
| Kurtosis                | -2.15  | -0.65  | 0.29   | 0.08   | 23.45  | 18.78  | 17.45  |
| Range                   | 1      | 2      | 4      | 4      | 15     | 15     | 16     |
| Minimum                 | -80    | -81    | -83    | -83    | -94    | -94    | -94    |
| Maximum                 | -79    | -79    | -79    | -79    | -79    | -79    | -78    |
| Confidence Level(95.0%) | 0.19   | 0.18   | 0.21   | 0.17   | 0.33   | 0.28   | 0.11   |

Table 39: Summary Statistics of SIS501's RSS at Location 2

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 1384   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -79.00 | -79.10 | -78.85 | -78.47 | -78.78 | -79.40 | -80.11 |
| Standard Error          | 0.21   | 0.15   | 0.11   | 0.10   | 0.11   | 0.10   | 0.04   |
| Median                  | -78.5  | -79    | -79    | -78    | -79    | -79    | -80    |
| Mode                    | -78    | -78    | -78    | -78    | -78    | -78    | -80    |
| Standard Deviation      | 1.17   | 1.05   | 1.11   | 1.18   | 1.50   | 1.76   | 1.41   |
| Sample Variance         | 1.38   | 1.11   | 1.24   | 1.39   | 2.24   | 3.08   | 1.97   |
| Skewness                | -0.68  | -0.34  | -0.08  | -0.14  | 0.11   | -0.12  | -0.12  |
| Kurtosis                | -1.11  | -1.25  | -1.04  | -0.62  | -0.23  | -0.10  | 0.73   |
| Range                   | 3      | 3      | 4      | 5      | 7      | 9      | 9      |
| Minimum                 | -81    | -81    | -81    | -81    | -82    | -84    | -84    |
| Maximum                 | -78    | -78    | -77    | -76    | -75    | -75    | -75    |
| Confidence Level(95.0%) | 0.44   | 0.30   | 0.22   | 0.19   | 0.21   | 0.20   | 0.07   |

Table 40: Summary Statistics of hlg-a-card1's RSS at Location 1

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 3563   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -82.37 | -82.80 | -83.46 | -83.31 | -83.50 | -83.79 | -82.41 |
| Standard Error          | 0.24   | 0.18   | 0.13   | 0.10   | 0.08   | 0.07   | 0.02   |
| Median                  | -82    | -83    | -83.5  | -83    | -83    | -84    | -82    |
| Mode                    | -82    | -82    | -84    | -83    | -83    | -84    | -82    |
| Standard Deviation      | 1.30   | 1.26   | 1.31   | 1.18   | 1.17   | 1.25   | 1.48   |
| Sample Variance         | 1.69   | 1.59   | 1.73   | 1.40   | 1.38   | 1.56   | 2.19   |
| Skewness                | -0.67  | -0.08  | 0.23   | -0.03  | 0.06   | 0.02   | -0.06  |
| Kurtosis                | 1.35   | 0.15   | -0.15  | 0.12   | 0.03   | 0.11   | 0.73   |
| Range                   | 6      | 6      | 6      | 6      | 6      | 8      | 11     |
| Minimum                 | -86    | -86    | -86    | -86    | -86    | -88    | -89    |
| Maximum                 | -80    | -80    | -80    | -80    | -80    | -80    | -78    |
| Confidence Level(95.0%) | 0.49   | 0.36   | 0.26   | 0.19   | 0.16   | 0.14   | 0.05   |

Table 41: Summary Statistics of hlg-b-card1's RSS at Location 47

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 3545   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -60.63 | -60.96 | -60.95 | -61.16 | -61.60 | -61.59 | -61.66 |
| Standard Error          | 0.18   | 0.15   | 0.11   | 0.10   | 0.11   | 0.08   | 0.02   |
| Median                  | -61    | -61    | -61    | -61    | -61.5  | -62    | -62    |
| Mode                    | -60    | -62    | -60    | -60    | -60    | -61    | -62    |
| Standard Deviation      | 1.00   | 1.07   | 1.15   | 1.27   | 1.50   | 1.37   | 1.39   |
| Sample Variance         | 1.00   | 1.14   | 1.32   | 1.63   | 2.26   | 1.87   | 1.93   |
| Skewness                | 0.06   | 0.13   | -0.34  | -0.29  | -0.15  | -0.09  | -0.19  |
| Kurtosis                | -1.01  | -0.96  | -0.32  | -0.75  | -1.11  | -0.82  | -0.22  |
| Range                   | 3      | 4      | 5      | 5      | 6      | 6      | 9      |
| Minimum                 | -62    | -63    | -64    | -64    | -65    | -65    | -67    |
| Maximum                 | -59    | -59    | -59    | -59    | -59    | -59    | -58    |
| Confidence Level(95.0%) | 0.37   | 0.30   | 0.23   | 0.21   | 0.21   | 0.16   | 0.05   |

Table 42: Summary Statistics of hl2-a-card1's RSS at Location 33

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 3576   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -82.37 | -82.02 | -82.46 | -82.80 | -82.97 | -84.18 | -85.03 |
| Standard Error          | 0.21   | 0.16   | 0.18   | 0.14   | 0.12   | 0.13   | 0.02   |
| Median                  | -82.5  | -82    | -82    | -83    | -83    | -84    | -85    |
| Mode                    | -83    | -81    | -82    | -83    | -83    | -83    | -85    |
| Standard Deviation      | 1.13   | 1.15   | 1.79   | 1.72   | 1.67   | 2.32   | 1.46   |
| Sample Variance         | 1.27   | 1.33   | 3.22   | 2.97   | 2.80   | 5.40   | 2.13   |
| Skewness                | 0.18   | -0.04  | -1.21  | -0.73  | -0.52  | -0.20  | 0.12   |
| Kurtosis                | -0.91  | -0.93  | 1.46   | 0.44   | 0.06   | -0.91  | 0.35   |
| Range                   | 4      | 4      | 8      | 8      | 8      | 10     | 10     |
| Minimum                 | -84    | -84    | -88    | -88    | -88    | -90    | -90    |
| Maximum                 | -80    | -80    | -80    | -80    | -80    | -80    | -80    |
| Confidence Level(95.0%) | 0.42   | 0.33   | 0.36   | 0.28   | 0.23   | 0.26   | 0.05   |

Table 43: Summary Statistics of hl2-b-card1's RSS at Location 5

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 3590   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -88.37 | -88.54 | -89.01 | -88.67 | -89.09 | -88.85 | -88.68 |
| Standard Error          | 0.52   | 0.32   | 0.21   | 0.15   | 0.14   | 0.10   | 0.03   |
| Median                  | -87    | -88    | -89    | -89    | -89    | -89    | -89    |
| Mode                    | -86    | -86    | -89    | -88    | -88    | -88    | -88    |
| Standard Deviation      | 2.82   | 2.28   | 2.06   | 1.89   | 1.97   | 1.78   | 1.56   |
| Sample Variance         | 7.96   | 5.19   | 4.25   | 3.58   | 3.88   | 3.15   | 2.44   |
| Skewness                | -0.51  | -0.42  | 0.06   | -0.28  | -0.05  | -0.25  | -0.18  |
| Kurtosis                | -1.46  | -0.80  | -0.62  | -0.43  | -0.86  | -0.50  | -0.17  |
| Range                   | 8      | 8      | 8      | 8      | 8      | 8      | 11     |
| Minimum                 | -93    | -93    | -93    | -93    | -93    | -93    | -95    |
| Maximum                 | -85    | -85    | -85    | -85    | -85    | -85    | -84    |
| Confidence Level(95.0%) | 1.05   | 0.65   | 0.41   | 0.31   | 0.27   | 0.20   | 0.05   |

Table 44: Summary Statistics of hl4-a-card1's RSS at Location 13

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 3571   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -84.20 | -84.06 | -84.02 | -84.25 | -84.05 | -84.24 | -82.91 |
| Standard Error          | 0.18   | 0.13   | 0.10   | 0.09   | 0.10   | 0.08   | 0.03   |
| Median                  | -84    | -84    | -84    | -84    | -84    | -84    | -83    |
| Mode                    | -84    | -84    | -84    | -84    | -84    | -84    | -83    |
| Standard Deviation      | 1.00   | 0.91   | 1.00   | 1.10   | 1.34   | 1.38   | 1.58   |
| Sample Variance         | 0.99   | 0.83   | 1.01   | 1.21   | 1.81   | 1.91   | 2.51   |
| Skewness                | -0.47  | -0.55  | -0.39  | -0.34  | 0.74   | 0.42   | 0.20   |
| Kurtosis                | 1.35   | 1.17   | 0.07   | -0.18  | 2.39   | 1.39   | 0.13   |
| Range                   | 5      | 5      | 5      | 5      | 9      | 10     | 10     |
| Minimum                 | -87    | -87    | -87    | -87    | -87    | -88    | -88    |
| Maximum                 | -82    | -82    | -82    | -82    | -78    | -78    | -78    |
| Confidence Level(95.0%) | 0.37   | 0.26   | 0.20   | 0.18   | 0.19   | 0.16   | 0.05   |

Table 45: Summary Statistics of hl4-b-card1's RSS at Location 25

| Number of Samples       | 30     | 50     | 100    | 150    | 200    | 300    | 3050   |
|-------------------------|--------|--------|--------|--------|--------|--------|--------|
| Mean                    | -89.33 | -89.10 | -88.32 | -88.43 | -88.44 | -88.64 | -89.19 |
| Standard Error          | 0.15   | 0.13   | 0.14   | 0.11   | 0.09   | 0.07   | 0.03   |
| Median                  | -89    | -89    | -88    | -89    | -89    | -89    | -89    |
| Mode                    | -89    | -89    | -89    | -89    | -89    | -89    | -89    |
| Standard Deviation      | 0.80   | 0.91   | 1.38   | 1.29   | 1.26   | 1.22   | 1.42   |
| Sample Variance         | 0.64   | 0.83   | 1.90   | 1.66   | 1.59   | 1.48   | 2.00   |
| Skewness                | -1.02  | -0.14  | 0.08   | 0.28   | 0.20   | 0.13   | -0.02  |
| Kurtosis                | 0.58   | 0.48   | -0.48  | -0.37  | -0.29  | 0.25   | 1.38   |
| Range                   | 3      | 4      | 6      | 6      | 6      | 7      | 11     |
| Minimum                 | -91    | -91    | -91    | -91    | -91    | -92    | -95    |
| Maximum                 | -88    | -87    | -85    | -85    | -85    | -85    | -84    |
| Confidence Level(95.0%) | 0.30   | 0.26   | 0.27   | 0.21   | 0.18   | 0.14   | 0.05   |

## APPENDIX G

### FLOWCHARTS OF INDOOR POSITIONING PROTOTYPE

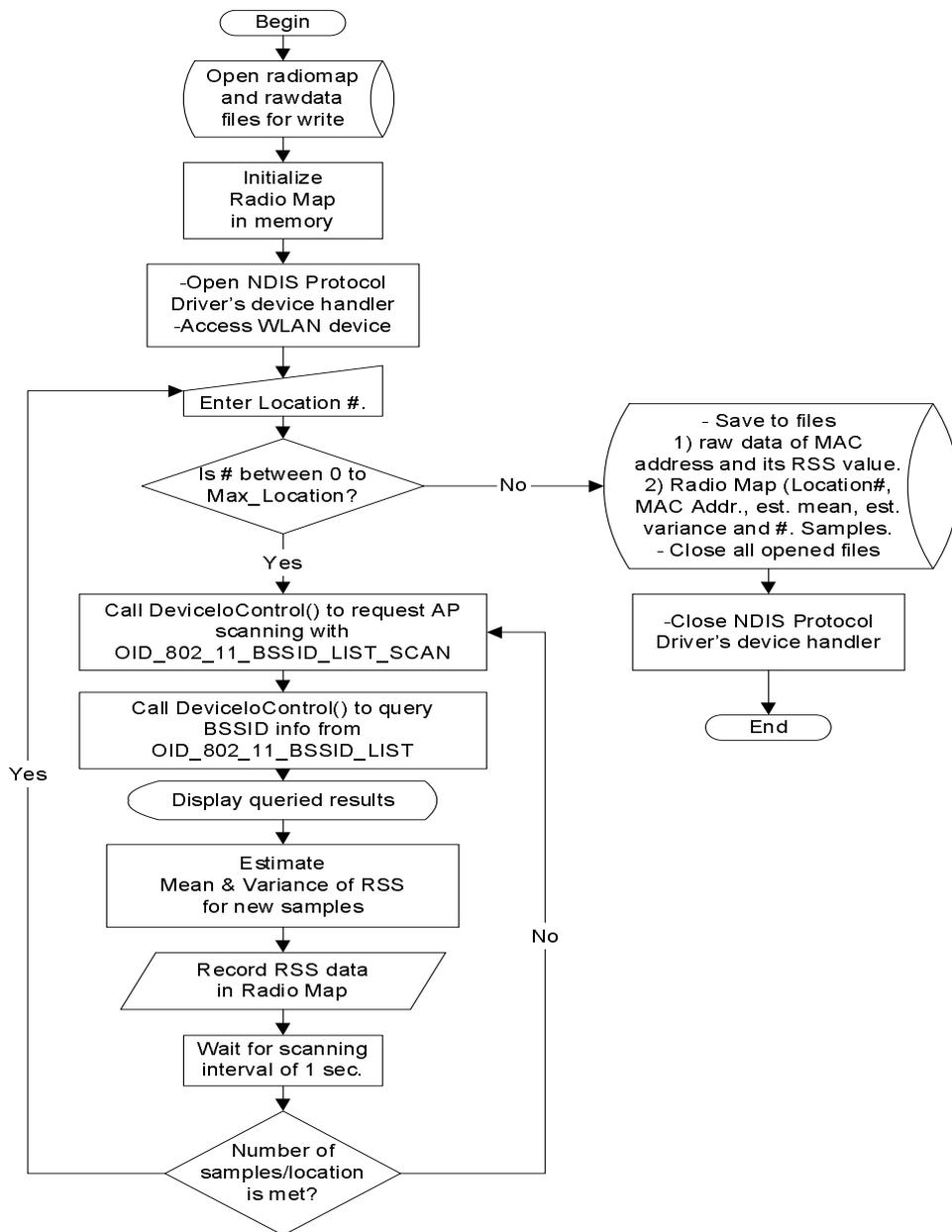


Figure 74: Flowchart of Off-line Prototype.

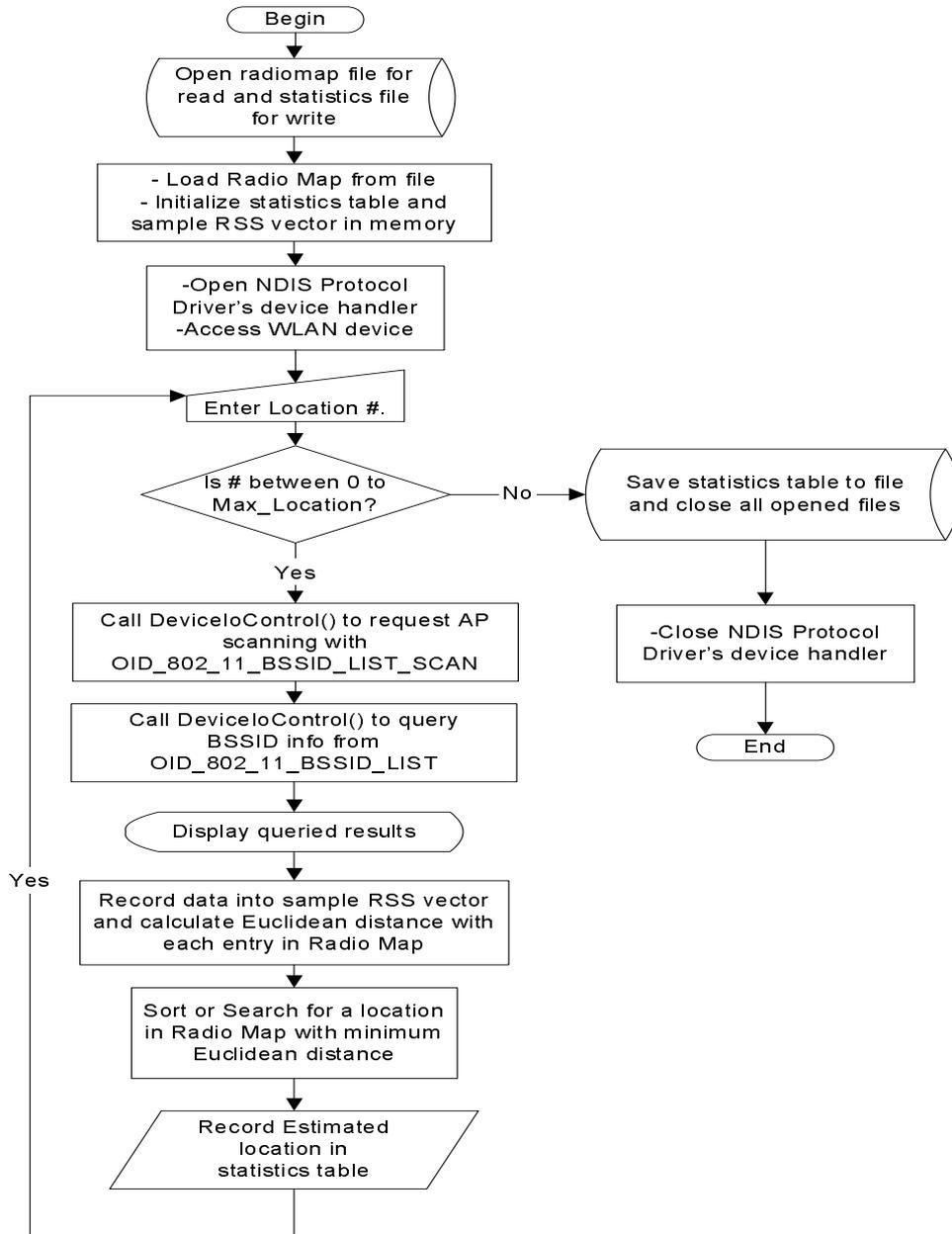


Figure 75: Flowchart of On-line Prototype.

## APPENDIX H

### LOCATION FINGERPRINTS OF PROTOTYPE'S EXPERIMENT

Table 46: Location Fingerprint of 28 Locations in SIS Building

| Location | AP SIS410 |       |       | AP SIS418 |       |       | AP SIS501 |       |       |
|----------|-----------|-------|-------|-----------|-------|-------|-----------|-------|-------|
|          | Mean      | Var.  | Count | Mean      | Var.  | Count | Mean      | Var.  | Count |
| 1        | -73.70    | 8.21  | 30    | -46.50    | 9.45  | 30    | -77.50    | 5.62  | 16    |
| 2        | -63.67    | 3.02  | 30    | -53.90    | 14.49 | 30    | -75.38    | 2.72  | 29    |
| 3        | -62.20    | 0.96  | 30    | -59.33    | 4.29  | 30    | -77.71    | 2.20  | 7     |
| 4        | -62.60    | 2.24  | 30    | -59.67    | 5.96  | 30    | -76.00    | 1.24  | 29    |
| 5        | -63.83    | 6.14  | 30    | -62.47    | 10.58 | 30    | -75.68    | 0.86  | 28    |
| 6        | -64.53    | 7.58  | 30    | -57.40    | 3.24  | 30    | -76.00    | 3.21  | 28    |
| 7        | -60.73    | 5.00  | 30    | -63.47    | 2.85  | 30    | -75.79    | 0.60  | 28    |
| 8        | -61.73    | 0.93  | 30    | -62.07    | 9.80  | 30    | -75.60    | 4.04  | 30    |
| 9        | -59.80    | 4.16  | 30    | -64.50    | 7.45  | 30    | -75.80    | 2.36  | 30    |
| 10       | -62.67    | 5.36  | 30    | -65.87    | 6.45  | 30    | -76.37    | 3.23  | 16    |
| 11       | -61.47    | 3.92  | 30    | -65.03    | 8.70  | 30    | -75.22    | 5.73  | 27    |
| 12       | -56.60    | 7.24  | 30    | -66.90    | 19.33 | 29    | -74.40    | 10.64 | 30    |
| 13       | -53.10    | 6.09  | 30    | -66.40    | 12.04 | 30    | -73.30    | 4.41  | 30    |
| 14       | -51.50    | 6.65  | 30    | -69.50    | 6.65  | 30    | -68.57    | 4.38  | 30    |
| 15       | -50.73    | 7.46  | 30    | -65.30    | 6.81  | 30    | -67.93    | 4.40  | 30    |
| 16       | -57.20    | 8.56  | 30    | -67.47    | 5.52  | 30    | -69.83    | 13.59 | 29    |
| 17       | -49.60    | 3.44  | 30    | -68.92    | 10.41 | 24    | -67.00    | 9.60  | 30    |
| 18       | -55.10    | 13.89 | 30    | -71.23    | 7.08  | 22    | -65.43    | 11.71 | 30    |
| 19       | -57.47    | 13.65 | 30    | -70.70    | 4.01  | 30    | -62.13    | 2.58  | 30    |
| 20       | -55.73    | 6.13  | 30    | -73.24    | 9.30  | 25    | -65.80    | 20.16 | 30    |
| 21       | -51.70    | 4.01  | 30    | -73.00    | 7.62  | 26    | -64.07    | 3.13  | 30    |
| 22       | -51.57    | 17.45 | 30    | -72.50    | 6.50  | 24    | -63.67    | 9.62  | 30    |
| 23       | -50.40    | 4.44  | 30    | -72.67    | 4.22  | 27    | -63.00    | 2.40  | 30    |
| 24       | -53.67    | 16.96 | 30    | -75.40    | 1.44  | 10    | -59.70    | 6.61  | 30    |
| 25       | -52.73    | 12.33 | 30    | -72.42    | 5.55  | 26    | -60.67    | 5.62  | 30    |
| 26       | -51.73    | 11.20 | 30    | -74.50    | 3.25  | 18    | -56.10    | 6.49  | 30    |
| 27       | -55.47    | 7.72  | 30    | -74.62    | 6.39  | 13    | -60.27    | 6.80  | 30    |
| 28       | -54.47    | 8.45  | 30    | -71.78    | 8.17  | 27    | -59.87    | 3.65  | 30    |
| Min.     | -73.70    | 0.93  | 30    | -75.40    | 1.44  | 10    | -77.71    | 0.60  | 7     |
| Max.     | -49.60    | 17.45 | 30    | -46.50    | 19.33 | 30    | -56.10    | 20.16 | 30    |

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